



ALICE



CLHCP 2023



# Measurement of branching-fraction ratio $\text{BR}(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) \text{ at ALICE}$

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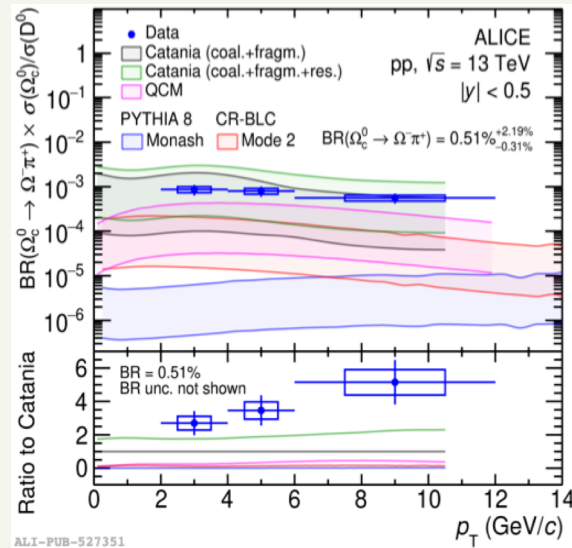
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# Motivation

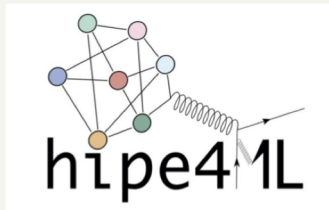
- Result  $\Omega_c^0 \rightarrow \Omega^- \pi^+$  in pp 13 TeV
  - The  $p_T$  trend of the baryon-to-meson ratio is similar as other baryons ( $\Lambda_c^+$ ,  $\Xi_c^{0,+}$ ,  $\Sigma_c^{0,++}$ )
  - The value of  $\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+)$  from theoretical calculation limits the possibility of drawing stronger conclusions
    - $\text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+) = (0.51 \pm 0.07)\%$

	$\text{BR}(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+)$
CLEO Collaboration	$2.4 \pm 1.2(\text{stat.}) \pm 0.2(\text{syst.})$ ( <a href="#">paper link</a> )
BELLE Collaboration	$1.98 \pm 0.13(\text{stat.}) \pm 0.08(\text{syst.})$ ( <a href="#">paper link</a> )
Theory	0.71 ( <a href="#">paper link</a> )
	$1.1 \pm 0.2$ ( <a href="#">paper link</a> )



- Goal: **provide our measurement of  $\text{BR}(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+)$**

# Analysis strategy



- The KFParticle package is used
  - Only used for the reconstruction of cascade
- Boosted Decision Trees are used
  - XGboost and hipe4ml package
  - Optimisation of hyperparameters with Optuna
- Raw yield extraction
- MC  $p_T$  re-weight
- Unfolding technique
- Efficiency correction
- Systematic study

Cuts variables	cuts
AOD Filter Bit	4(Standard cuts with very loose DCA)
Number of CrossedRows	>70
CrossedRows Over Findable Cluster	>0.8
Number of TPC PID clusters	>50
Number of ITS cluster	>3
ITS/TPC refit	TRUE
$p_T^e$ (GeV/c)	>0.5
$\eta$	< 0.8
SPD hit	kBoth (suppress photon conversion)
prefilter cut	$m_{e^+e^-} < 0.05 \text{ GeV}/c^2$

**Table 2:** Tracking cuts for e applied in this analysis.

Cuts variables	cuts
Number of CrossedRows	>70
CrossedRows Over Findable Cluster	>0.8
Number of TPC PID clusters	>50

**Table 4:** The tracking cuts for  $\Omega$  daughters applied in this analysis.

Cuts variables	$2 < p_T \text{ (GeV/c)} < 4$	$4 < p_T \text{ (GeV/c)} < 6$	$6 < p_T \text{ (GeV/c)} < 12$
$n\sigma_{\text{TOF}}(K \leftarrow \Omega)$	-999 or(-5, 5)	-999 or(-5, 5)	-999 or(-5, 5)
$n\sigma_{\text{TOF}}(e)$	(-5, 5)	(-5, 5)	(-5, 5)
$n\sigma_{\text{TPC}}(e)$	(-4, 4)	(-4, 4)	(-4, 4)
CosOA	>0	>0.25	>0.5
$\chi^2_{\text{topo}}(\Omega \text{ to PV})$	>0	>0	>0

**Table 5:** The further pre-selections applied in this analysis.

**Note:** Electron-candidate tracks without TOF PID information are **not** included in this analysis, as it will bring huge contamination from other hadrons

$p_T \text{ (GeV/c)}$	2-4	4-6	6-12
<b>Prompt</b>	<b>49705</b>	<b>54126</b>	<b>41341</b>
<b>Background</b>	<b>43832</b>	<b>24402</b>	<b>9366</b>

Candidates for training:

Signal (S): pure MC signal

Background (B):

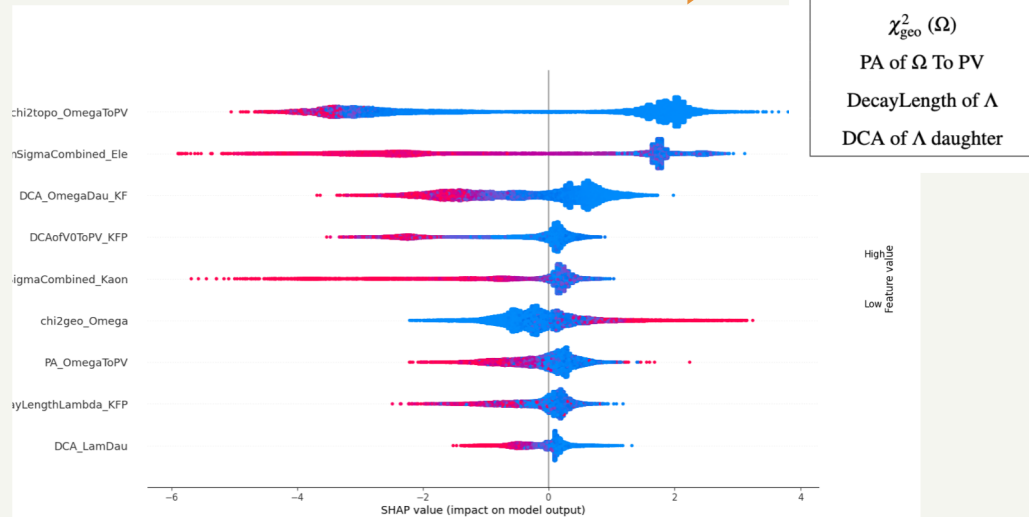
Same event wrong sign (SE(WS))

# BDT output ( $2 < p_T \text{ (GeV/c)} < 4$ )

Probability distribution



Feature importance



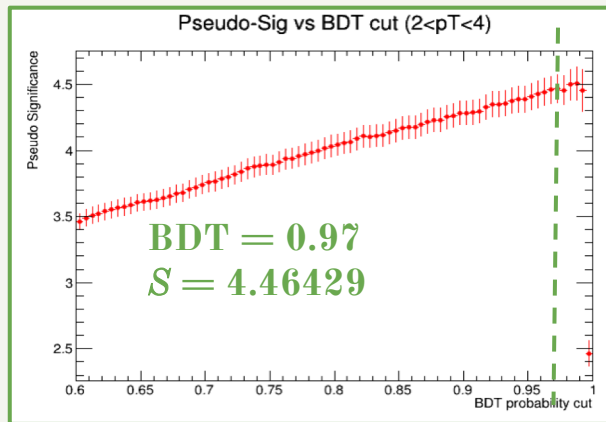
- The plots for other  $p_T$  bins can be seen [here](#)

# Working point determination

- **Expected signal (s):** obtained from hadronic result ( [HEPData](#) )
  - The result is  $\text{BR} \cdot d\sigma/dp_T dy$
  - Assumption: same ratio as  $\Xi_c^0 \text{BR}_{\text{Semi}}/\text{BR}_{\text{Hadr}} : 1.38 \pm 0.14 \text{ (stat.)} \pm 0.22 \text{ (syst)}$ ([paper link](#))

$$N_{\Omega_c^0} = 2 \cdot \left( \frac{d\sigma}{dp_T dy} \right) \cdot \Delta y \cdot \Delta p_T \cdot (\text{Acc} \times \epsilon) \cdot L_{\text{int}} \cdot \text{BR}_{\text{semi}} = 2 \cdot \left( \frac{d\sigma}{dp_T dy} \cdot \text{BR}_{\text{had}} \right) \cdot \Delta y \cdot \Delta p_T \cdot (\text{Acc} \times \epsilon) \cdot L_{\text{int}} \cdot \frac{\text{BR}_{\text{semi}}}{\text{BR}_{\text{had}}}$$

- **Background (b):** Wrong-Sign (WS) in Same-Event
- **Efficiency:**  $\epsilon = \text{Acc} \times \epsilon_{\text{preselection}} \times \epsilon_{\text{BDT}}$ 
  - Preselection efficiency:  $\text{Acc} \times \epsilon_{\text{preselection}} = \text{MC}(\text{Reco})/\text{MC}(\text{Gen})$ 
    - $|y| < 0.8$
  - BDT efficiency:  $\epsilon_{\text{BDT}} = \text{MC}(\text{Reco})_{\text{w/ BDT cut}}/\text{MC}(\text{Reco})_{\text{w/o BDT cut}}$
- **Pseudo-significance:**  $S = s/\sqrt{(s+b)}$ 
  - Estimation of the significance expected in data
  - It is used to find the working point
    - Helps to reduce the bias on the BDT cut tuning
  - The distribution for other  $p_T$  bins seen [here](#)

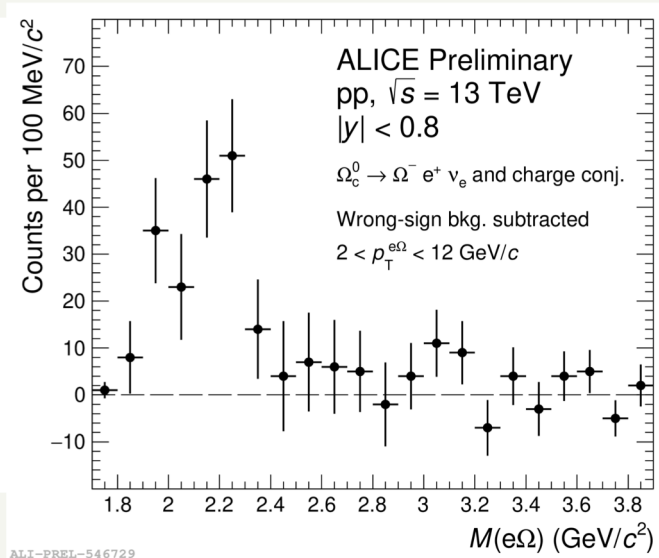
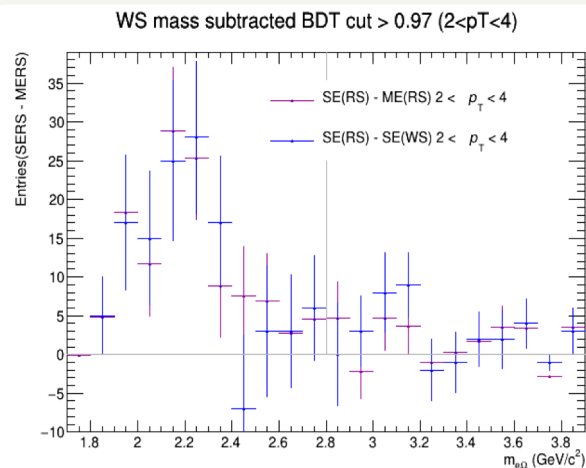
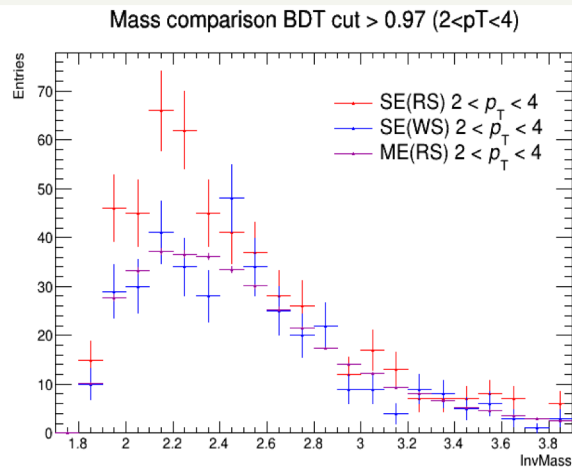


# Comparison mass distribution

**SE(WS): Same event wrong sign ( $e^\pm\Omega^\pm$ )**

**SE(RS): Same event right sign ( $e^\pm\Omega^\mp$ )**

**ME(RS): Mixed event right sign ( $e^\pm\Omega^\mp$ )**

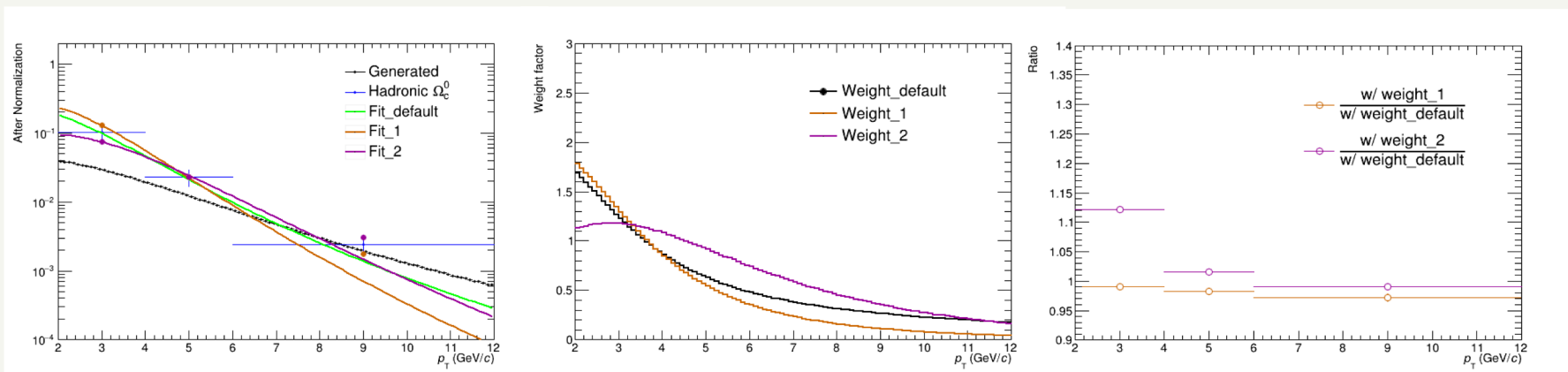


Other two  $p_T$  bins can be seen [here](#)

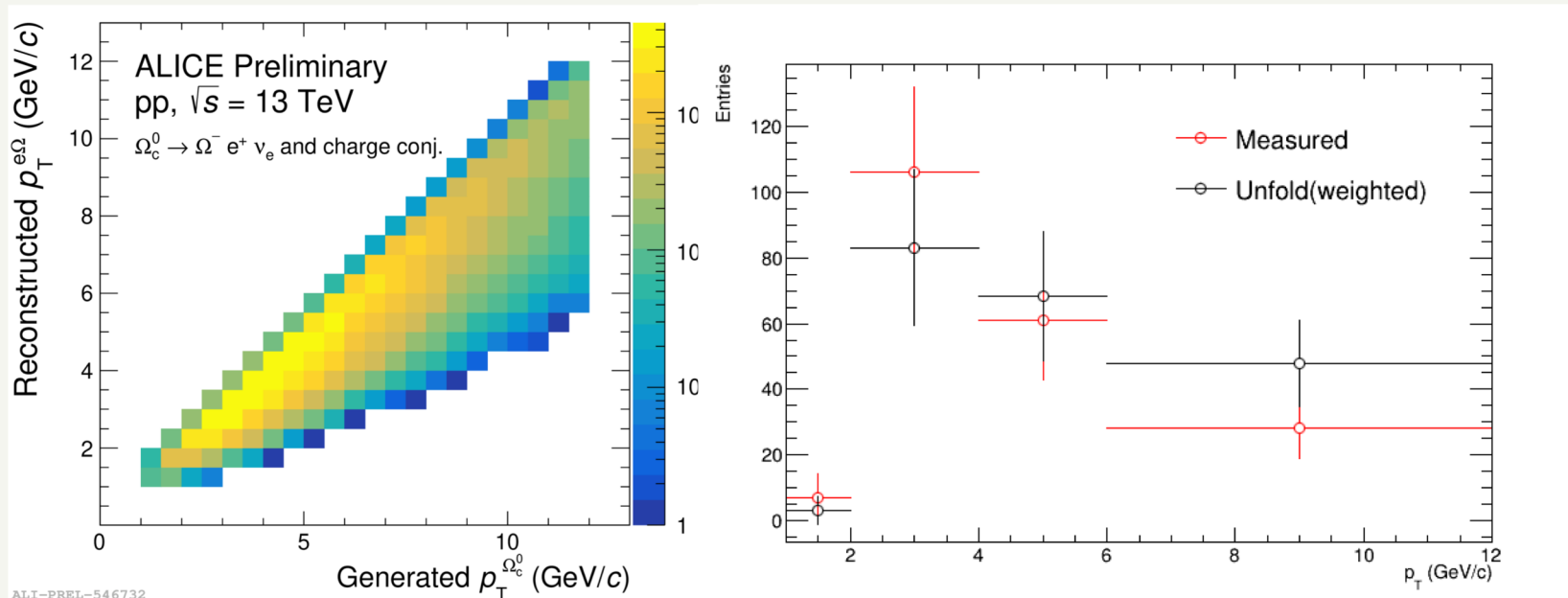
- The idea of mixed event is to create pairs of tracks to mimic uncorrelated background
  - The tracks of pairs ( $e$  and  $\Omega$ ) should be from different events with similar mult. and  $z_{\text{vtx}}$  position
- The distributions from Mixed-Event (ME), are compatible with SE(WS)
- The SE(WS) is used for the background subtraction in this analysis

# MC $p_T$ re-weight

- The **PYTHIA**  $\Omega_c^0$   $p_T$  spectrum poorly describes the measured  $p_T$  spectrum of the **hadronic** decay channel
  - Reweighting the MC  $p_T$  spectrum is needed to better match nature
- Weight factor is obtained using a Tsallis fit to the hadronic  $\Omega_c^0$  spectrum
  - **Green** one is chosen for the central weighting strategy
  - Other two fits are used to assign systematic uncertainty



# Unfolding procedure



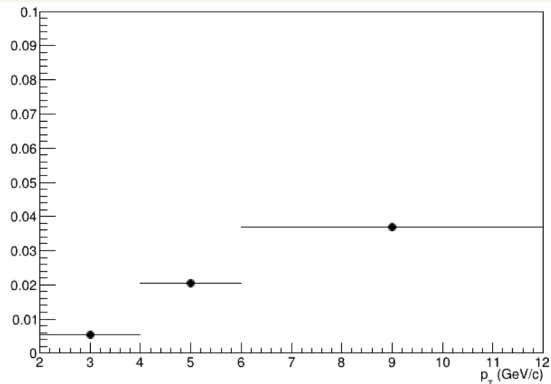
- The correction for the missing momentum of the neutrino performed by the **Bayesian unfolding technique**
  - The response matrix represents the correlation between the  $p_T$  of the  $\Omega_c^0$  baryon and that of the reconstructed  $e\Omega$  pair
- The  $p_T$  weight is applied to the response matrix
- The refold procedure is done to check the stability of the unfolding procedure
- The **weighted unfolded yield of  $\Omega_c^0$**  (black color) is used to **correct** for **acceptance-times-efficiency**



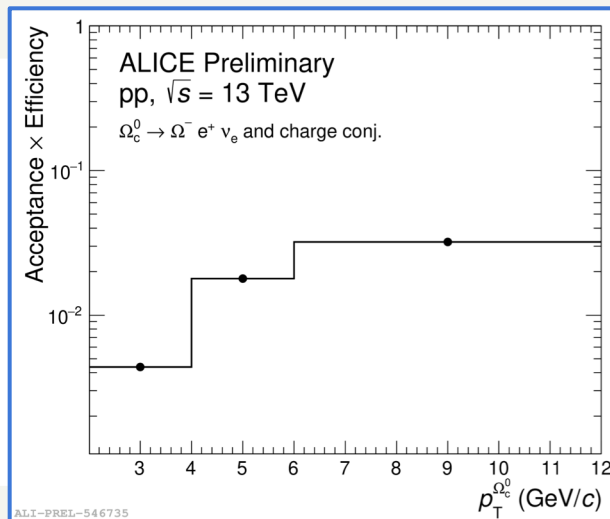
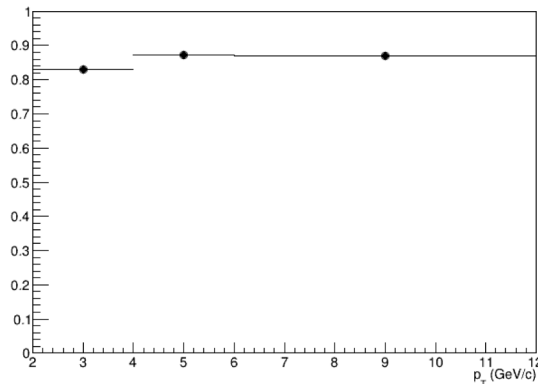
# Reconstruction efficiency

- The  $p_T$  weight is applied
- **Reconstruction efficiency:**  $\varepsilon = \text{Acc} \times \varepsilon_{\text{preselection}} \times \varepsilon_{\text{BDT}}$  :
  - Preselection efficiency:  $\text{Acc} \times \varepsilon_{\text{preselection}} = \text{MC}(\text{Reco})/\text{MC}(\text{Gen})$ 
    - $|y| < 0.8$
  - BDT efficiency:  $\varepsilon_{\text{BDT}} = \text{MC}(\text{Reco})_{\text{w/ BDT cut}}/\text{MC}(\text{Reco})_{\text{w/o BDT cut}}$

Acc  $\times$   $\varepsilon_{\text{preselection}}$



BDT efficiency



# Systematic uncertainty estimation

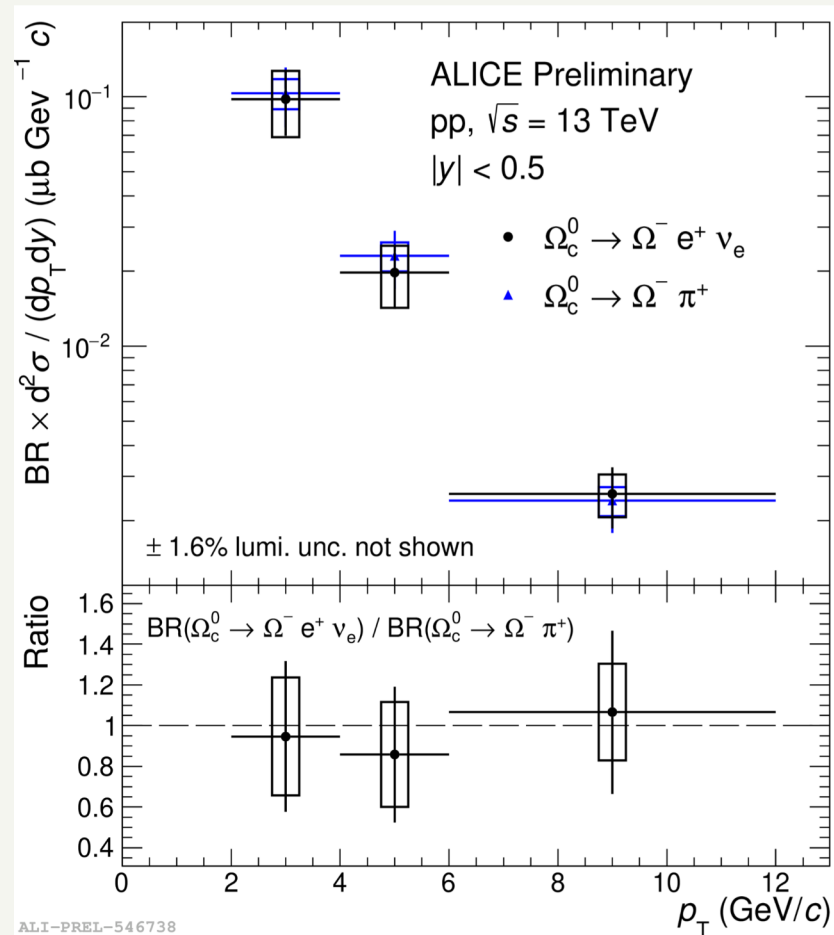
- The various contributions of systematic uncertainties are summed in quadrature
  - **Yield extraction:  $e\Omega$  Mass cut**
  - **ITS-TPC matching**
  - **Track quality selection**
  - **Unfolding procedure**
    - Bayesian-unfolding iterations
    - Unfolding method
    - Unfolding  $p_T$  binning
  - **BDT cut variations**
  - **MC  $p_T$  shape**

$p_T$ (GeV/c)	2–4	4–6	6–12
$e\Omega$ pair mass	10%	10%	10%
ITS-TPC matching	2%	2%	2%
Track quality selection	4%	4%	4%
Bayesian-unfolding iterations	4%	4%	4%
Unfolding method	4%	4%	4%
Response-matrix $p_T$ range and binning	20%	20%	–
BDT cut variation	15%	15%	15%
MC $p_T$ shape	10%	2%	1%
Total systematic uncertainty	$\pm 30\%$	$\pm 28\%$	$\pm 19\%$
Luminosity	1.6%		

**Table 14:** The Summary of the systematic uncertainties in this analysis.

# Final result comparison

- First comparison of two different decay channels at ALICE
  - Correlated systematics between the two analysis
    - ITS-TPC matching
    - Track quality selection
    - MC  $p_T$  shape
  - Lumi. uncertainties fully cancel in the ratio
- The measurement of branching-fraction  $BR(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e) / BR(\Omega_c^0 \rightarrow \Omega^- \pi^+)$  is obtained



# Weighted average of the 3 $p_T$ intervals

- The ratio of the two measurements was averaged over  $p_T$  using the inverse uncorrelated relative uncertainties as **weights** ( [link](#) )
  - The weights were defined as the sum in quadrature of the relative statistical and the  $p_T$ -uncorrelated part of the syst. unc.

Weights:  
 $\frac{1}{w_i^2}$

$$w_i = \sqrt{\left(\frac{\sigma_i^{\text{stat}}}{R_i}\right)^2 + \left(\frac{\sigma_i^{\text{pTuncorr}}}{Y_i}\right)^2}$$

$$\langle R \rangle_{pT} = \frac{\sum_i \left( R_i \cdot \frac{1}{w_i^2} \right)}{\sum_i \left( \frac{1}{w_i^2} \right)}$$

$$\langle \sigma_{\text{stat}} \rangle_{pT} = \frac{\sqrt{\sum_i \left( \sigma_i^{\text{stat}} \cdot \frac{1}{w_i^2} \right)^2}}{\sum_i \left( \frac{1}{w_i^2} \right)}$$

$$\langle \sigma_{\text{syst}}^{\text{pTuncorr}} \rangle_{pT} = \frac{\sqrt{\sum_i \left( \sigma_i^{\text{pTuncorr}} \cdot \frac{1}{w_i^2} \right)^2}}{\sum_i \left( \frac{1}{w_i^2} \right)}$$

$$\langle \sigma_{\text{syst}}^{\text{pTcorr,up}} \rangle_{pT} = \langle R \rangle_{pT} \cdot \sqrt{\left( \frac{\langle R^{\text{pTcorr,down;hadro}} \rangle_{pT}}{\langle R \rangle_{pT}} - 1 \right)^2 + \left( \frac{\langle R^{\text{pTcorr,up;semi}} \rangle_{pT}}{\langle R \rangle_{pT}} - 1 \right)^2}$$

$$\langle \sigma_{\text{syst}}^{\text{pTcorr,down}} \rangle_{pT} = \langle R \rangle_{pT} \cdot \sqrt{\left( \frac{\langle R^{\text{pTcorr,up;hadro}} \rangle_{pT}}{\langle R \rangle_{pT}} - 1 \right)^2 + \left( \frac{\langle R^{\text{pTcorr,down;semi}} \rangle_{pT}}{\langle R \rangle_{pT}} - 1 \right)^2}$$

$$\langle \sigma_{\text{syst}}^{\text{pTcorr}} \rangle_{pT} = \max[\langle \sigma_{\text{syst}}^{\text{pTcorr,up}} \rangle_{pT}, \langle \sigma_{\text{syst}}^{\text{pTcorr,down}} \rangle_{pT}]$$

The  $p_T$ -correlated syst. unc. were propagated by recomputing the ratio after shifting up and down the ratios with the corresponding  $p_T$ -correlated syst. unc.

Same procedure was performed  $\Xi_c^0$  [paper](#), ( [slides](#) )

## Semileptonic:

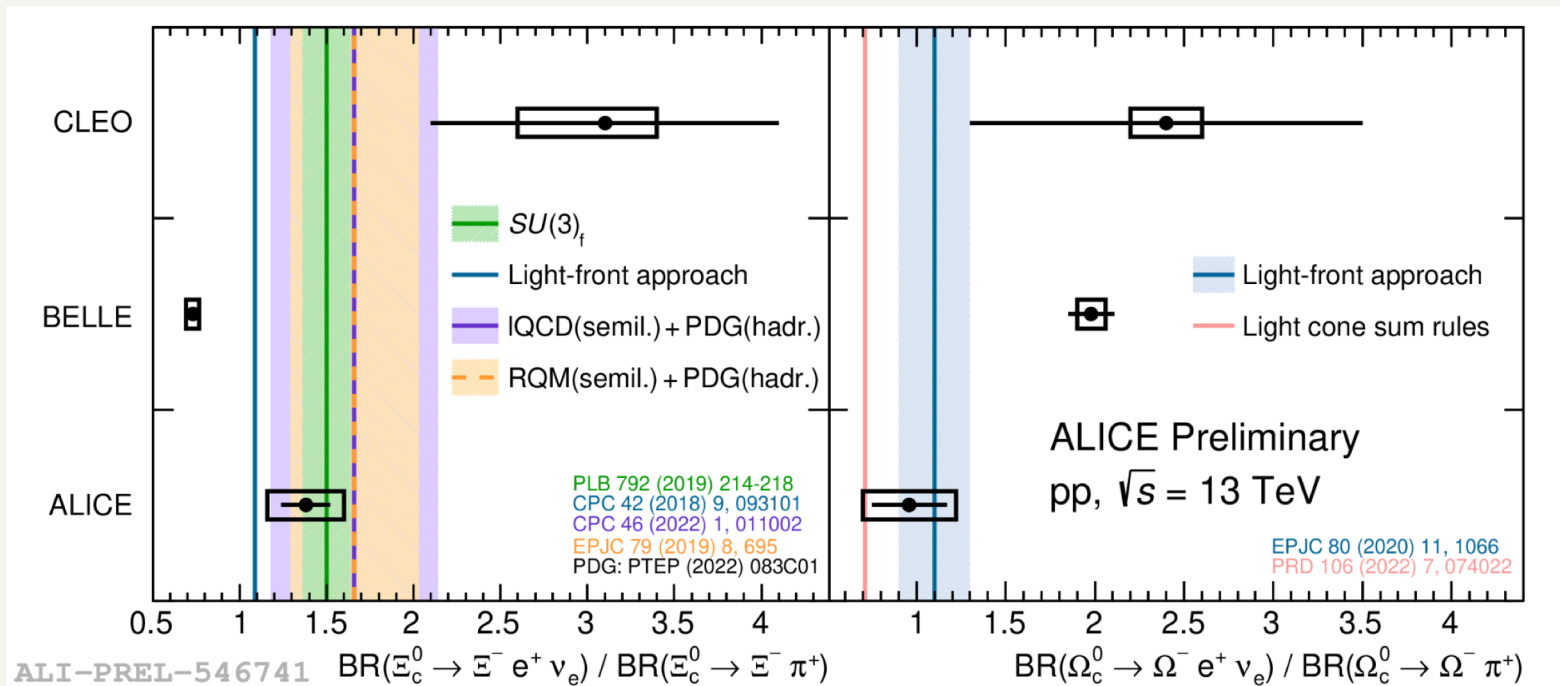
All the systematic sources are considered as  $p_T$  correlated

## Hadronic:

MC  $p_T$  shape, BDT cut variation, track quality selection and ITS-TPC matching (all except raw-yield extraction) are considered as  $p_T$  correlated

# BR ratios comparison:

- no  $\sigma$  difference between ALICE and BELLE:
  - For  $\Xi_c^0$  :  $2.5\sigma$
  - For  $\Omega_c^0$  :  $2.7\sigma$



→ ALICE:  $0.96 \pm 0.21(\text{stat.}) \pm 0.28(\text{syst.})$

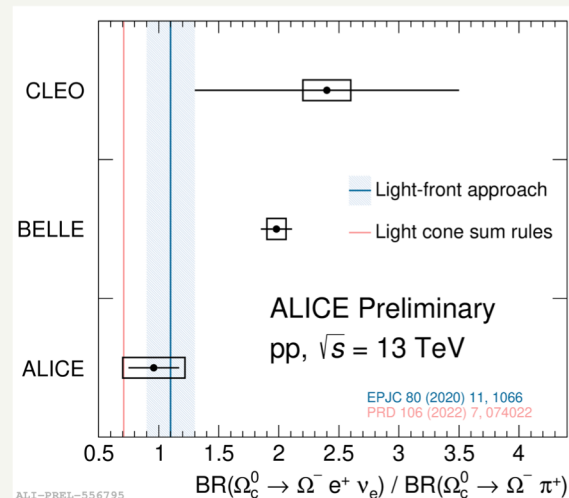
→ Belle:  $1.98 \pm 0.13 (\text{stat.}) \pm 0.08(\text{syst.})$

# Summary

This result is shown in QM  
ALICE highlight [talk](#)

- The production of the charm-strange baryon  $\Omega_c^0$  in its semileptonic decay channel ( $\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e$ ) is measured for its first time in ALICE
- The ratio of  $\text{BR}(\Omega_c^0 \rightarrow \Omega^- e^+ \nu_e) / \text{BR}(\Omega_c^0 \rightarrow \Omega^- \pi^+)$  is measured in ALICE
  - There is about  $2.7\sigma$  tension compared with the one from BELLE collaboration
  - Our result is fully compatible with the theory results

- After preliminary, the ME used as bkg
  - Effects of 10% on the raw yield extraction
  - **Improve** the relative statistical error about **20-25%**
- Paper proposal was on 15<sup>th</sup> Nov. ([link](#))



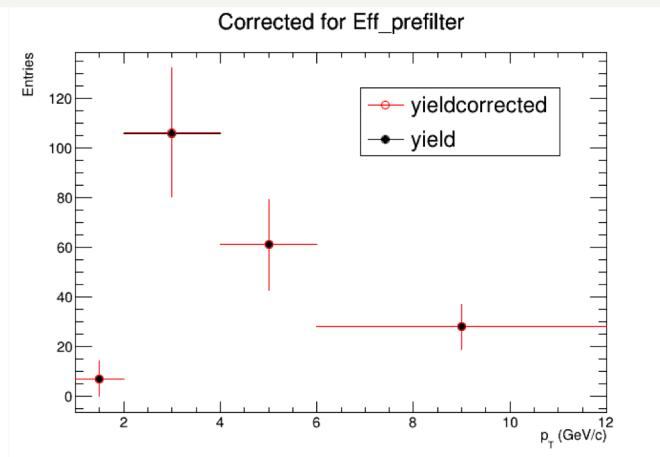
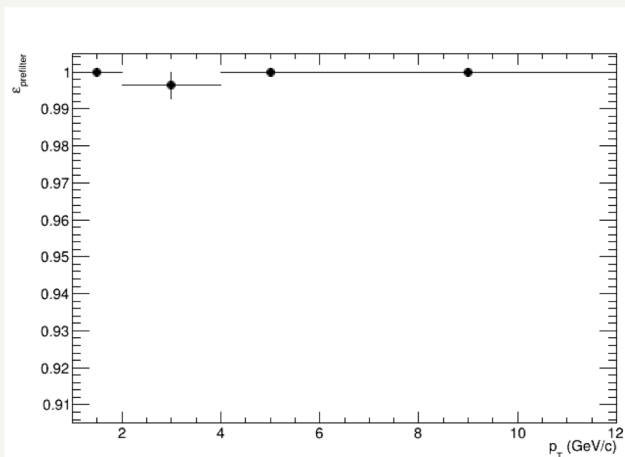
# Thanks for your attention

## ADDITIONAL SLIDES

# Prefilter correction

- Non-HF electrons are mainly from  $\gamma$  conversion or Dalitz decays, which can be removed by using electron pair mass cut (called ‘prefilter’)
  - There is a probability of wrongly tagging an electron as photonic
- The prefilter efficiency is calculated using real data, to correct for the ‘missing’ electrons:
  - It is a very minimal effect

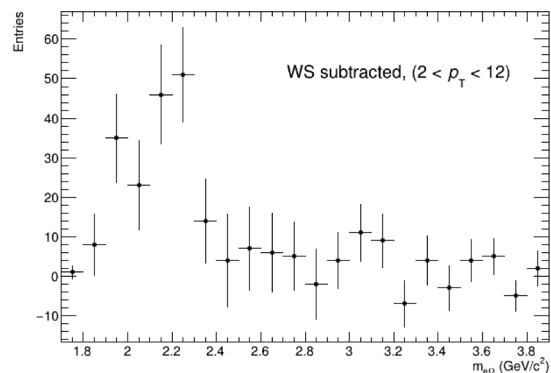
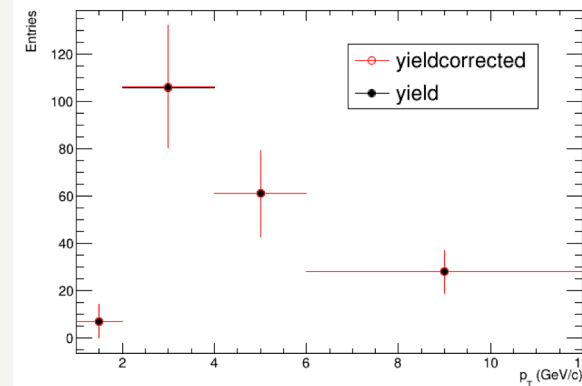
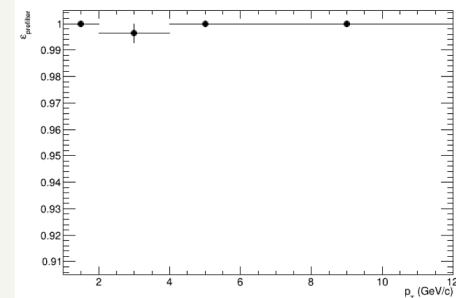
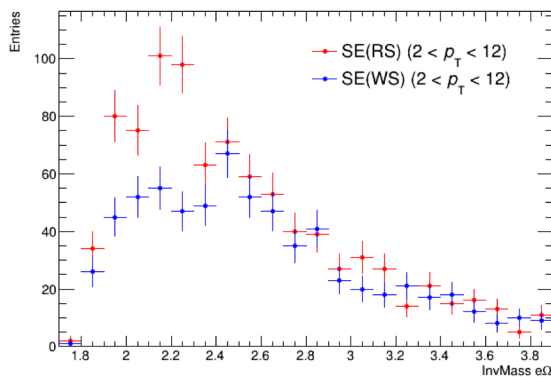
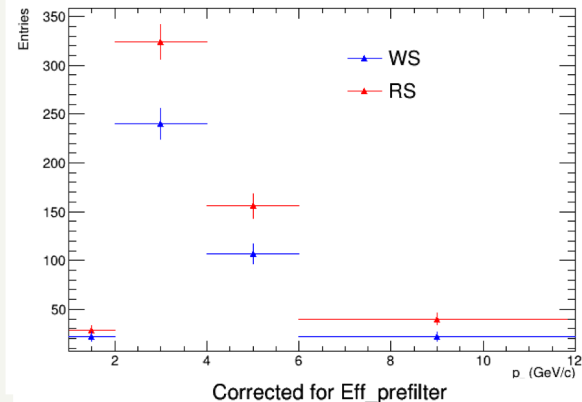
$$\epsilon_{\text{prefilter}} = \frac{N_{e\Omega}(\text{same sign prefilter on})}{N_{e\Omega}(\text{prefilter off})}$$





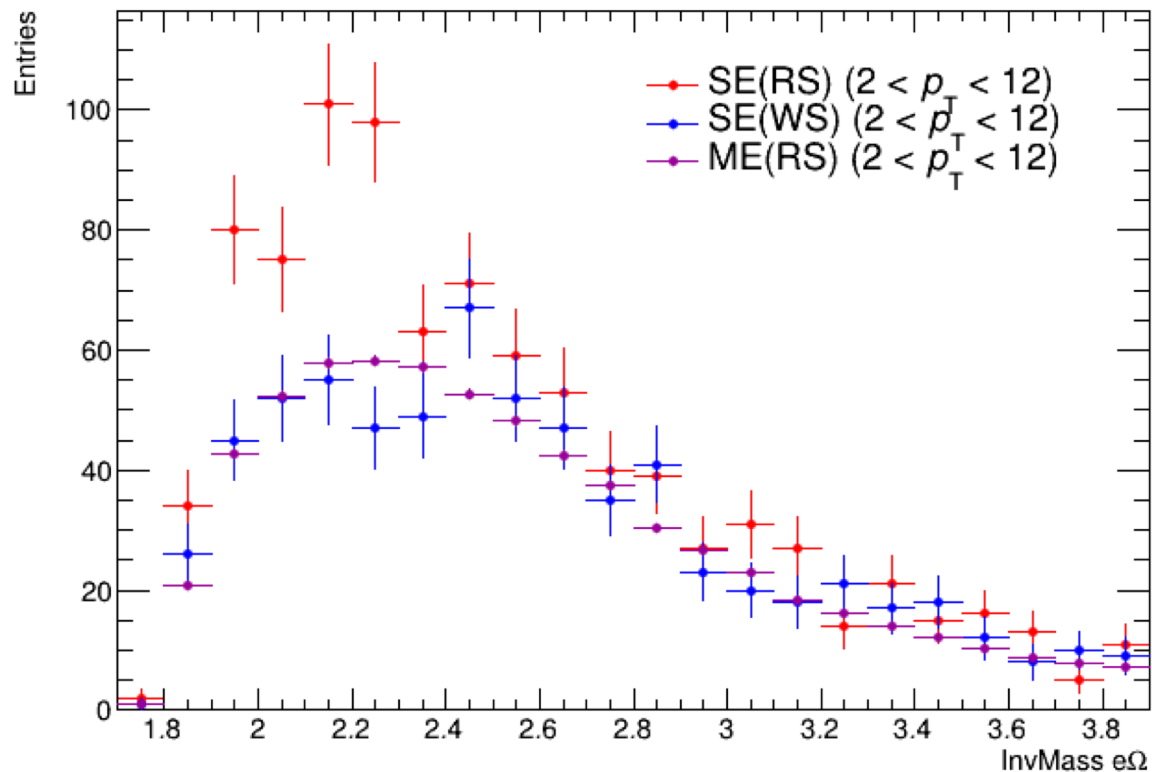
# Yield and mass distribution

To correct the wrong tagging for  $e\Omega$



- Top left: The  $p_T$  distributions of WS and RS  $e\Omega$  pairs
- Top right: The invariant mass distribution of RS and WS  $e\Omega$  pairs
- Bottom left: The yield distribution of  $p_T^{e\Omega}$
- Bottom right: The invariant mass distribution of WS  $e\Omega$  subtracted

# Mass distribution comparison

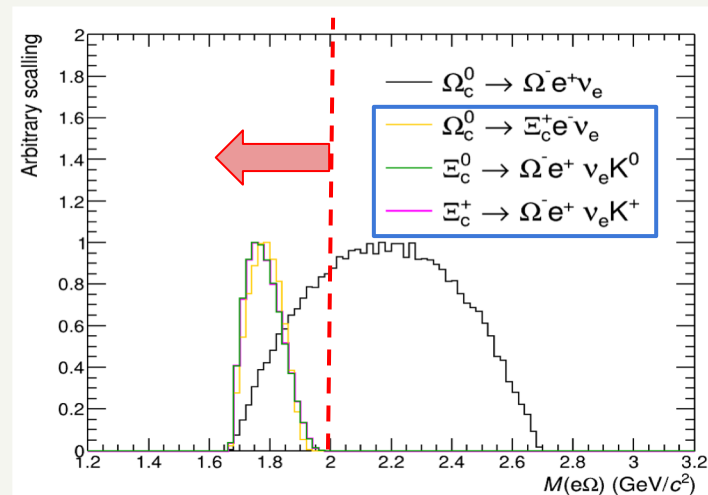


# Systematic study 1): PYTHIA 8 study

Thanks to Luuk and Sanghoon

- Investigate possible contaminations to RS  $e\Omega$  pairs from other decays
  - There are no guidelines from PDG about possible ‘contaminating’ decay channels
  - We consider the following **three** decay channels as contamination [1, 2]
- It shows that there will be clean signal region if the mass cut  $> 2$  is applied
  - **Application of mass cut is reported in the next slide**

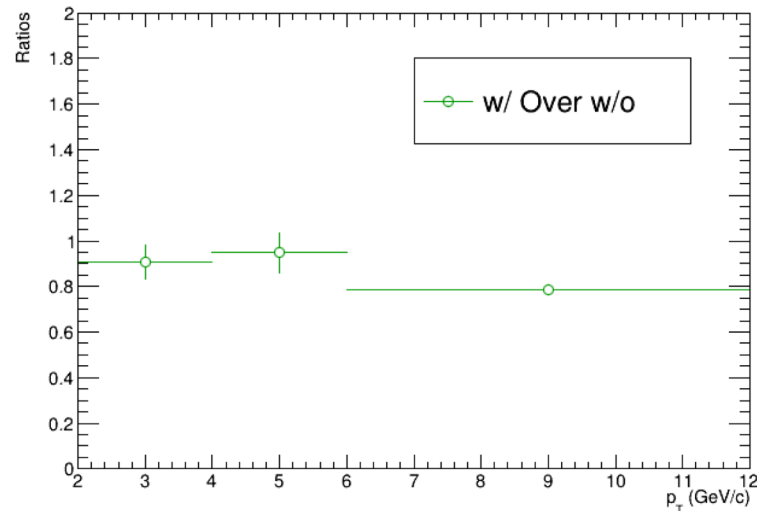
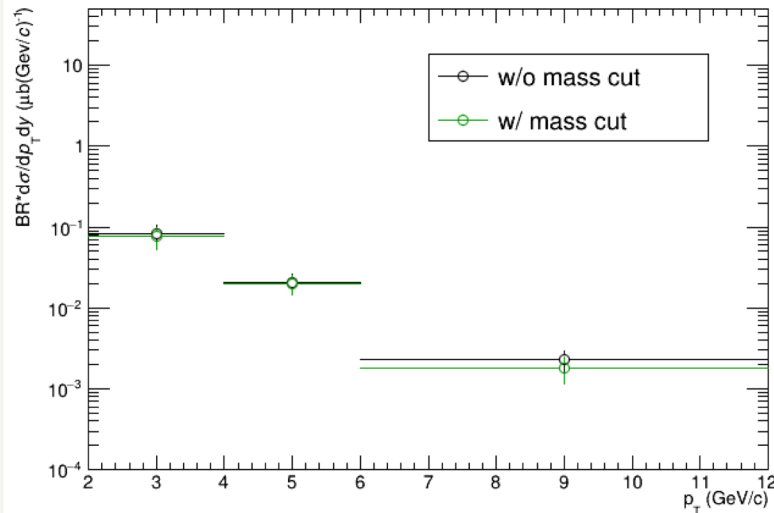
! Belle and CLEO do not perform a correction/apply a selection regarding contaminating decays



[1] N R Soni\*, Proceedings on Nucl. Phys. 60 (2015)

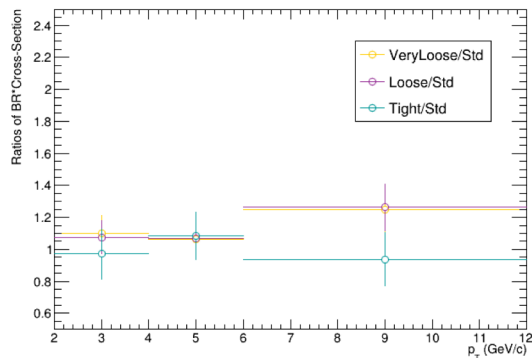
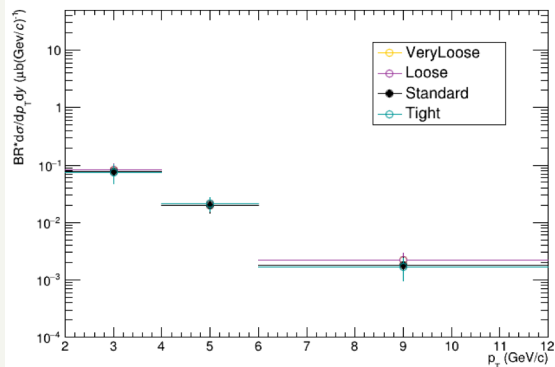
[2] R. Ammar, et al, CLEO Collaboration, PRL 89.171803

# Systematic study 1): Mass cut check: $BR \times d\sigma/dp_T dy$



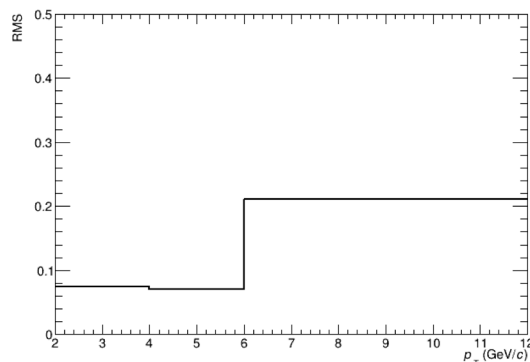
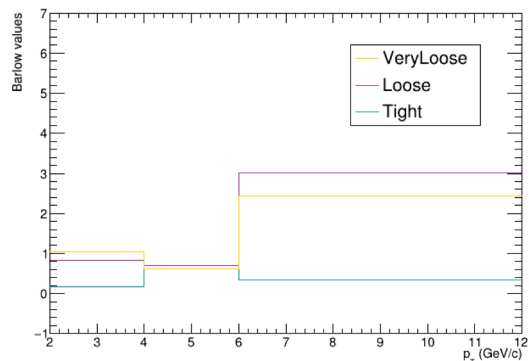
- The application of a mass cut at 2 GeV/c<sup>2</sup> gives about 10% effect (assigned as systematic)
- There is a big fluctuation at last  $p_T$  bin due to lack of statistics
- Study effect of varying the mass cut can be seen in the next slide

# Systematic study 1): $e\Omega$ mass mass cut



Cut variable	VeryLoose	Loose	Standard	Tight
$M_{e\Omega}$ (GeV/ $c^2$ )	1.8	1.9	2	2.1

- Together with the mass cut check (above slide), 10% is assigned for the systematic



10% for Syst.