

SMEFT vs HEFT in the case of type-II seesaw

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Overview

- SMEFT v.s. HEFT
- Type-II seesaw
- Matching onto SMEFT
- Matching onto HEFT
- Numerical evaluation

SMEFT v.s. HEFT

Standard Model Effective Field Theory (SMEFT)

$$\mathcal{L}_{\text{SMEFT}}^{(\text{LO+NLO})} \supset (D_\mu H)^\dagger (D^\mu H) + \frac{C_{\varphi\Box}}{\Lambda^2} (H^\dagger H) \Box (H^\dagger H) + \dots$$

Symmetry $SU(3)_C \times SU(2)_L \times U(1)_Y$

Field contents Written in a basis *before* the EW symmetry breaking

Power counting $1/M$ expansion

H, W_μ^a, B_μ, \dots

Higgs Effective Field Theory (HEFT)

$$\mathcal{L}_{\text{HEFT}}^{(\text{LO})} \supset m_W^2 W_\mu^+ W^{-\mu} \left[1 + 2\kappa_W \frac{h}{v} + \kappa_W^{(2)} \left(\frac{h}{v} \right)^2 + \dots \right]$$

Symmetry $SU(3)_C \times SU(2)_L \times U(1)_Y$ * $SU(2)_L \times U(1)_Y$ is non-linearly realized

Field contents Written in a basis *after* the EW symmetry breaking

Power counting Loop expansion

$h, W_\mu^\pm, Z_\mu, A_\mu, \dots$

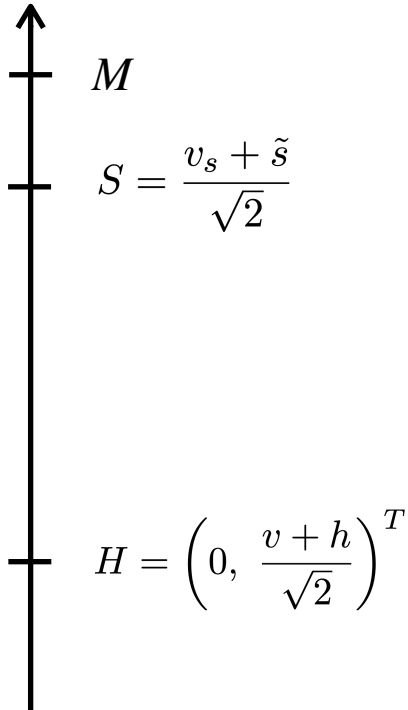
SM + singlet scalar

$$\mathcal{L} = (D^\mu H)^\dagger (D_\mu H) + \frac{1}{2} \partial_\mu S \partial^\mu S - V(H, S)$$

Buchalla et al.
[1608.03564](#)

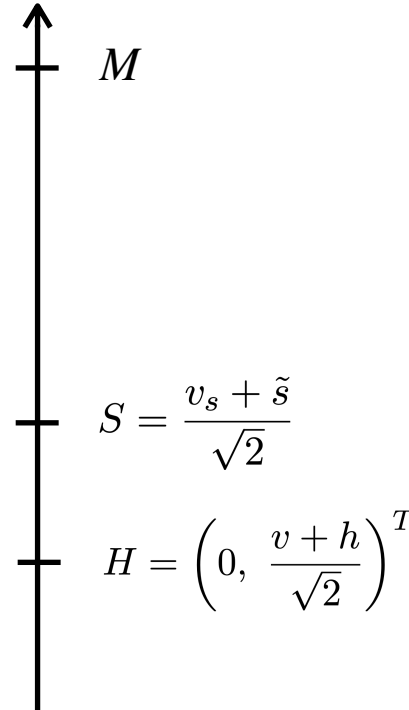
$$H \in \mathbf{2}_{1/2}, \quad S \in \mathbf{1}_0 \quad V(H, S) = -\frac{\mu_1^2}{2} H^\dagger H - \frac{\mu_2^2}{2} S^2 + \frac{\lambda_1}{4} (H^\dagger H)^2 + \frac{\lambda_2}{4} S^4 + \frac{\lambda_3}{2} H^\dagger H S^2$$

E



SMEFT

E



HEFT

$$\mathcal{L}_{\text{SMEFT}}^{(\text{LO+NLO})} \supset (D_\mu H)^\dagger (D^\mu H) + \frac{C_{\varphi\Box}}{\Lambda^2} (H^\dagger H) \Box (H^\dagger H) + \dots$$

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E

M

SMEFT

$$S = \frac{v_s + \tilde{s}}{\sqrt{2}}$$

Integrate out \tilde{s}

$$\mathcal{L}_{\text{NLO}} = -\frac{1}{4} \frac{\lambda_3^2}{\lambda_2 M_s^2} (H^\dagger H) \square (H^\dagger H)$$

$$H = \left(0, \frac{v+h}{\sqrt{2}} \right)^T$$

$$(D_\mu H)^\dagger (D^\mu H) - \frac{1}{4} \frac{\lambda_3^2}{\lambda_2 M_s^2} (H^\dagger H) \square (H^\dagger H)$$

$$\mathcal{L}_{\text{SMEFT}}^{(\text{LO+NLO})} \supset (D_\mu H)^\dagger (D^\mu H) + \frac{C_{\varphi \square}}{\Lambda^2} (H^\dagger H) \square (H^\dagger H) + \dots$$

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$$\mathcal{L}_{\text{SMEFT}}^{(\text{LO+NLO})} \supset (D_\mu H)^\dagger (D^\mu H) + \frac{C_\varphi \square}{\Lambda^2} (H^\dagger H) \square (H^\dagger H) + \dots$$

E

M

HEFT

$$S = \frac{v_s + \tilde{s}}{\sqrt{2}}$$

$$H = \left(0, \frac{v+h}{\sqrt{2}} \right)^T$$

$$\begin{pmatrix} h' \\ \tilde{s}' \end{pmatrix} = \begin{pmatrix} \cos \chi & -\sin \chi \\ \sin \chi & \cos \chi \end{pmatrix} \begin{pmatrix} h \\ \tilde{s} \end{pmatrix}$$

Integrate out \tilde{s}'

$$m_W^2 W_\mu^+ W^{-\mu} \left[2c_\chi \left(\frac{h}{v} \right) + \left(c_\chi^4 - s_\chi^3 c_\chi \frac{v}{v_s} \right) \left(\frac{h}{v} \right)^2 + \mathcal{O}(h^3) \right]$$

$$\mathcal{L}_{\text{HEFT}}^{(\text{LO})} \supset m_W^2 W_\mu^+ W^{-\mu} \left[1 + 2\kappa_W \frac{h}{v} + \kappa_W^{(2)} \left(\frac{h}{v} \right)^2 + \dots \right]$$

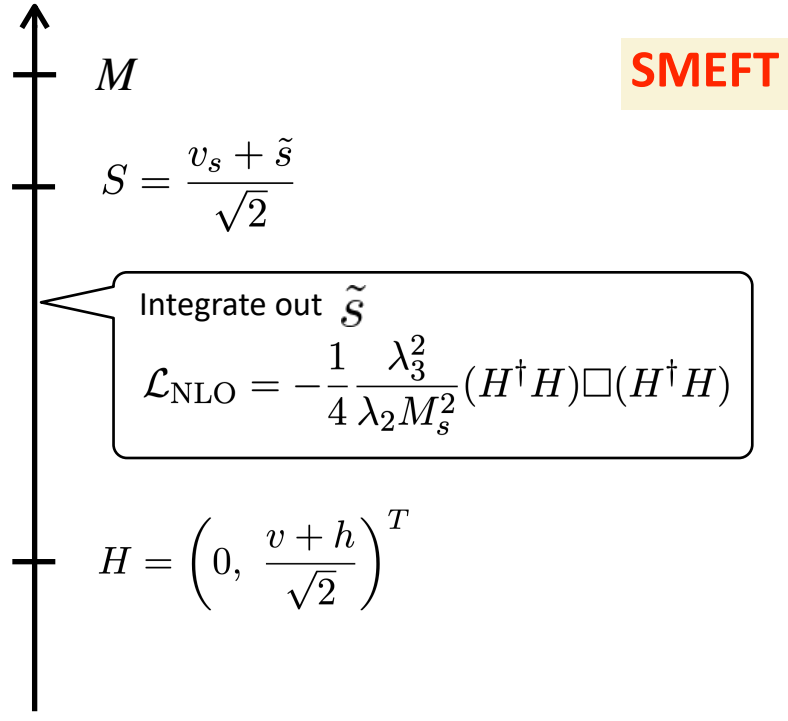
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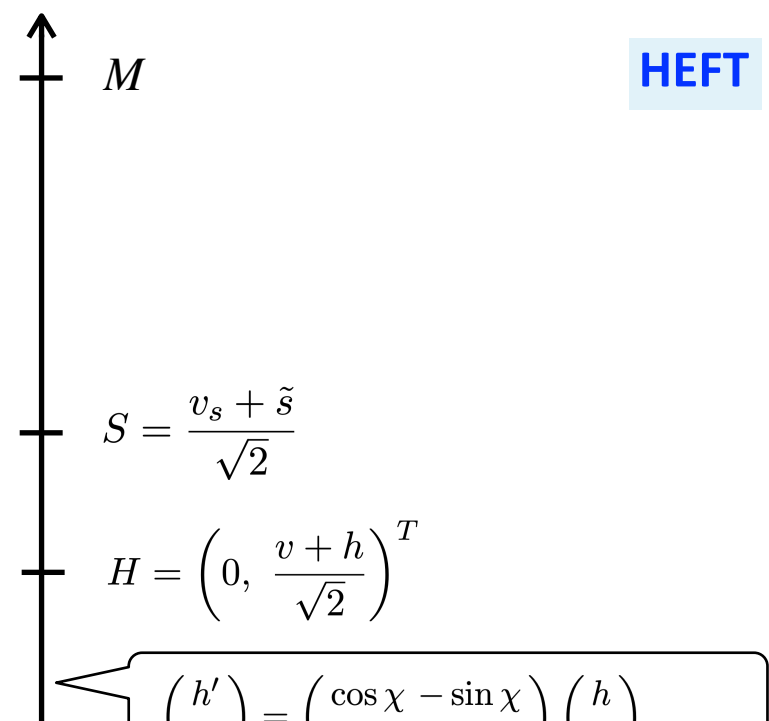
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E



SMEFT



HEFT

$$(D_\mu H)^\dagger (D^\mu H) - \frac{1}{4} \frac{\lambda_3^2}{\lambda_2 M_s^2} (H^\dagger H) \square (H^\dagger H)$$

$$\supset m_W^2 W_\mu^+ W^{-\mu} \left[(2 - \chi^2) \left(\frac{\tilde{h}}{v} \right) + (1 - 2\chi^2) \left(\frac{\tilde{h}}{v} \right)^2 + \mathcal{O}(h^3) \right]$$

$$\chi^2 \doteq \frac{v^2}{2} \frac{\lambda_3^2}{\lambda_2 M_s^2}$$

$$m_W^2 W_\mu^+ W^{-\mu} \left[2c_\chi \left(\frac{h}{v} \right) + \left(c_\chi^4 - s_\chi^3 c_\chi \frac{v}{v_s} \right) \left(\frac{h}{v} \right)^2 + \mathcal{O}(h^3) \right]$$

$$c_\chi \simeq 1 - \frac{\chi^2}{2}, \quad s_\chi \simeq 0$$



Recent development

HEFT must be used

T. Cohen, N. Craig, X. Lu, D. Sutherland, JHEP 03 (2021) 237, 2008.08597

- when there is a new particle with a mass relatively close to the EW scale
- Or • when the new particle is a new source of the EW symmetry-breaking

Calculations in specific models

- Matching Singlet extension model onto SMEFT & HEFT

G. Buchalla, O. Cata, A. Celis, C. Krause Nucl.Phys.B 917 (2017) 209-233, 1608.03564

- Matching Triplet ($Y = 0$) extension model onto SMEFT & HEFT

T. Cohen, N. Craig, X. Lu, D. Sutherland, JHEP 03 (2021) 237, 2008.08597

- Matching 2HDM onto SMEFT & HEFT

S. Dawson, D. Fontes, C. Quezala -Calonge, J. J. SanzCill, Phys.Rev.D. (2021) 237, 2008.08597

Type-II seesaw

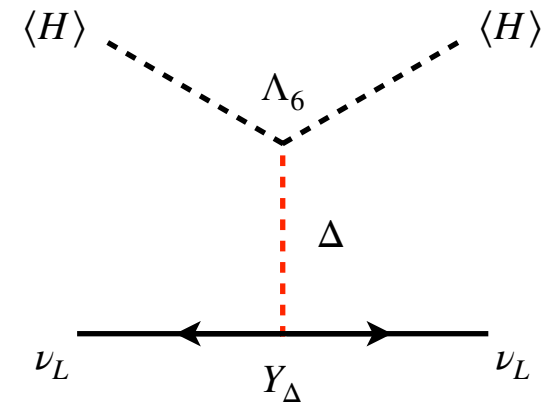
- In addition to $SU(2)_L$ doublet scalar $H \in (2, 1/2)$, triplet scalar $\Delta \in (3, 1)$ is introduced.

$$\Delta = \begin{pmatrix} \delta^+/\sqrt{2} & \delta^{++} \\ \delta^0 & -\delta^+/\sqrt{2} \end{pmatrix}$$

- Neutrino mass is generated by the $U(1)_L$ violating interactions

Lepton number violating operator

$$\mathcal{L}_{\cancel{L}} = \Lambda_6 H^T \Delta^\dagger H - Y_\Delta^{ij} \bar{L}_i^C \Delta L_j + \text{H.c.}$$



- Triplet VEV induces large deviations in ρ parameter from unity (custodial sym. breaking)

➡ Triplet VEV must be tiny: $v_\Delta \ll v_H$

Matching onto SMEFT

This is already done by IHEP group

X. Li, D. Zhang, S. Zhou, JHEP04(2022)038, 2201.05082

Symbol	Operator	dimensionless WC
dim 5		
$O_{pr}^{(5)}$	$\bar{L}^p \tilde{H} \tilde{H}^T L^{rC}$	$-\frac{\Lambda_6}{2M_\Delta} Y_\Delta^{*pr}$
dim 6		
O_H	$(H^\dagger H)^3$	$\frac{1}{2}(8\lambda - \lambda_4 + \lambda_5) \frac{\Lambda_6^2}{M_\Delta^2} - \frac{\Lambda_6^4}{M_\Delta^4}$
$O_{H\Box}$	$(H^\dagger H)\Box(H^\dagger H)$	$\frac{\Lambda_6^2}{2M_\Delta^2}$
O_{HD}	$(H^\dagger D_\mu H)^\dagger (H^\dagger D^\mu H)$	$\frac{\Lambda_6^2}{M_\Delta^2}$
O_{eH}^{pr}	$(H^\dagger H)(\bar{L}^p H e_R^r)$	$\frac{\Lambda_6^2}{2M_\Delta^2} Y_l^{pr}$
O_{uH}^{pr}	$(H^\dagger H)(\bar{Q}^p \tilde{H} u_R^r)$	$\frac{\Lambda_6^2}{2M_\Delta^2} Y_u^{pr}$
O_{dH}^{pr}	$(H^\dagger H)(\bar{Q}^p H d_R^r)$	$\frac{\Lambda_6^2}{2M_\Delta^2} Y_d^{pr}$
$O_{\ell\ell}^{prst}$	$(\bar{L}^p \gamma_\mu L^r)(\bar{L}^s \gamma^\mu L^t)$	$\frac{1}{4} Y_\Delta^{*ps} Y_\Delta^{rt}$

We rewrite the results of the matching onto SMEFT in terms of the broken phase fields.

$$\begin{aligned}
 \mathcal{L}_{\text{dim6}}^{\text{smeft}} &\supset (D_\mu H)^\dagger D^\mu H \\
 &+ \frac{C_{H\Box}}{M_\Delta^2} (H^\dagger H) \Box (H^\dagger H) \\
 &+ \frac{C_{HD}}{M_\Delta^2} (H^\dagger D_\mu H)^\dagger (H^\dagger D^\mu H) \\
 &\longrightarrow \frac{1}{2} \left[1 - 2c_{H,kin} \left(1 + \frac{h}{\tilde{v}_T} \right)^2 \right] \partial_\mu h \partial^\mu h \\
 H &= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v+h \end{pmatrix}
 \end{aligned}$$

To make h canonically normalized, we must perform

$$\tilde{h} = \int_0^h ds \sqrt{1 - 2c_{\Phi,kin} \left(1 + \frac{s}{\tilde{v}_T} \right)^2}$$

Matching onto HEFT

In the broken phase, there are too many particles to be integrated out, which makes the HEFT matching complicated.

	Before SSB	After SSB
Light field	$H \in (2,1)$	h
Heavy field	$\Delta \in (3,1)$	$H_0, A_0, H^\pm, H^{\pm\pm}$

- ① Utilize restrictions on the ρ parameter.

$$\rho = \frac{M_W^2}{M_Z^2 \cos^2 \theta_W} = 1.00038 \pm 0.00020$$

$$\rightarrow \epsilon \lesssim 10^{-2} \quad \epsilon := \frac{v_\Delta}{\sqrt{v_H^2 + 2v_\Delta^2}}$$

We perform double expansion w.r.t. ϵ and $1/M_\Delta$

Matching onto HEFT

There are too many particles to be integrated out ... $\{H_0, A_0, H^\pm, H^{\pm\pm}\}$

② We can utilize remnant of $U(1)_L$ sym.

$U(1)_L$ violating terms

$$\mathcal{L}_{\text{typeII}} = \mathcal{L}_{\text{SM}} - \lambda H H \Delta \Delta - (\Delta \Delta)^2 - \Lambda_6 H \Delta H - Y_\Delta L \Delta L$$

$\mathcal{L}_{\text{typeII}}$ is invariant under hypothetical Z_2

$$\Lambda_6 \rightarrow -\Lambda_6 \quad Y_\Delta \rightarrow -Y_\Delta \quad \Delta \rightarrow -\Delta$$

In the broken phase, this Z_2 becomes

$$\epsilon \rightarrow -\epsilon \quad Y_\Delta \rightarrow -Y_\Delta \quad \Phi_{\text{heavy}} \rightarrow -\Phi_{\text{heavy}}$$

$$\Phi_{\text{heavy}} \in \{H_0, A_0, H^\pm, H^{\pm\pm}\}$$

$$\epsilon := \frac{v_\Delta}{\sqrt{v_H^2 + 2v_\Delta^2}}$$

This suggests the solution of E.o.M. for Φ_{heavy} must take the form of

$$\Phi_{\text{heavy}}[\phi_{\text{SM}}] = \epsilon \left(\frac{\phi_{\text{SM}}^2}{M_\Delta} + \frac{\phi_{\text{SM}}^3}{M_\Delta^2} + \dots \right) + Y_\Delta \left(\frac{\bar{L}L}{M_\Delta^2} + \dots \right)$$

4-point $H_0^4, A_0^4, (H^-H^+)^2, \dots$ generate $\left(\Phi_{\text{heavy}}[\phi_{\text{SM}}]\right)^4 = \mathcal{O}(\epsilon^3) \mathcal{O}(1/M_\Delta^3)$, which can be neglected.

We get the list of the operator coefficients for the SMEFT and HEFT

Ex.) dim 5

dim 5		
Operator	WCs in SMEFT (Ref. [35])	WCs in HEFT (this work)
$\Delta L = 0$		
h^5	Eq. (5.6)	Eq. (5.7)
$h^3 W_\mu^- W^{+\mu}$	$\frac{2g^2}{3\tilde{v}_T} \tilde{\epsilon}^2 [1 + r_\Delta^2 (\lambda_4 - \lambda_5)]$	Eq. (5.8)
$h W_\mu^- W^{+\mu} W_\nu^- W^{+\nu}$	0	$-2\epsilon^2 g^4 (\kappa - 1) \frac{v_T (2M_\Delta^2 \kappa + v_T^2 (2\kappa - 1) (\lambda_4 - \lambda_5))}{(2M_\Delta^2 + v_T^2 (\lambda_4 - \lambda_5))^2}$
$h W_\mu^- W_\nu^+ W^{-\mu} W^{+\nu}$	0	$2\epsilon^2 g^4 \frac{v_T (4M_\Delta^2 \kappa + v_T^2 (2\kappa - 1) (\lambda_4 + \lambda_5))}{(2M_\Delta^2 + v_T^2 (\lambda_4 + \lambda_5))^2}$
$h^3 Z_\mu Z^\mu$	$\frac{4g^2}{3c_W^2 \tilde{v}_T} \tilde{\epsilon}^2 [1 + r_\Delta^2 (\lambda_4 - \lambda_5)]$	Eq. (5.9)
$h Z_\mu Z^\mu Z_\nu Z^\nu$	0	$-\epsilon^2 \frac{g^4}{c_W^4} (\kappa - 2) \frac{v_T (3M_\Delta^2 \kappa + v_T^2 (2\kappa - 1) (\lambda_4 - \lambda_5))}{(2M_\Delta^2 + v_T^2 (\lambda_4 - \lambda_5))^2}$
$h W_\mu^- W^{+\mu} Z_\nu Z^\nu$	0	$-\epsilon^2 g^2 \frac{g^2}{c_W^2} \frac{v_T (2M_\Delta^2 \kappa (4\kappa - 5) + v_T^2 (6\kappa^2 - 11\kappa + 4) (\lambda_4 - \lambda_5))}{(2M_\Delta^2 + v_T^2 (\lambda_4 - \lambda_5))^2}$
$h W_\mu^- W_\nu^+ Z^\mu Z^\nu$	0	$-2\epsilon^2 g^2 \frac{g^2}{c_W^2} \frac{M_\Delta^2 ((4\kappa - 2) c_W^2 - 8\kappa + 2) + v_T^2 (c_W^2 - 2) (2\kappa - 1) \lambda_4}{(2M_\Delta^2 + v_T^2 \lambda_4)^2}$
$i h W_\mu^- W_\nu^+ Z^{\mu\nu}$	0	$\epsilon^2 g^2 \frac{g}{c_W} \frac{2\kappa - 1}{2M_\Delta^2 + v_T^2 \lambda_4}$
$i (h \overleftrightarrow{D}_\mu W_\nu^-) W^{+\mu} Z^\nu$	0	$-\epsilon^2 g^2 \frac{g}{c_W} \frac{2\kappa - 1}{2M_\Delta^2 + v_T^2 \lambda_4}$
$h^2 \bar{\psi}_L \psi_R$	$\sqrt{2} \tilde{\epsilon}^2 Y_\psi^{pr} \frac{1}{\tilde{v}_T} (1 + (\lambda_4 - \lambda_5) r_\Delta^2)$	$\sqrt{2} \epsilon^2 Y_\psi^{pr} \kappa \frac{M_\Delta^2 (4 - 6\kappa) + v_T^2 \kappa (\lambda_4 - \lambda_5)}{v_T (2M_\Delta^2 + v_T^2 (\lambda_4 - \lambda_5))}$
$W_\mu^- W^{+\mu} \bar{\psi}_L \psi_R$	0	$\epsilon^2 g^2 Y_\psi^{pr} \frac{2\sqrt{2} v_T^2 \kappa (\kappa - 1)}{v_T (2M_\Delta^2 + v_T^2 (\lambda_4 - \lambda_5))}$
$Z_\mu Z^\mu \bar{\psi}_L \psi_R$	0	$\epsilon^2 \frac{g^2}{c_W^2} Y_\psi^{pr} \frac{\sqrt{2} v_T^2 \kappa (\kappa - 2)}{v_T (2M_\Delta^2 + v_T^2 (\lambda_4 - \lambda_5))}$

What we found : There are operators that are present in HEFT but not in SMEFT, especially those involving gauge fields

List of operators present in HEFT but absent in SMEFT

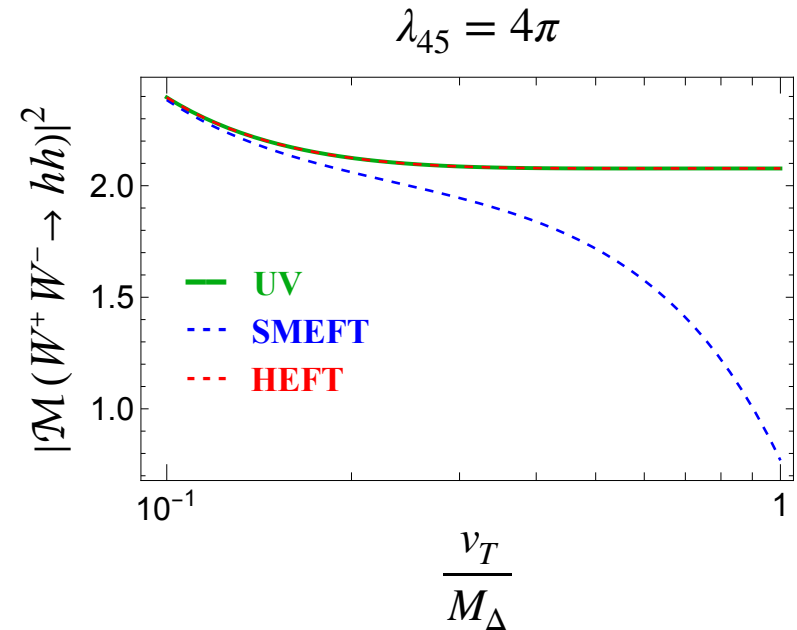
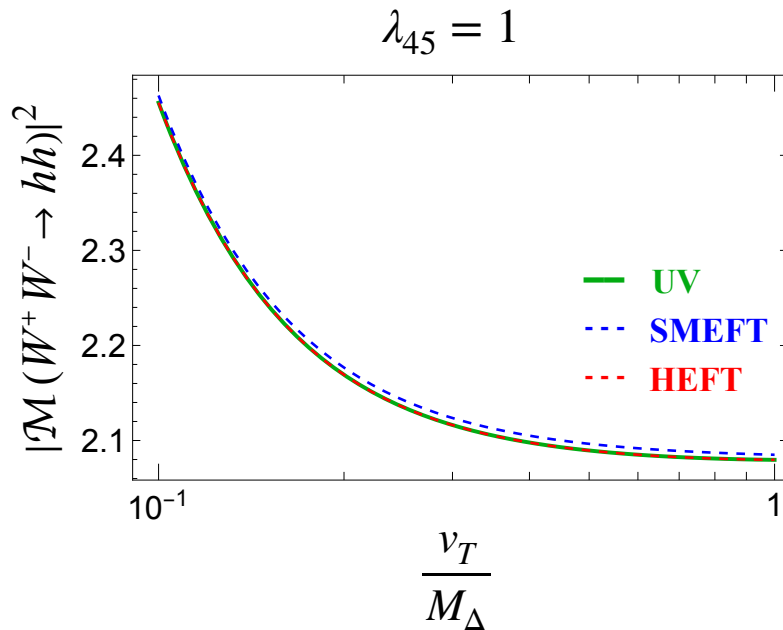
dim 4	dim 5		dim 6	SMEFT operators
$Z_\mu Z^\mu Z_\nu Z^\nu$	$\Delta L = 0$	$\Delta L = 2$	$(\partial_\mu h)(\partial_\nu h)W^{-\mu}W^{+\nu}$	$\bar{L}\tilde{H}\tilde{H}^T L^C$
	$hW_\mu^- W^{+\mu}W_\nu^- W^{+\nu}$	$W_\mu^- W^{+\mu}(\nu_L^T C\nu_L)$	$hh(D_\mu W^{-\mu})(D_\nu W^{+\nu})$	$(H^\dagger H)^3$
	$hW_\mu^- W_\nu^+ W^{-\mu}W^{+\nu}$	$W_\mu^+ W^{+\mu}(e_L^T C e_L)$	$hh(D_\mu D_\nu W^{-\mu})W^{+\nu}$	$(H^\dagger H)\square(H^\dagger H)$
	$hZ_\mu Z^\mu Z_\nu Z^\nu$	$W_\mu^+ Z^\mu(\nu_L^T C e_L)$	\vdots	$(H^\dagger D_\mu H)(H^\dagger D^\mu H)$
	$hW_\mu^- W^{+\mu}Z_\nu Z^\nu$	$Z_\mu Z^\mu(\nu_L^T C\nu_L)$	\vdots	$(H^\dagger H)(\bar{L}H e_R)$
	$hW_\mu^- W_\nu^+ Z^\mu Z^\nu$			$(H^\dagger H)(\bar{Q}\tilde{H}u_R)$
	$ihW_\mu^- W_\nu^+ Z^{\mu\nu}$			$(H^\dagger H)(\bar{Q}Hd_R)$
	$i(h\overleftrightarrow{D}_\mu W_\nu^-)W^{+\mu}Z^\nu$			$(H^\dagger H)(\bar{Q}Hd_R)$
	$W_\mu^- W^{+\mu}(\bar{\psi}_L\psi_R)$			$(\bar{L}\gamma_\mu L)(\bar{L}\gamma^\mu L)$
	$Z_\mu Z^\mu(\bar{\psi}_L\psi_R)$			

Numerical evaluation

We evaluate Higgs pair production through vector boson fusion: $W^+W^- \rightarrow hh$

$$\lambda_1 = 1, \quad \epsilon = v_\Delta/v_T = 10^{-2}, \quad \sqrt{s} = 250\text{GeV}, \quad \theta = \pi/4, \quad \lambda_{45} := \lambda_4 - \lambda_5$$

v_T : true vacuum θ : scattering angle M_Δ : scalar triplet mass



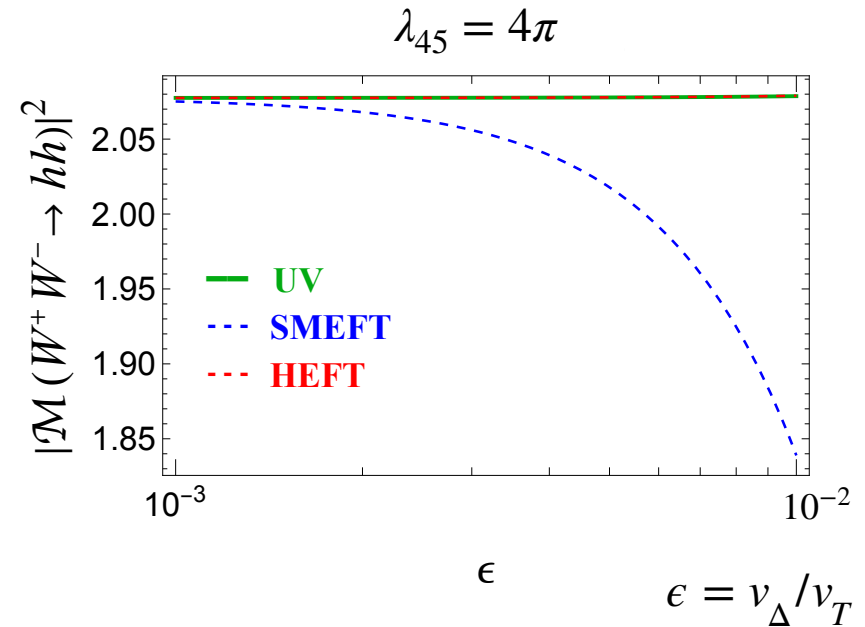
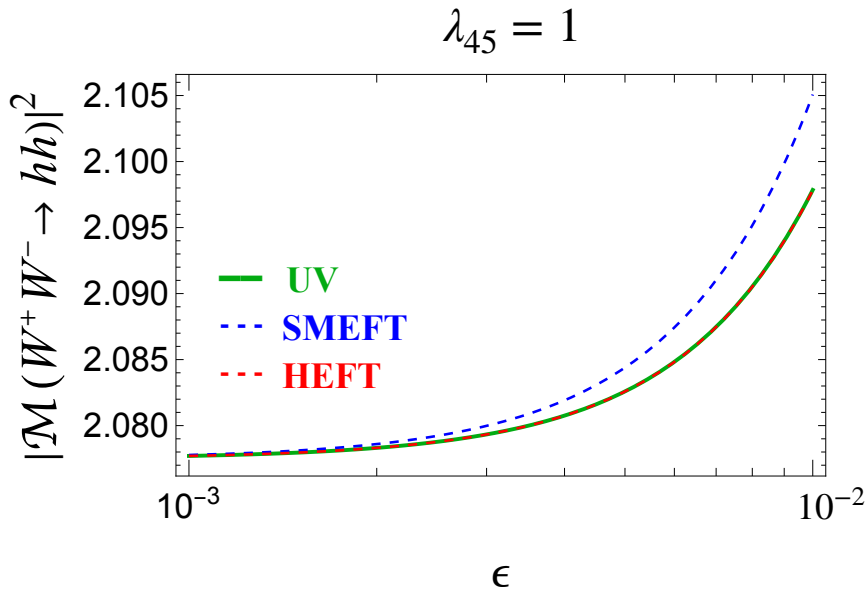
HEFT reproduces UV theory more accurately than SMEFT in the regime that v_T/M_Δ is close to unity.

Numerical evaluation

We evaluate Higgs pair production through vector boson fusion: $W^+W^- \rightarrow hh$

$$\lambda_1 = 1, \quad \frac{v_T}{M_\Delta} = 0.4, \quad \sqrt{s} = 250\text{GeV}, \quad \theta = \pi/4, \quad \lambda_{45} := \lambda_4 - \lambda_5$$

v_T : true vacuum θ : scattering angle M_Δ : scalar triplet mass



HEFT reproduces UV theory more accurately than SMEFT in the regime that ϵ is large. However, the differences between them are slight.

Summary

- We match type-II seesaw model onto SMEFT and HEFT and examine which EFT more accurately reproduces UV theory.
- We rewrite previous studies matching Type-II seesaw onto SMEFT in a basis after the EW symmetry breaking.
- We perform matching the Type-II seesaw onto the HEFT.
- We performed numerical calculations and found that HEFT reproduces UV theory more accurately than SMEFT in the regime that v_T/M_Δ is close to unity.

Back Up

Matching onto HEFT

There are too many particles to be integrated out ...

	SMEFT	HEFT
Light	$H \in (2,1)$	h
Heavy	$\Delta \in (3,1)$	$H_0, A_0, H^\pm, H^{\pm\pm}$

We can utilize remnant of $U(1)_L$ sym.

$U(1)_L$ violating terms

$$\mathcal{L}_{\text{typeII}} = \mathcal{L}_{\text{SM}} - \lambda HH\Delta\Delta - (\Delta\Delta)^2 - \Lambda_6 H\Delta H - Y_\Delta L\Delta L$$

$\mathcal{L}_{\text{typeII}}$ is invariant under hypothetical Z_2

$$\Lambda_6 \rightarrow -\Lambda_6 \quad Y_\Delta \rightarrow -Y_\Delta \quad \Delta \rightarrow -\Delta$$

In the broken phase, this Z_2 becomes

$$\epsilon \rightarrow -\epsilon \quad Y_\Delta \rightarrow -Y_\Delta \quad \Phi_{\text{heavy}} \rightarrow -\Phi_{\text{heavy}}$$

$$\Phi_{\text{heavy}} \in \{H_0, A_0, H^\pm, H^{\pm\pm}\}$$

$$\epsilon := \frac{v_\Delta}{\sqrt{v_H^2 + 2v_\Delta^2}}$$

This suggests the solution of E.o.M. must take the form of

$$\Phi_{\text{heavy}}[\phi_{\text{SM}}] = \epsilon \left(\frac{\phi_{\text{SM}}^2}{M_\Delta} + \frac{\phi_{\text{SM}}^3}{M_\Delta^2} + \dots \right) + Y_\Delta \left(\frac{\bar{L}L}{M_\Delta^2} + \dots \right)$$

Higgs sector & Yukawa sector in HEFT

Higgs sector in SM

$$\begin{aligned}\mathcal{L}_{\text{Higgs}}^{\text{SM}} &= (D_\mu H)^\dagger D^\mu H + \mu^2 (H^\dagger H) - \lambda (H^\dagger H)^2 \\ &= \frac{1}{2} \text{Tr} \left[(D_\mu \hat{\Phi})^\dagger D^\mu \hat{\Phi} \right] + \frac{\mu^2}{2} \text{Tr} \left[\hat{\Phi}^\dagger \hat{\Phi} \right] - \frac{\lambda}{4} \text{Tr} \left[\hat{\Phi}^\dagger \hat{\Phi} \right]^2\end{aligned}$$


$$\hat{\Phi} = (\tilde{H}, H) : \text{bi-doublet} \quad \text{但し } \tilde{H} := i\sigma_2 H^*$$

$$D_\mu \hat{\Phi} = \partial_\mu \hat{\Phi} - ig W_\mu^a \frac{\tau^a}{2} \hat{\Phi} + ig' \hat{\Phi} B_\mu \frac{\tau^3}{2}$$

Higgs sector in HEFT

h : singlet under non-linearly realized SU(2)xU(1)
(125GeV Higgs)

w^\pm, z : transform nontrivially under non-linearly realized SU(2)xU(1)
(would-be Goldstone)

 We must separate h from w^\pm, z because they transform differently

$$\text{Polar decomposition : } \hat{\Phi} = (v + h)U \quad U = \exp\left(\frac{w^\pm + z^0}{v}\right)$$

$$\mathcal{L}_{\text{Higgs}}^{\text{SM}} = \frac{v^2}{4} \left(1 + \frac{h}{v}\right)^2 \text{Tr} \left[(D_\mu U)^\dagger D^\mu U \right] + \frac{1}{2} \partial_\mu h \partial^\mu h - V_{\text{SM}}(h)$$

Higgs sector & Yukawa sector in HEFT

Higgs sector in SM

$$\begin{aligned}\mathcal{L}_{\text{Higgs}}^{\text{SM}} &= (D_\mu H)^\dagger D^\mu H + \mu^2 (H^\dagger H) - \lambda (H^\dagger H)^2 \\ &= \frac{1}{2} \text{Tr} \left[(D_\mu \hat{\Phi})^\dagger D^\mu \hat{\Phi} \right] + \frac{\mu^2}{2} \text{Tr} \left[\hat{\Phi}^\dagger \hat{\Phi} \right] - \frac{\lambda}{4} \text{Tr} \left[\hat{\Phi}^\dagger \hat{\Phi} \right]^2\end{aligned}$$


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Higgs sector & Yukawa sector in HEFT

SM Yukawa

$$\begin{aligned}\mathcal{L}_{\text{Yukawa}}^{\text{SM}} &= -y_u \bar{q}_L \tilde{H} u_R - y_d \bar{q}_L H d_R - y_e \bar{l}_L \tilde{H} e_R + \text{h.c.} \\ &= -y_u \bar{q}_L \hat{\Phi} P_+ q_R - y_d \bar{q}_L \hat{\Phi} P_- q_R - y_e \bar{l}_L \hat{\Phi} P_- l_R + \text{h.c.}\end{aligned}$$

$$\hat{\Phi} = (\tilde{H}, H) : \text{bi-doublet} \quad \text{但し } \tilde{H} := i\sigma_2 H^*$$

$$q_R = \begin{pmatrix} u_R \\ d_R \end{pmatrix}, \quad l_R = \begin{pmatrix} 0 \\ e_R \end{pmatrix} : \text{right-handed fermions} \quad P_+ = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad P_- = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} : \text{projection operator}$$

HEFT Yukawa

Substituting $\hat{\Phi} = (v + h)U$ into $\mathcal{L}_{\text{Yukawa}}^{\text{SM}}$, we get

$$\begin{aligned}\mathcal{L}_{\text{Yukawa}}^{\text{SM}} &= -v y_u \left(1 + \frac{h}{v}\right) \bar{q}_L U P_+ q_R - v y_d \left(1 + \frac{h}{v}\right) \bar{q}_L U P_- q_R - v y_e \left(1 + \frac{h}{v}\right) U P_- l_R + \text{h.c.} \\ &\quad \downarrow \qquad \qquad \qquad \downarrow \text{Replacement} \qquad \qquad \qquad \downarrow \\ \mathcal{L}_{\text{Yukawa}}^{\text{HEFT}} &= -v Y_u(h) \bar{q}_L U P_+ q_R - v Y_d(h) \bar{q}_L U P_- q_R - v Y_e(h) U P_- l_R + \text{h.c.}\end{aligned}$$

HEFT (LO)

$$\mathcal{L}_{\text{HEFT, LO}} = \mathcal{L}_0 + \mathcal{L}_{Uh}$$

$$\mathcal{L}_{Uh} = \frac{v^2}{4} F(h) \text{Tr} \left[(D_\mu U)^\dagger D^\mu U \right] + \frac{1}{2} \partial_\mu h \partial^\mu h - V(h) \quad \text{Higgs sector}$$

$$- v \left[Y_u(h) \bar{q}_L U P_{+qR} + Y_d(h) \bar{q}_L U P_{-qR} + Y_e(h) \bar{l}_L U P_{-lR} + \text{h.c.} \right] \quad \text{Yukawa項}$$

$$U = \exp \left(\frac{w^\pm + z^0}{v} \right)$$

$$SU(2)_L \times U(1)_Y : \hat{\Phi} \rightarrow \mathfrak{g}_L \hat{\Phi} \mathfrak{g}_Y^\dagger \quad \longleftrightarrow \quad h \rightarrow h, \quad U \rightarrow \mathfrak{g}_L U \mathfrak{g}_Y^\dagger$$

$$\mathcal{L}_0 = -\frac{1}{2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] - \frac{1}{2} \text{Tr} [W_{\mu\nu} W^{\mu\nu}] - \frac{1}{4} B_{\mu\nu} B^{\mu\nu}$$

$$+ \bar{q}_L i \not{D} q_L + \bar{l}_L i \not{D} l_L + \bar{u}_R i \not{D} u_R + \bar{d}_R i \not{D} d_R + \bar{e}_R i \not{D} e_R$$

$$q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix} \in \mathbf{2}_{1/6} \quad l_L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \in \mathbf{2}_{-1/2} \quad \text{Identical to those in SM}$$

$$u_R \in \mathbf{1}_{2/3} \quad d_R \in \mathbf{1}_{-1/3} \quad e_R \in \mathbf{1}_{-1}$$

SMEFT v.s. HEFT

Representative effective field theories (EFT) with SM particles

Standard Model Effective Field Theory (SMEFT)

$$\mathcal{L}_{\text{SMEFT}}^{(\text{LO}+\text{NLO})} \supset (D_\mu H)^\dagger (D^\mu H) + \frac{C_{\varphi\Box}}{\Lambda^2} (H^\dagger H) \Box (H^\dagger H) + \dots$$

⇒ Deviation from the SM appears from NLO

Higgs Effective Field Theory (HEFT)

$$\mathcal{L}_{\text{HEFT}}^{(\text{LO})} \supset m_W^2 W_\mu^+ W^{-\mu} \left[1 + 2\kappa_W \frac{h}{v} + \kappa_W^{(2)} \left(\frac{h}{v} \right)^2 + \dots \right]$$

⇒ Deviation from the SM appears from LO

SMEFT v.s. HEFT

Two representative EFTs that treat SM particles as dynamical particles:

- Standard Model Effective Field Theory (SMEFT)
- Higgs Effective Field Theory (HEFT)

SMEFT	HEFT
$SU(2)_L \times U(1)_Y$ is linearly realized	$SU(2)_L \times U(1)_Y$ is non-linearly realized
Written in terms of <u>symmetric</u> phase fields	Written in terms of <u>broken</u> phase fields
$1/M$ expansion	Loop expansion

How to choose between SMEFT and HEFT?