

Dynamical Formation of BH Binaries in AGN Disks

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Hui Li, Adam Dempsey and Shengtai Li (LANL)

Why do we care about BH binaries in AGN disks?

- viable GW progenitors
- possible EM counterparts

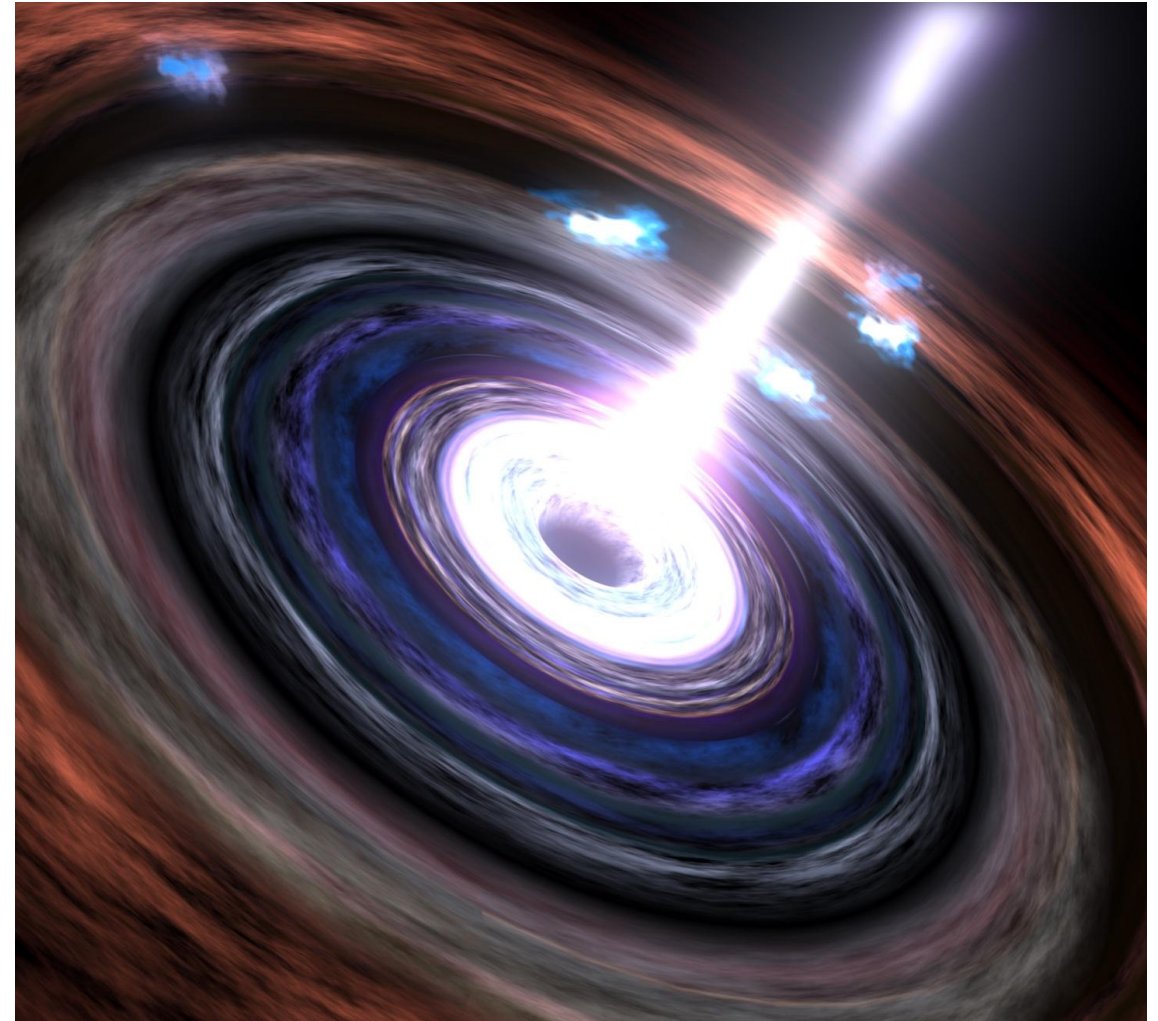


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Topics to cover

- Dynamical formation of BH binaries in AGN disks - through close encounters
 - GW Bremsstrahlung
 - Gas dynamical friction
 - Post-collision drag
- A potential new mechanism to form **eccentric extreme-mass ratio binaries**
 - Resonant eccentricity excitation for BH around a SMBH caused by an eccentric AGN disk.
 - NB: Initially developed for exoplanets. Very very preliminary for GW.

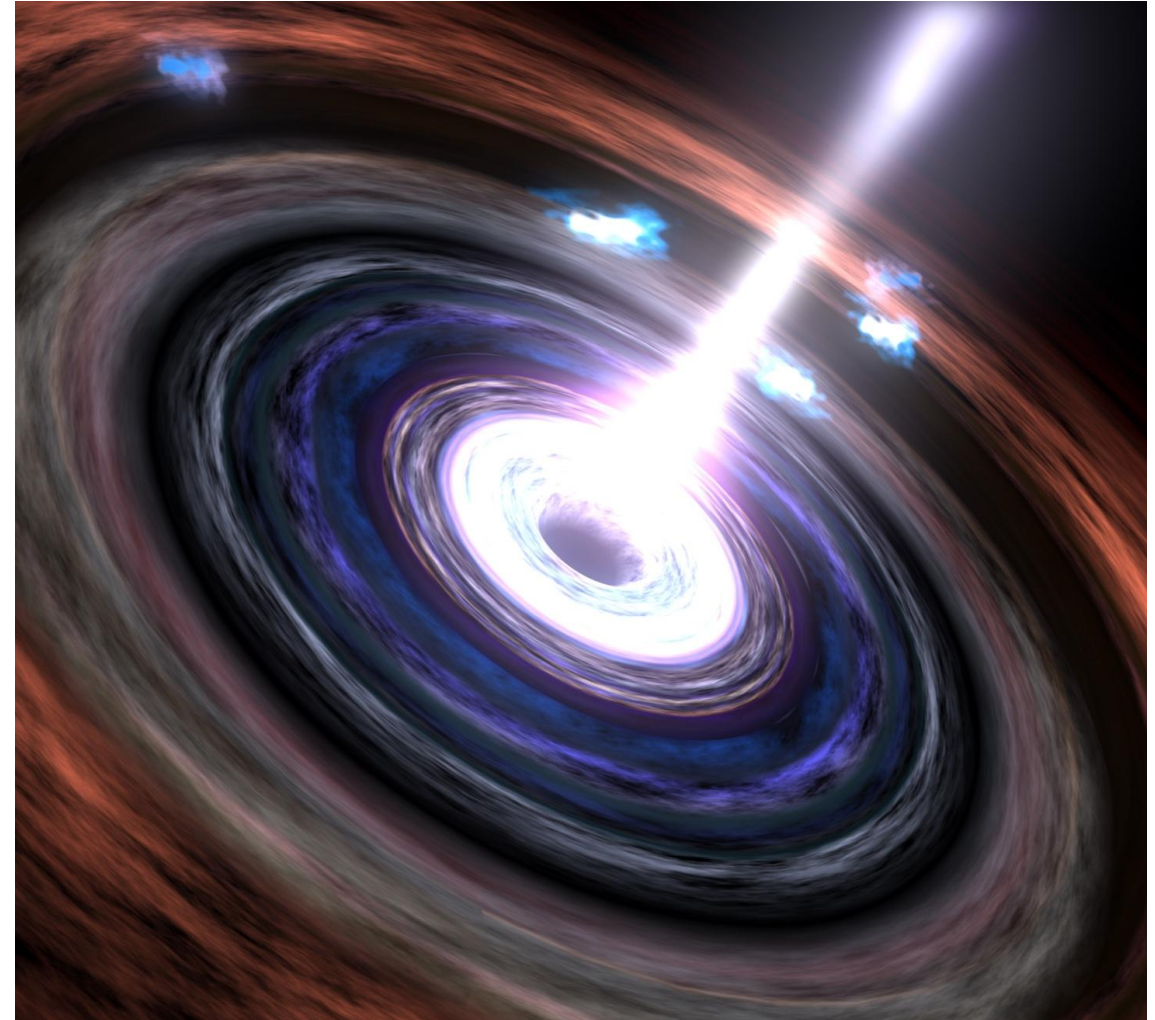
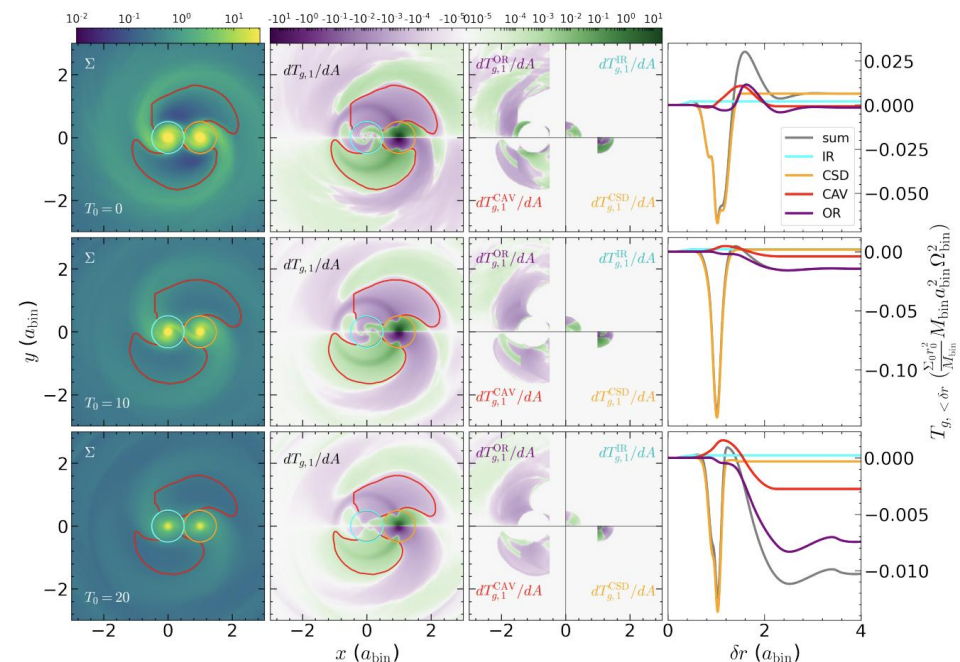
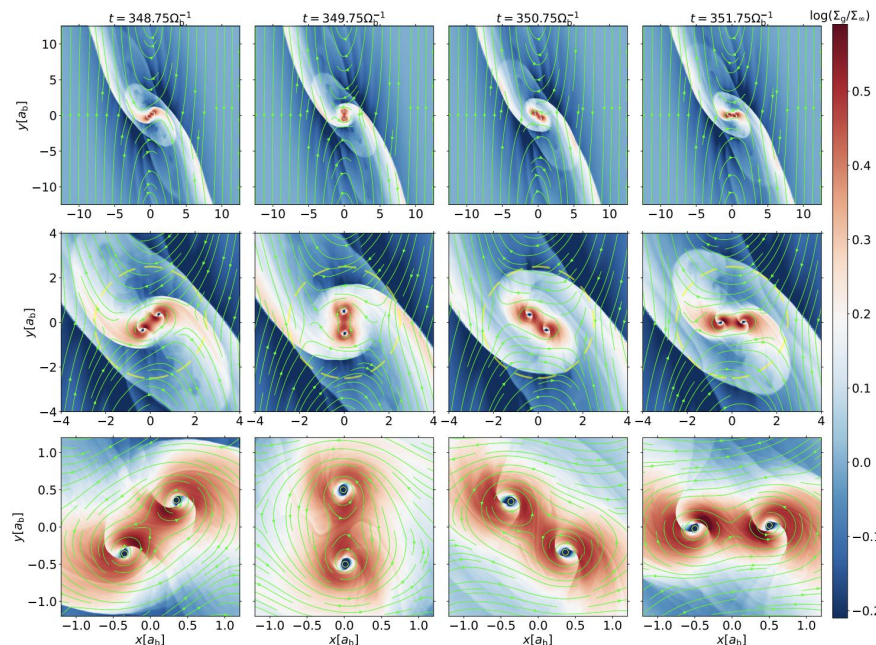


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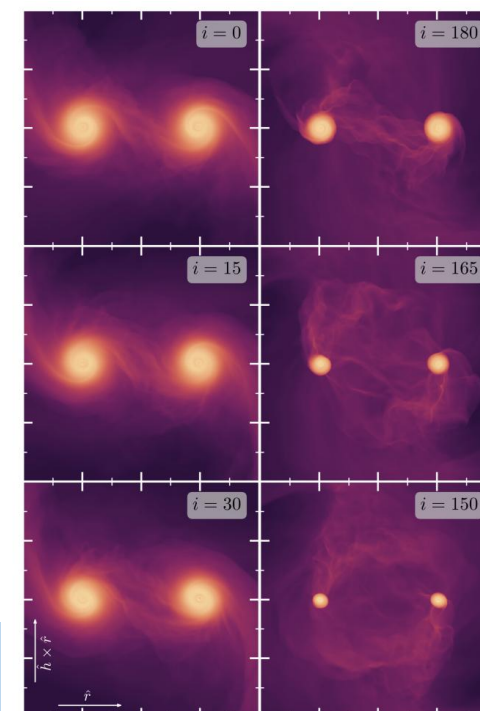
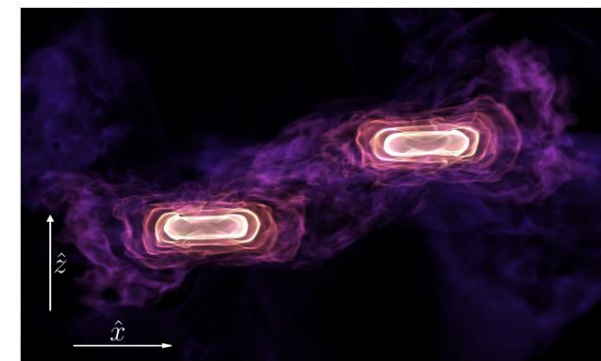
Why care about BBH formation mechanisms?



Li+ incl. J. Li (2021, 2022)



Li & Lai (2022, 2023, 2024)

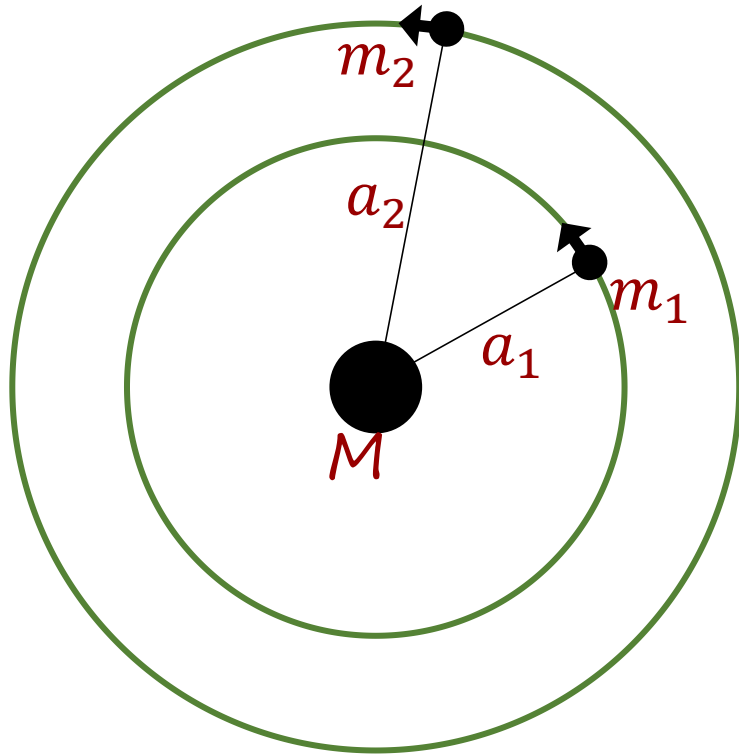


Dittmann+ (2023)
and Calcino+ (2024)

Evolution of BH binaries depends on the disk and **binary** parameters:

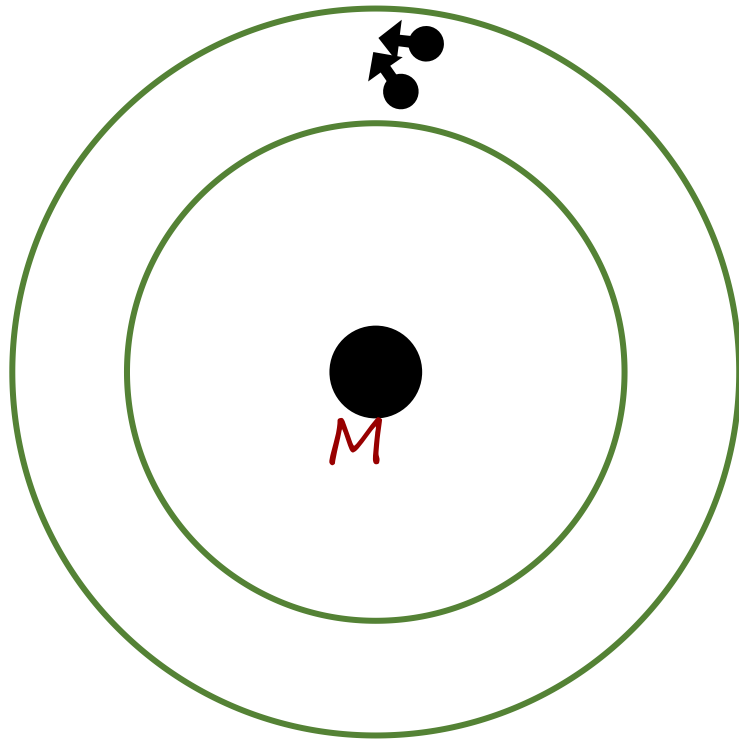
Formation mechanism of BBH in AGN disks \longleftrightarrow Properties of newly formed binaries

Basic picture for the formation of BBH through close encounters



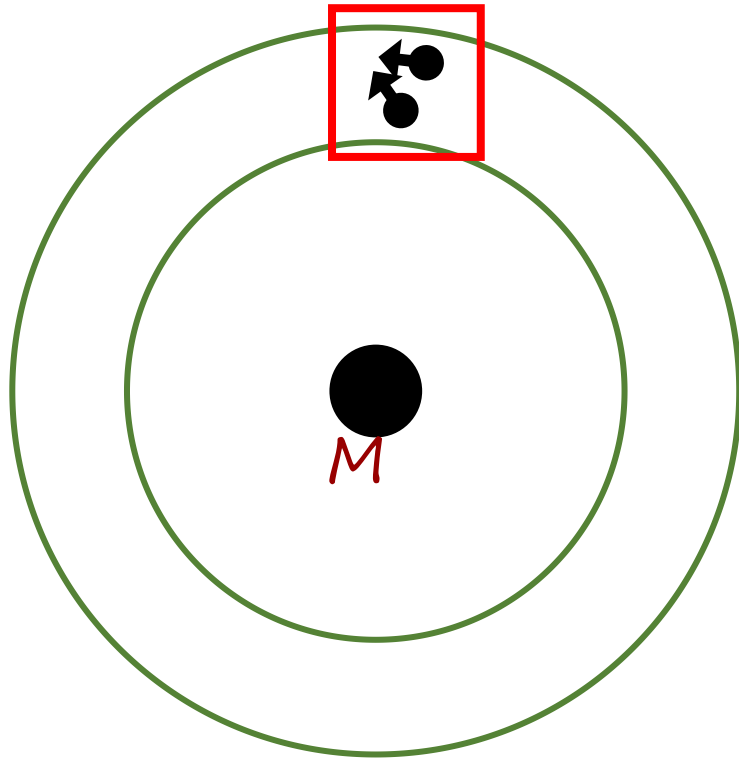
- Consider two BHs on closely-packed orbits.. (see left)
 $a_2 - a_1 \sim \text{a few } R_H$
- Possibly due to:
 - Differential migration or migration trap (?)
 - In-situ disk capture
 - and so on..
- **Dynamical instability will occur..**
- **BHs will undergo scatterings and close encounters.**

Basic picture for the formation of BBH through close encounters



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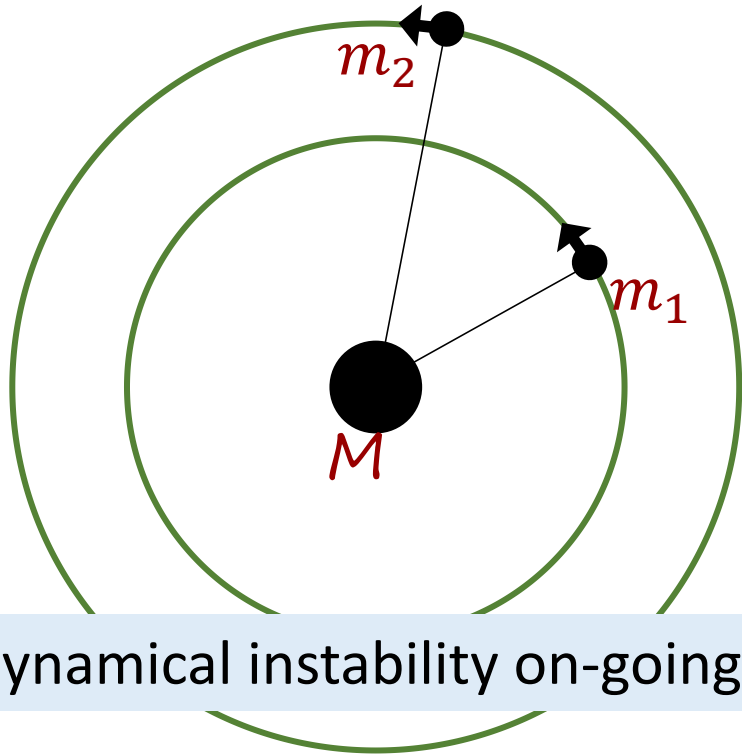
Basic picture for the formation of BBH through close encounters



Mechanisms to capture BHs into bound binaries:

- **GW bremsstrahlung (low/negligible gas density)**
(*J. Li, Lai, and Rodet 2022*)
- **Gas-assisted capture (high gas density)**
 - **Gas dynamical friction**
(*Qian, J. Li, and Lai 2024*)
 - **Post-collision gas drag**
(*J. Li+ 2023; J. Li+ in prep*)

Formation via *GW bremsstrahlung*: basic picture



- If the gas force is weak:
 - **Recurring close encounters** -- will be a lot close encounters!
- BHs can form tightly bound binaries during ****very**** close encounters that radiate enough GW.

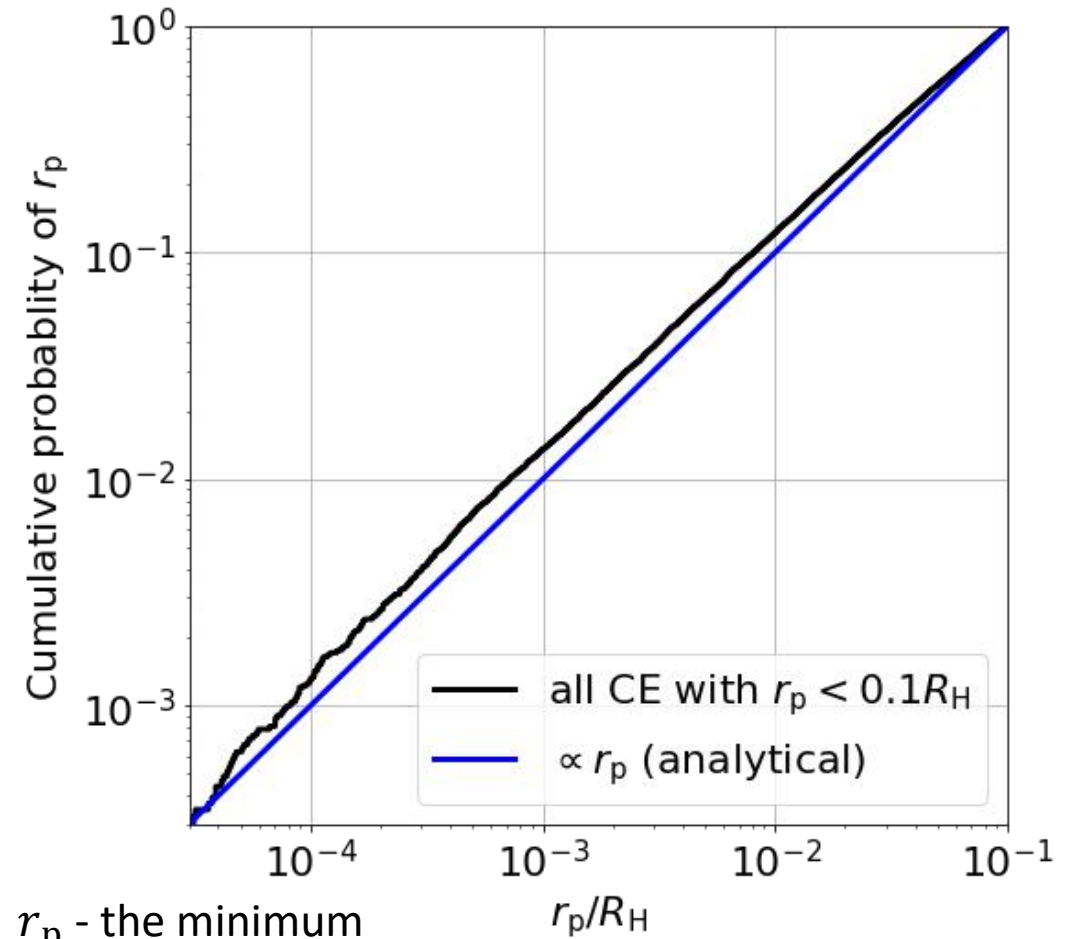
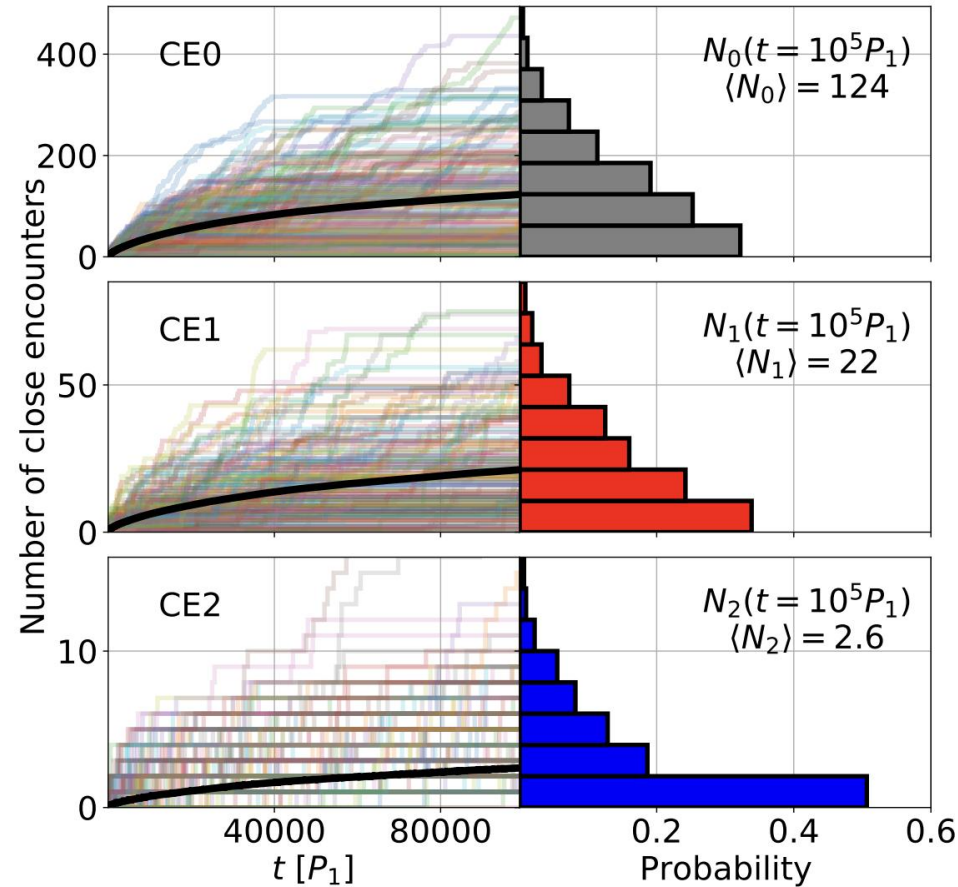
$$\Delta E_{\text{GW}} = \frac{85\pi}{12\sqrt{2}} \frac{G^{7/2} \mu^2 m_{12}^{5/2}}{c^5 r_p^{7/2}}$$

energy radiated by GW
(Peters 1964, Quinlan & Shapiro 1989)

$$\gtrsim \eta \frac{G m_1 m_2}{R_{\text{H12}}}$$

energy needs to be removed for binding

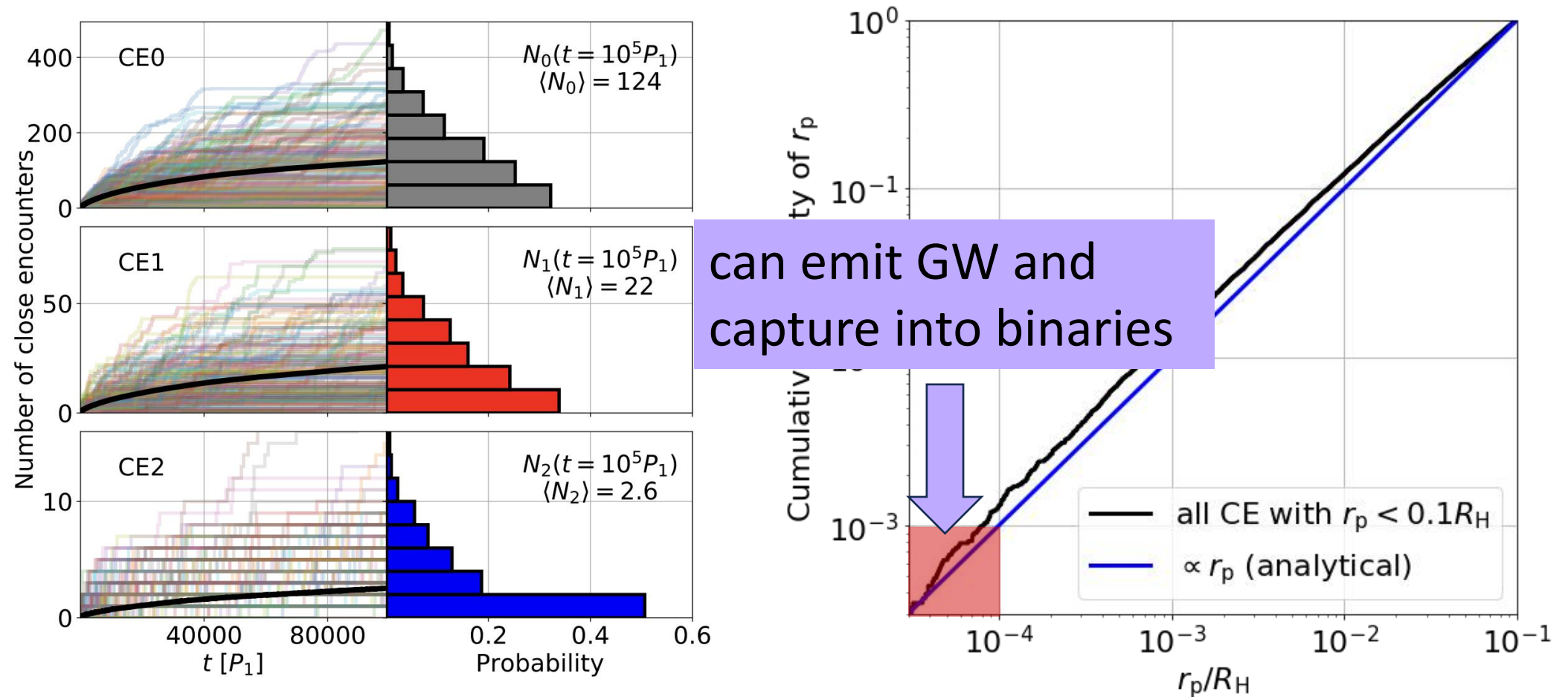
Formation via *GW bremsstrahlung*: N-body results



r_p - the minimum
passage between the BHs

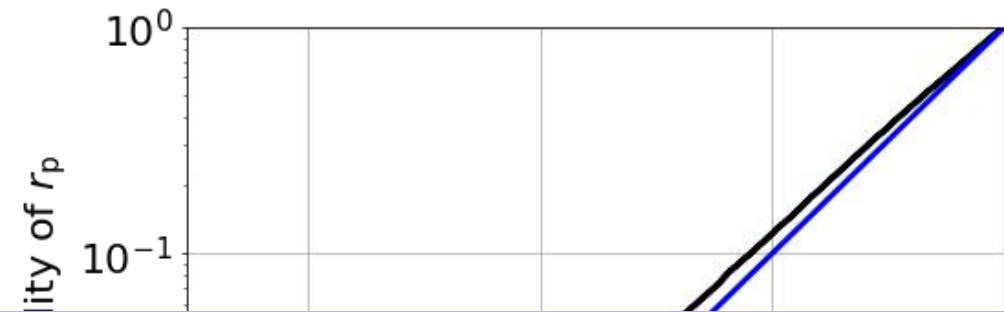
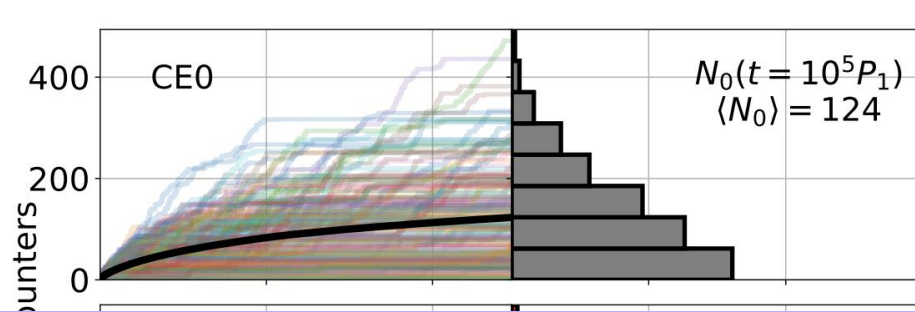
([Li, Lai, and Rodet 2022](#))

Formation via *GW bremsstrahlung*: N-body results

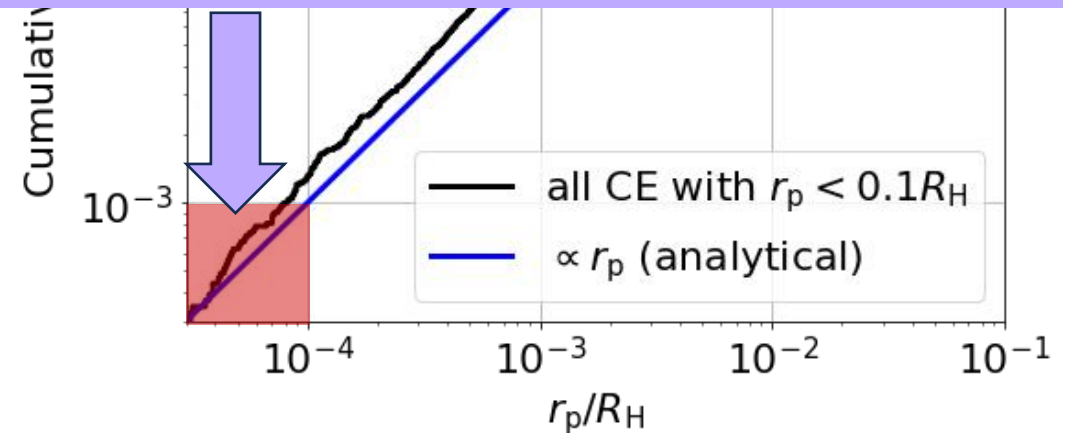
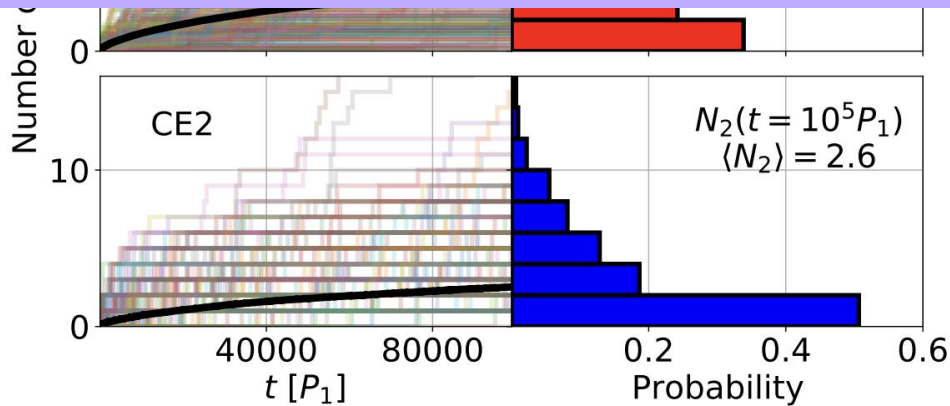


([Li, Lai, and Rodet 2022](#))

Formation via *GW bremsstrahlung*: N-body results

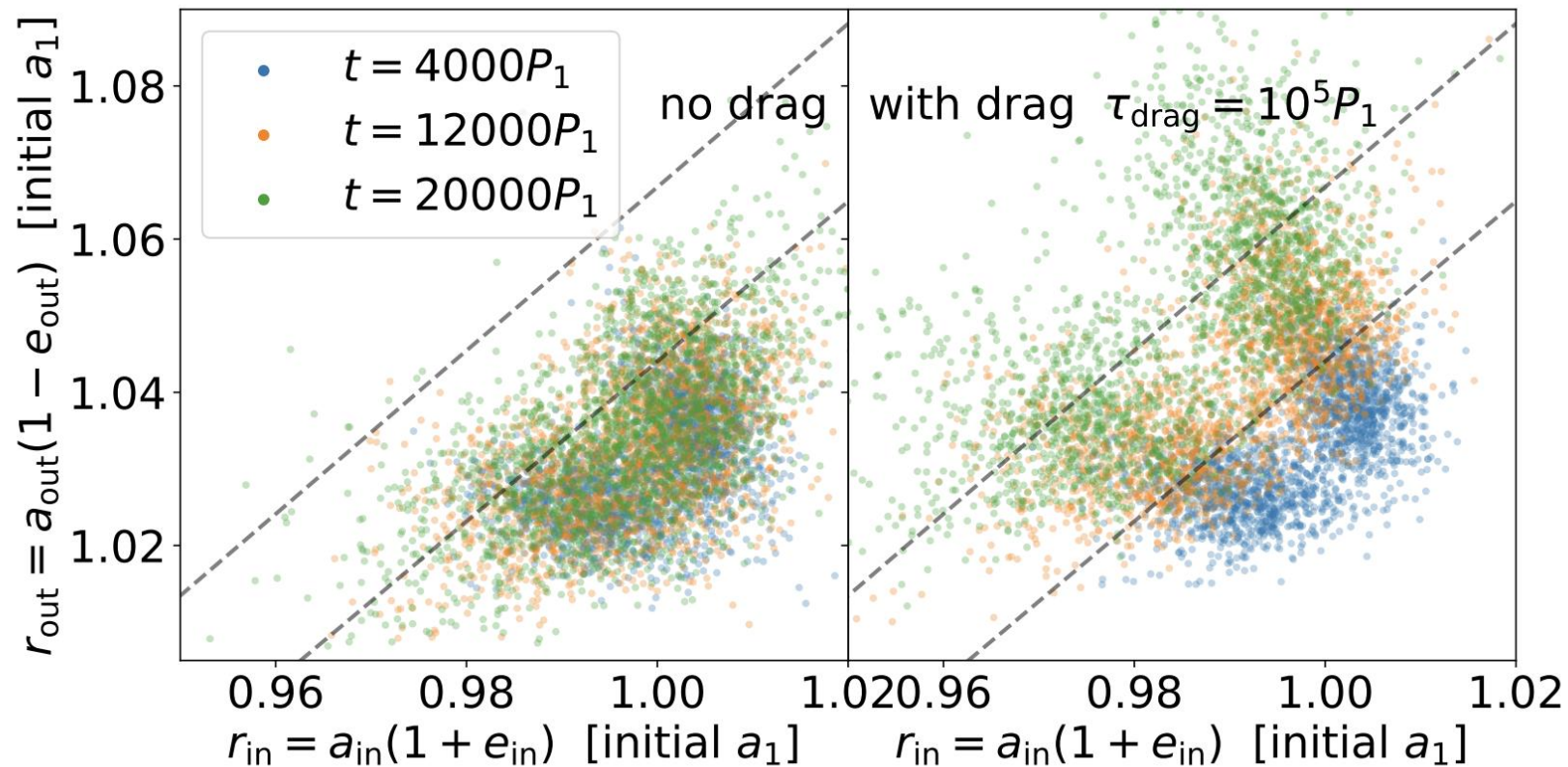


* We expect these captured binaries to merge quickly. Their mergers will show **large eccentricities** when entering the LIGO band.

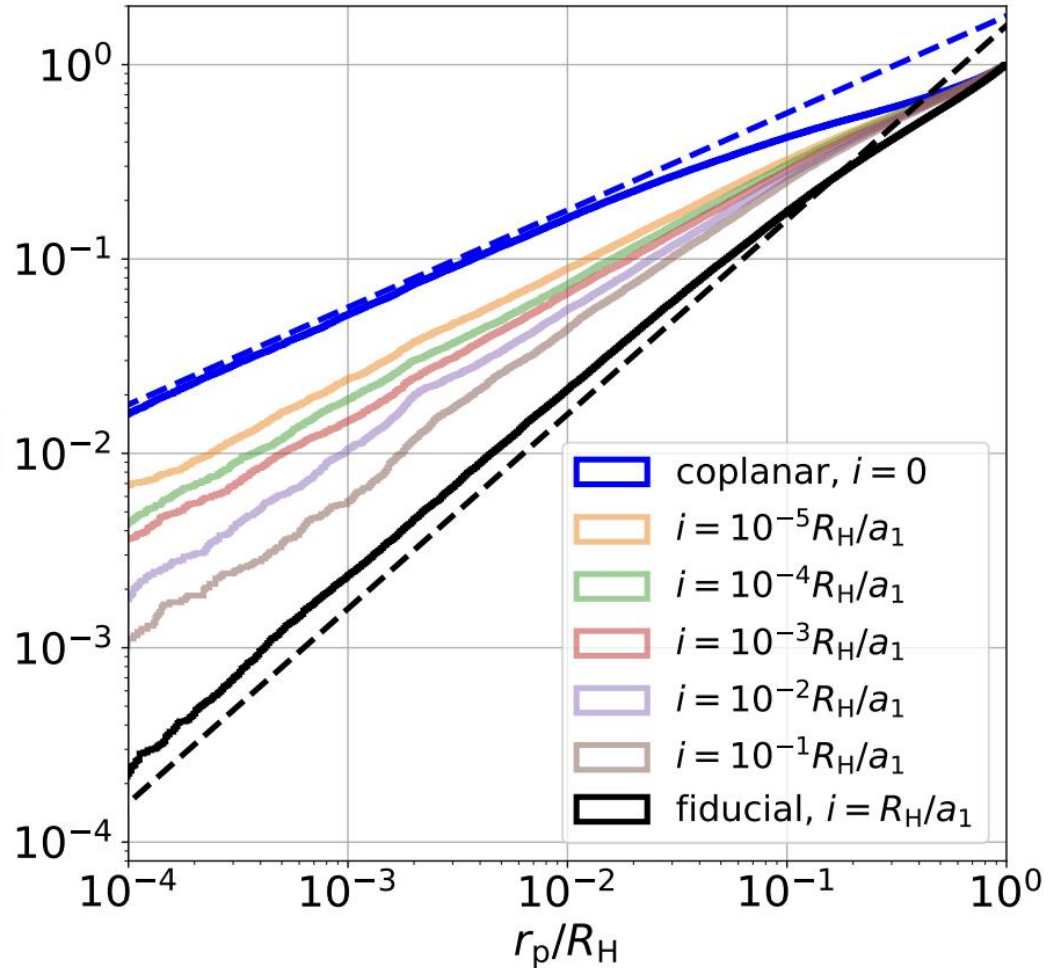
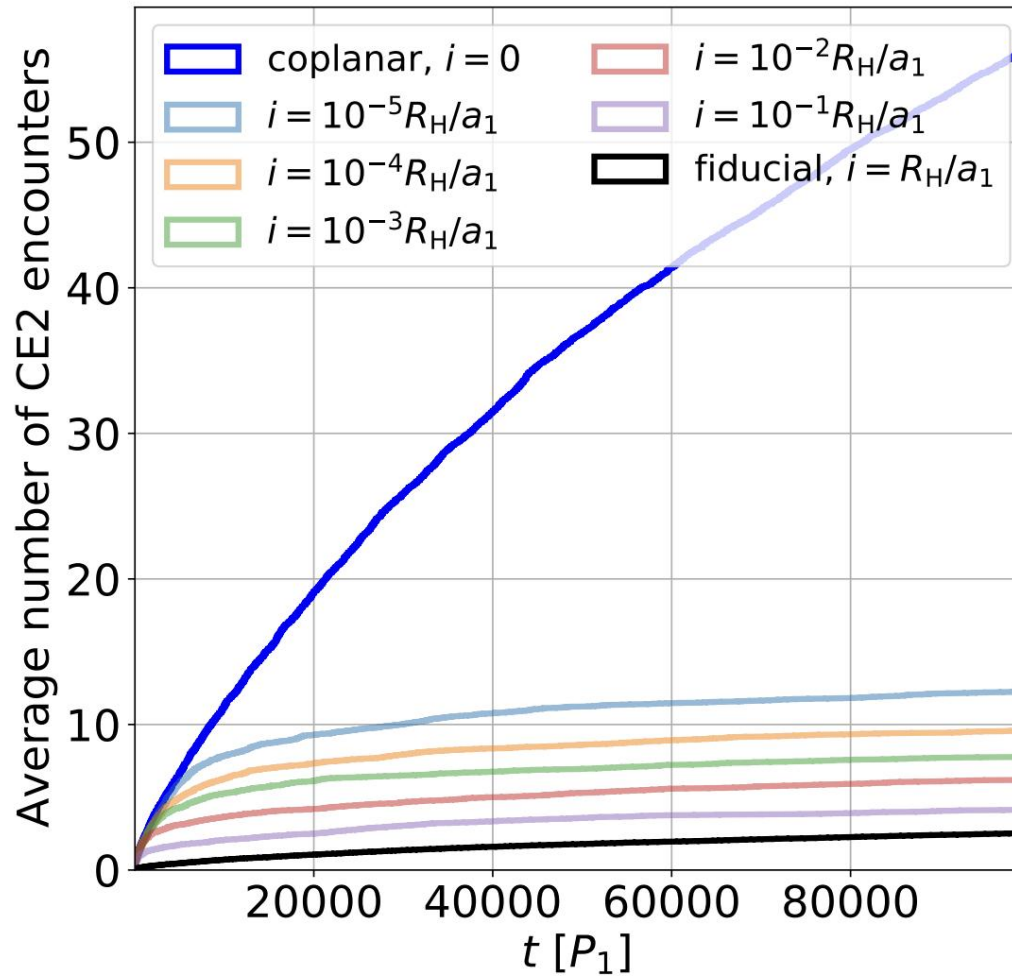


([Li, Lai, and Rodet 2022](#))

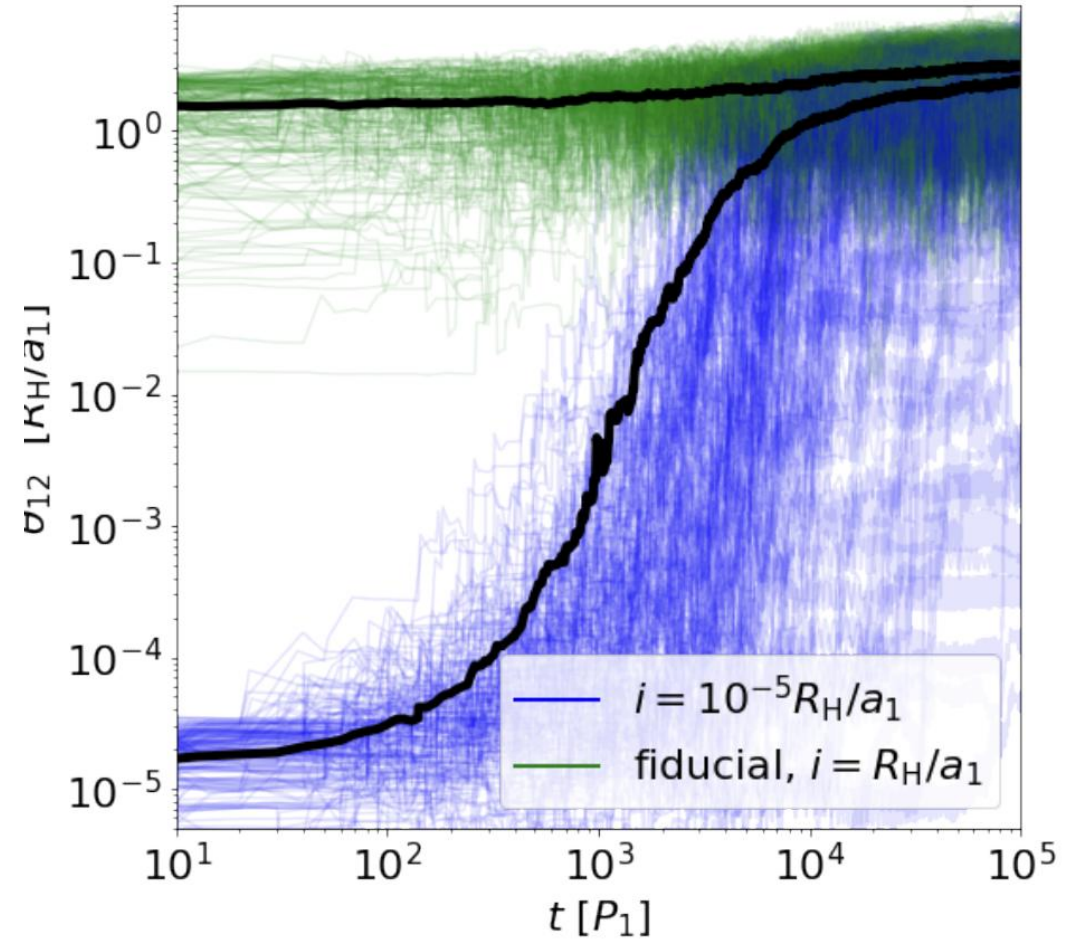
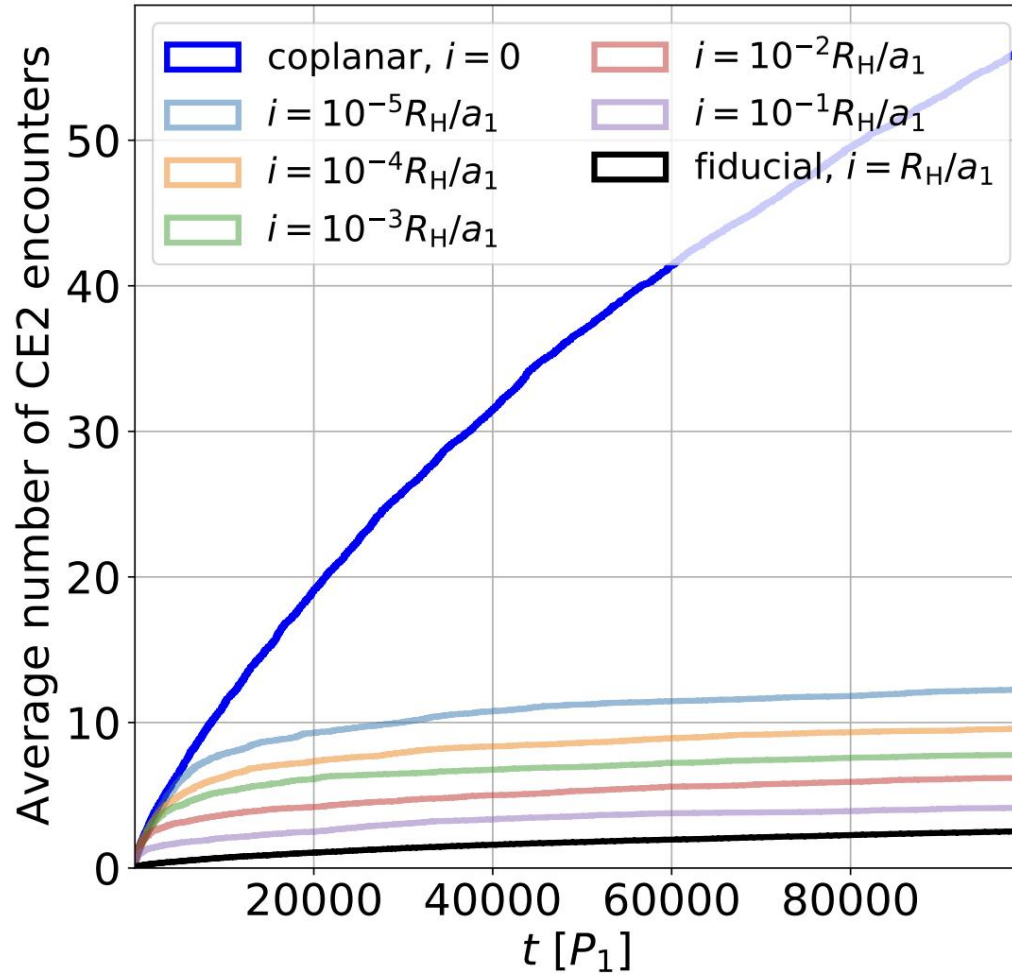
Formation via *GW bremsstrahlung*: low gas density



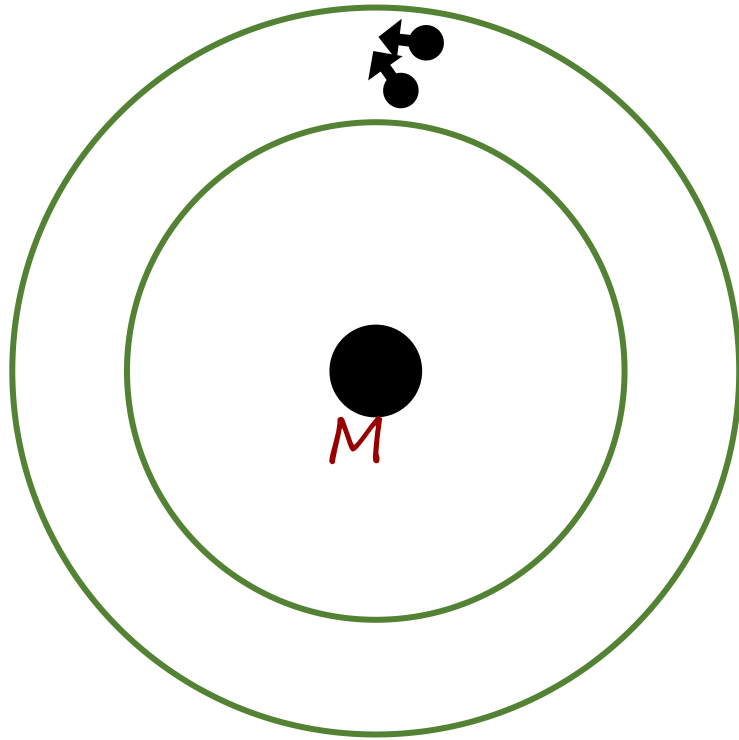
Formation via *GW bremsstrahlung*: inclination and rate



Formation via *GW bremsstrahlung*: inclination and rate

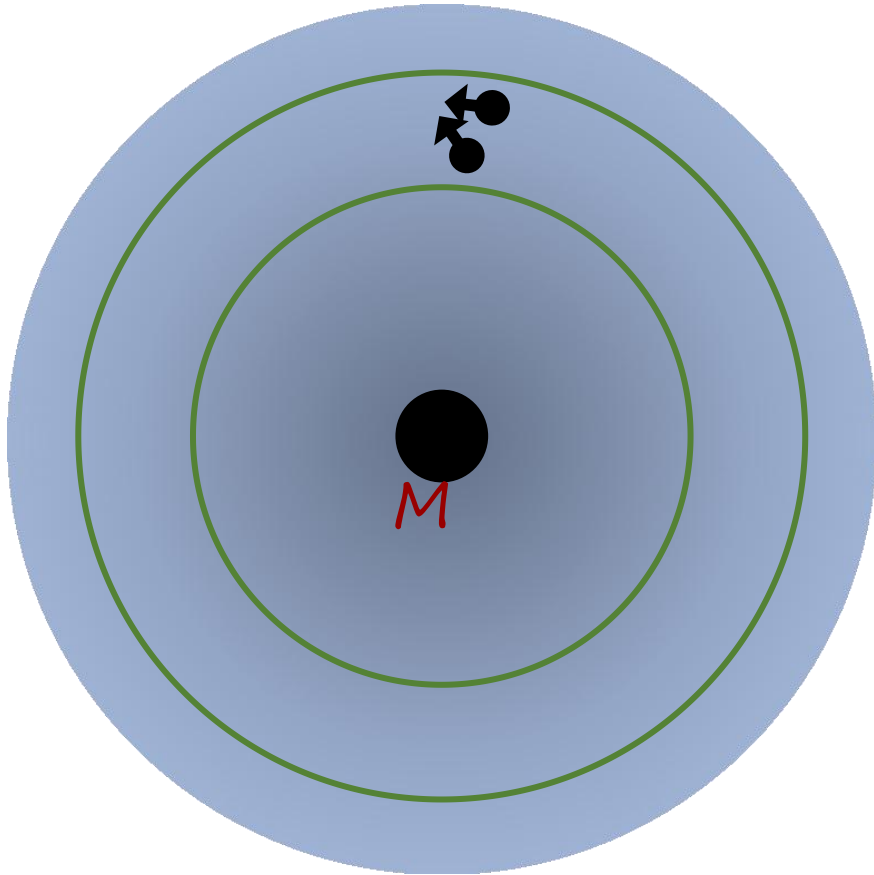


Formation of BBH through close encounters



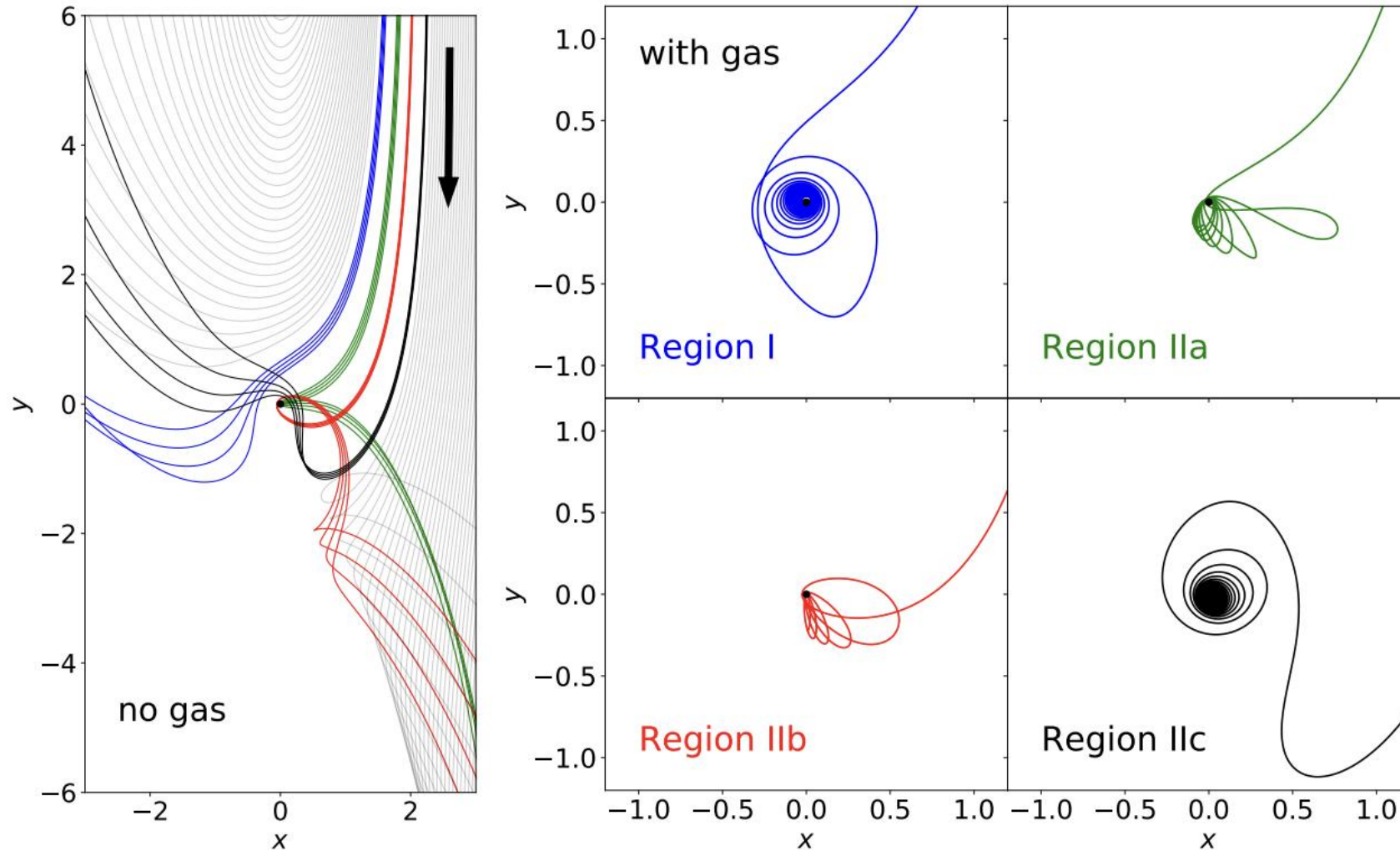
- **GW Bremsstrahlung (very low gas density)**
 - Lots of encounters..
 - Each encounter has a finite chance

Formation of BBH through close encounters

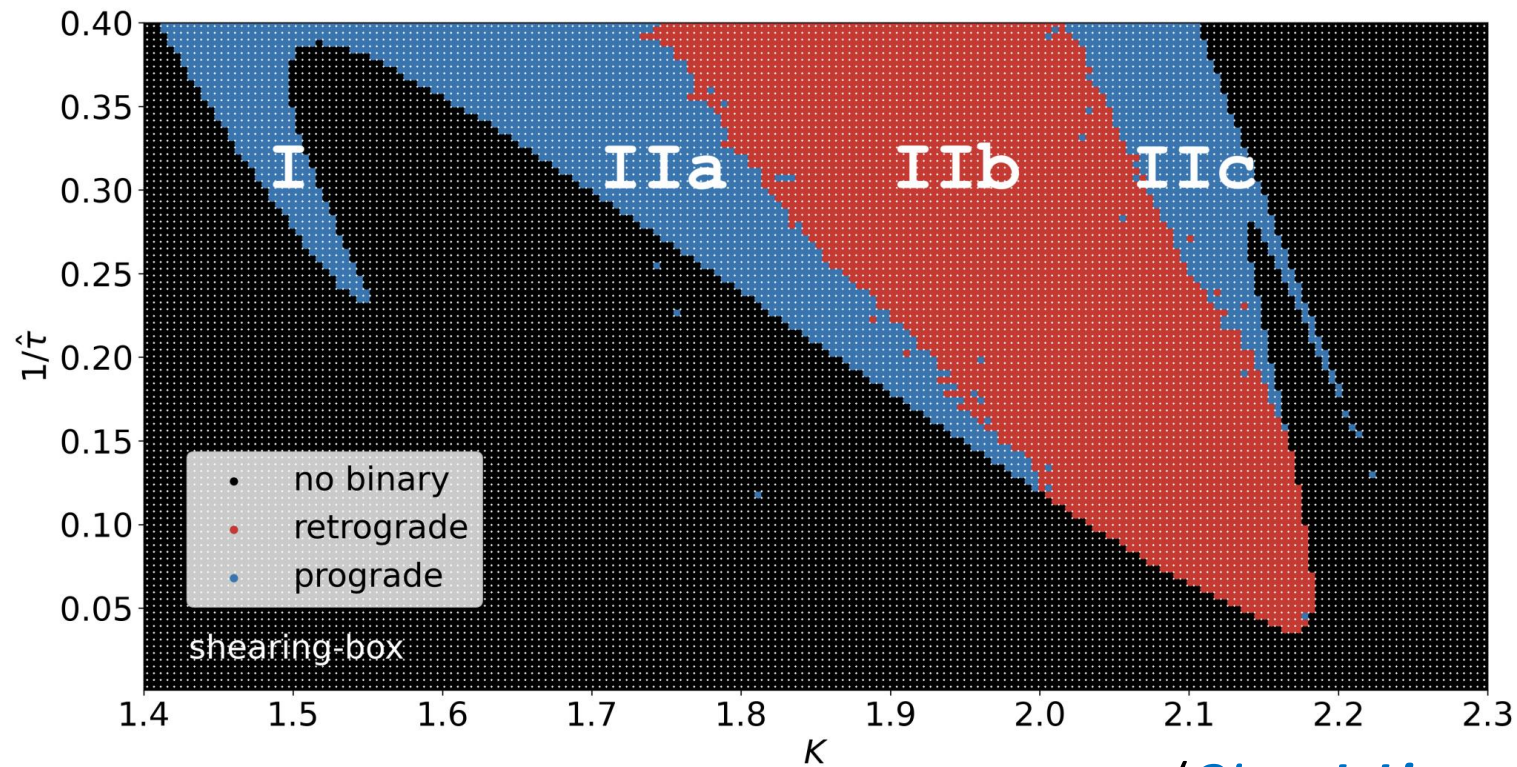


- **Gas-assisted capture (high gas density)**
 - fewer number of encounters
 - need to form binaries in one-shot

Formation via *frictional damping*: basic picture



Formation via *frictional damping*: parameter study

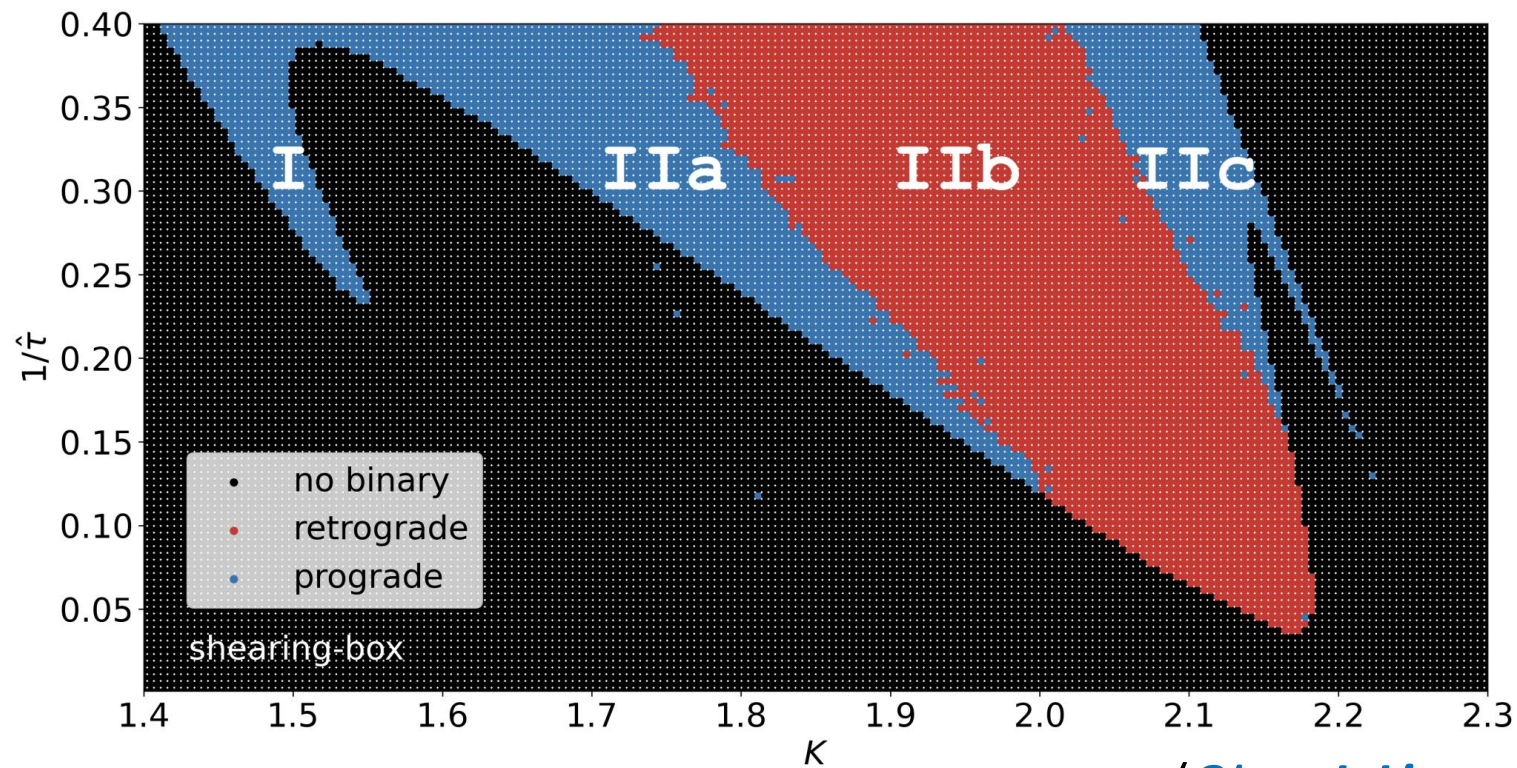


(Qian, J. Li, and Lai 2024)

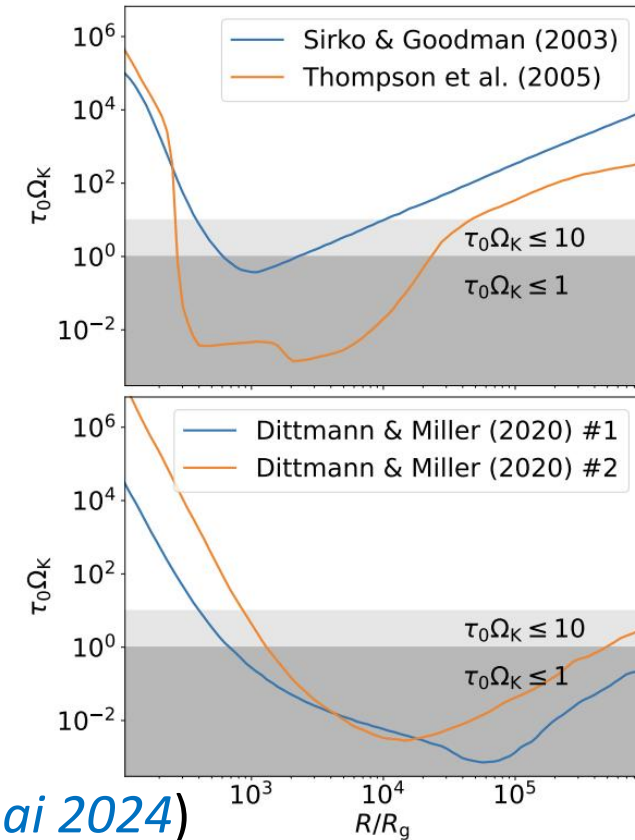


Work done by
Kecheng Qian
(Cornell -> Berkeley)

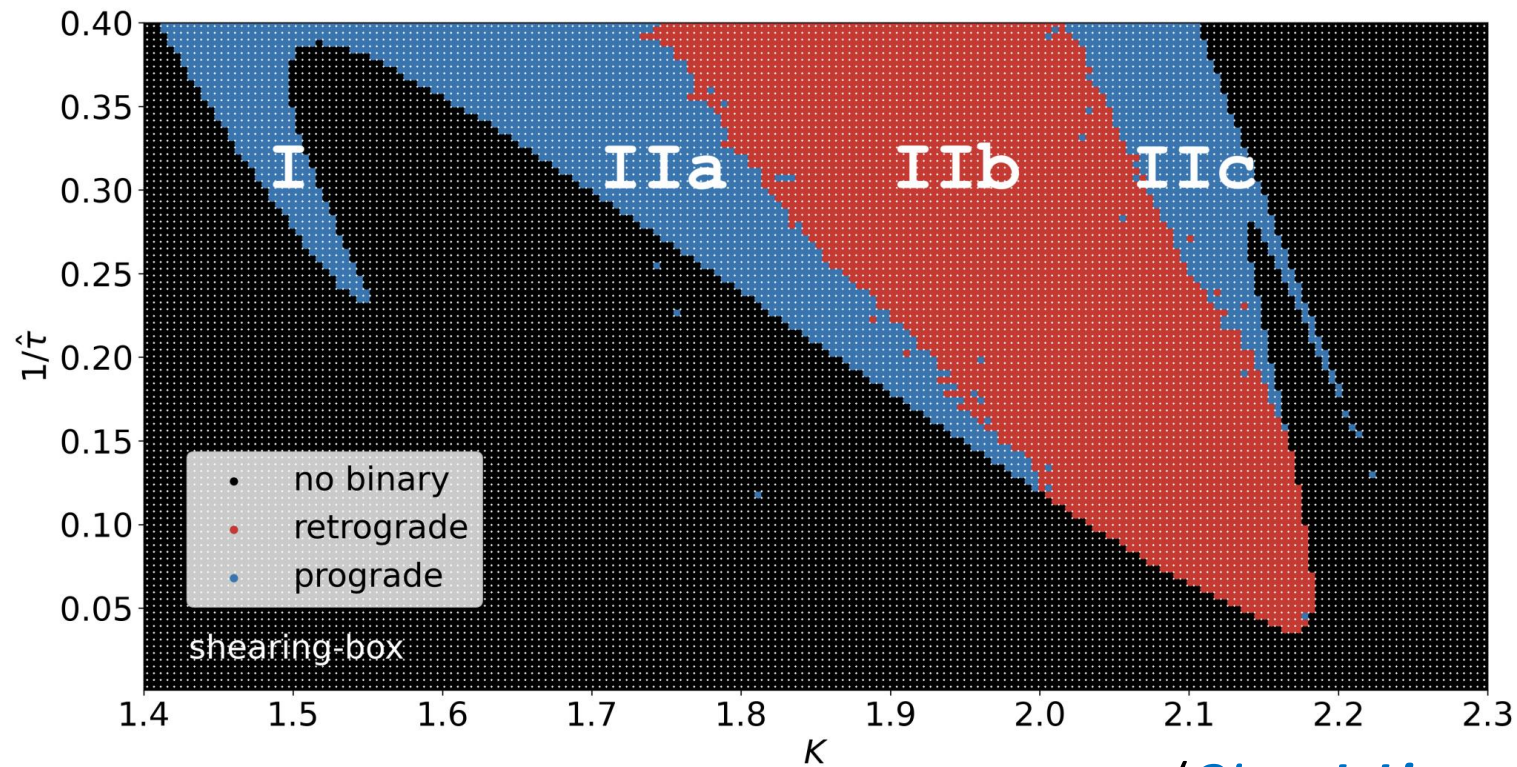
Formation via *frictional damping*: parameter study



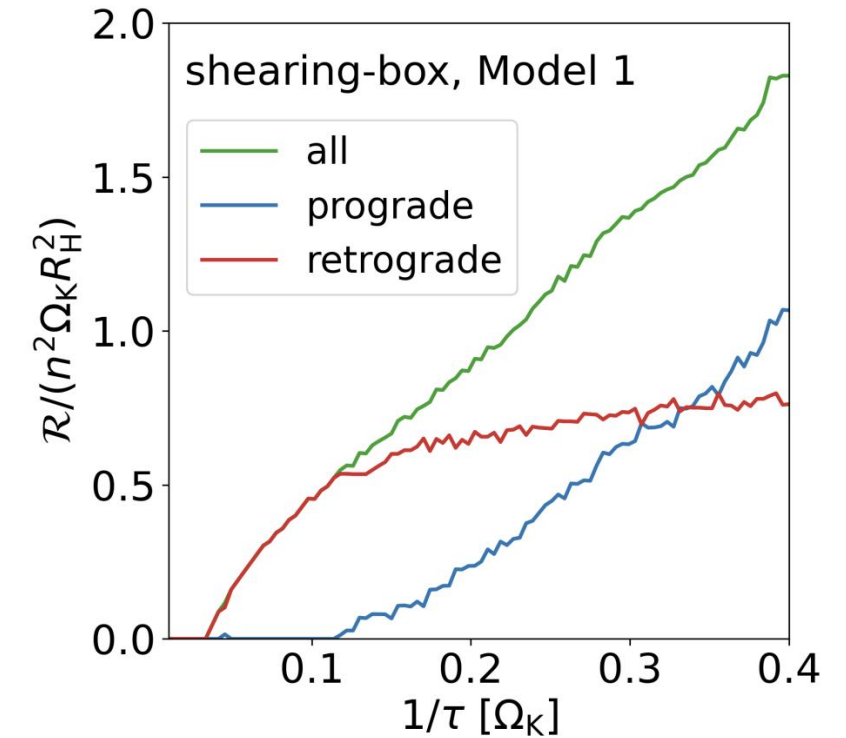
(Qian, J. Li, and Lai 2024)



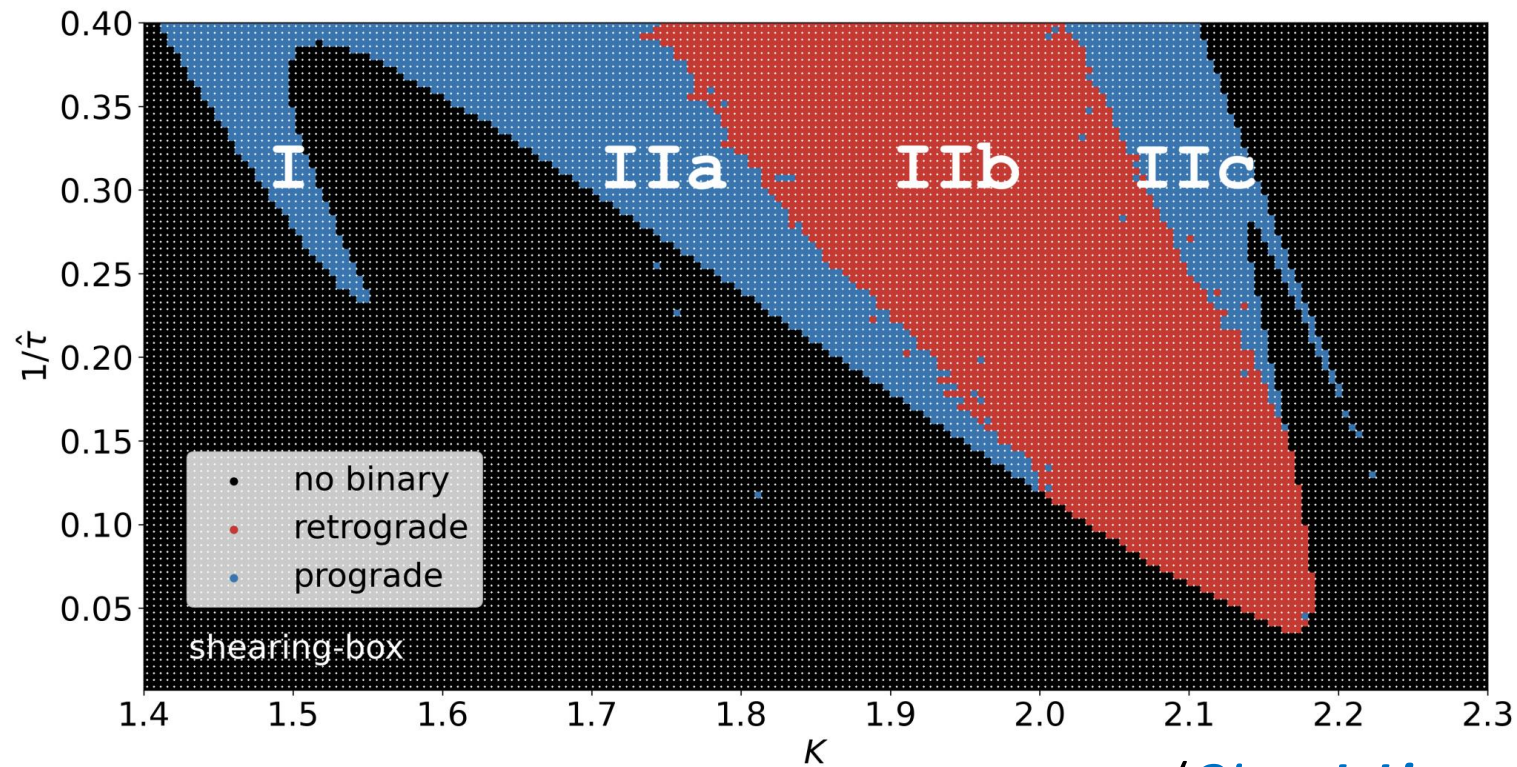
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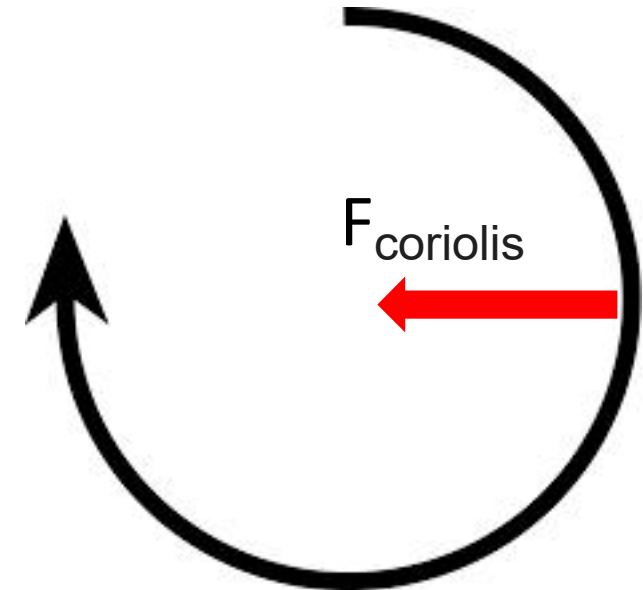
(Qian, J. Li, and Lai 2024)



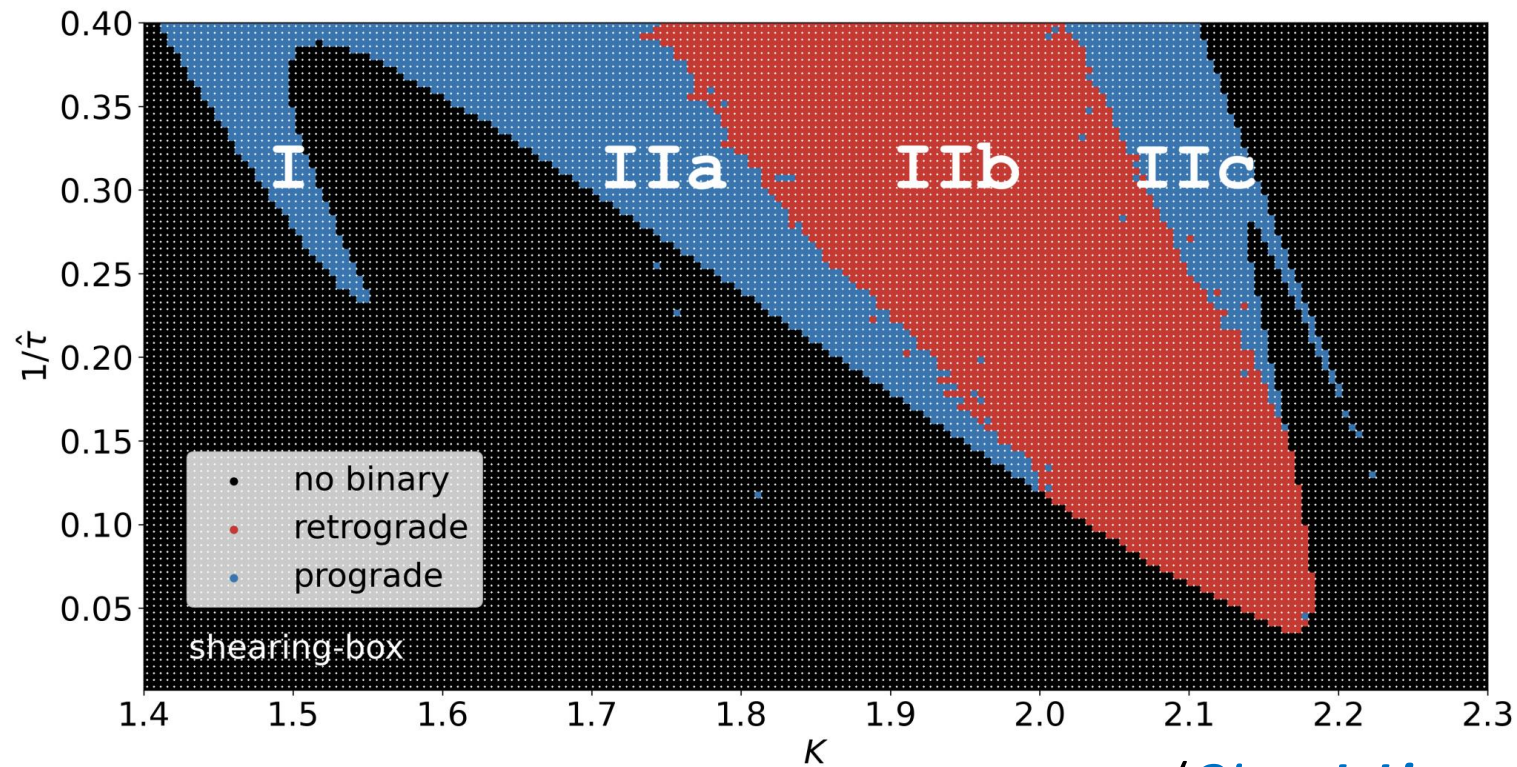
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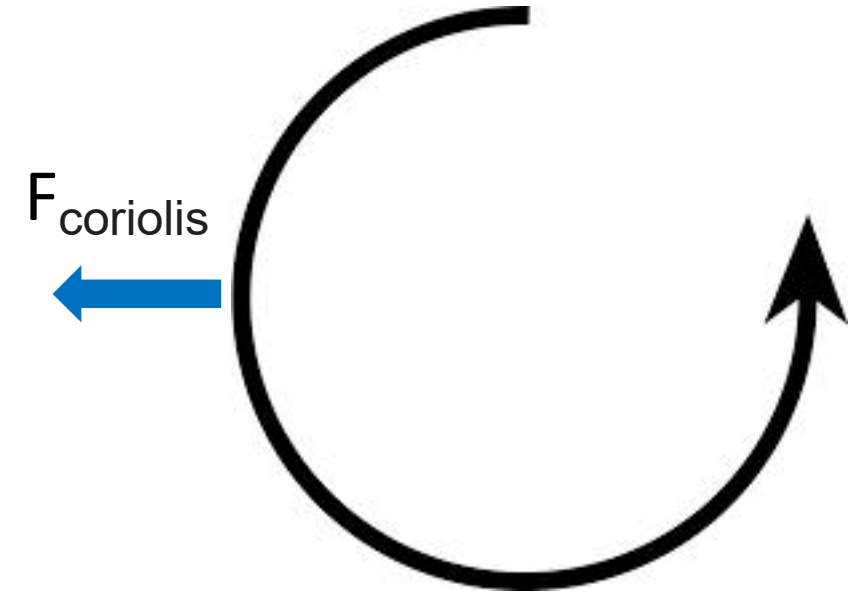
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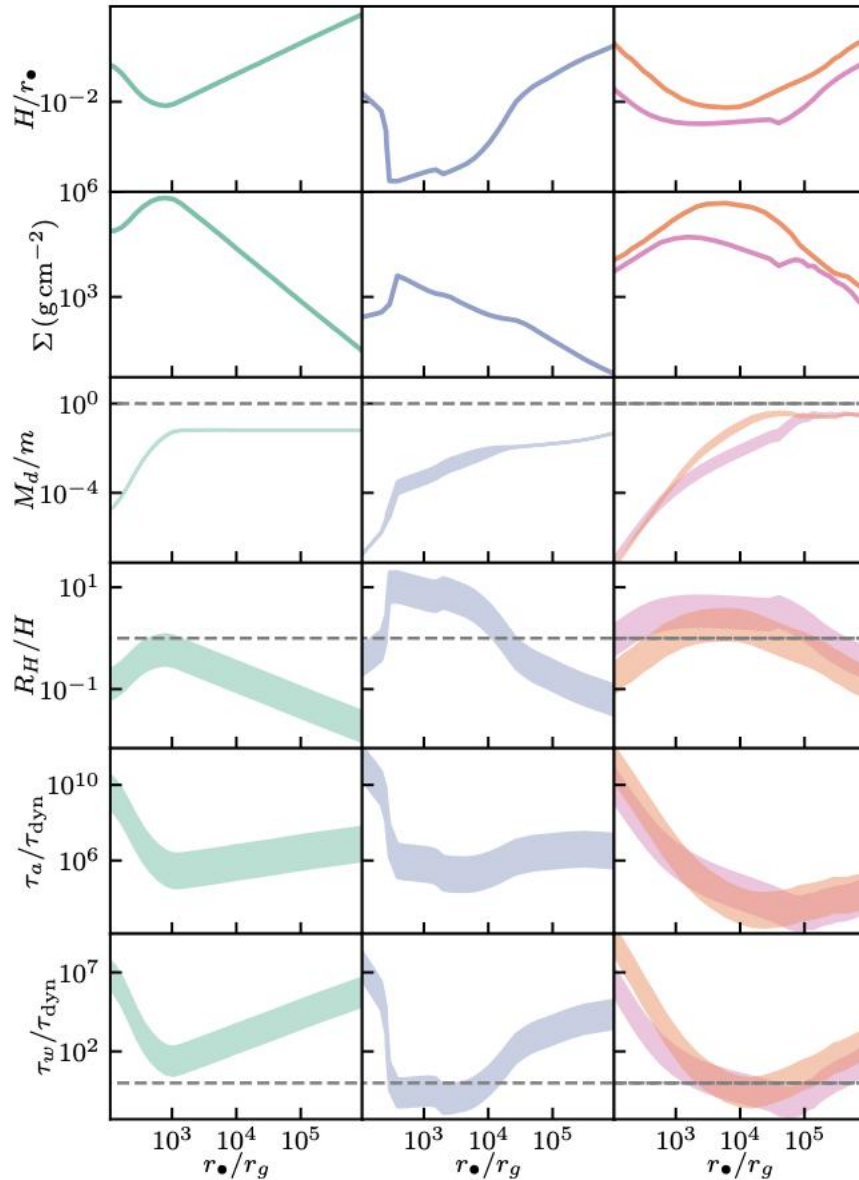


Formation via *frictional damping*: parameter study



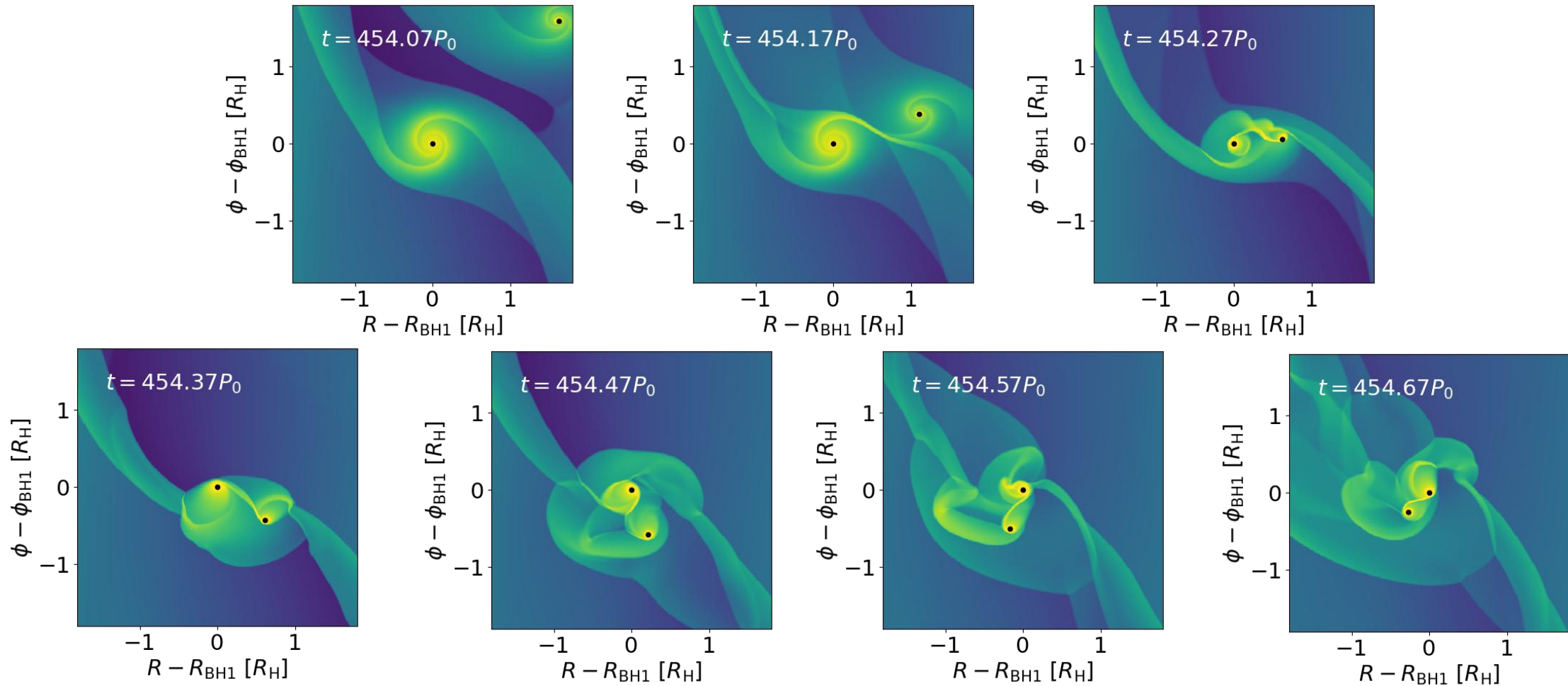
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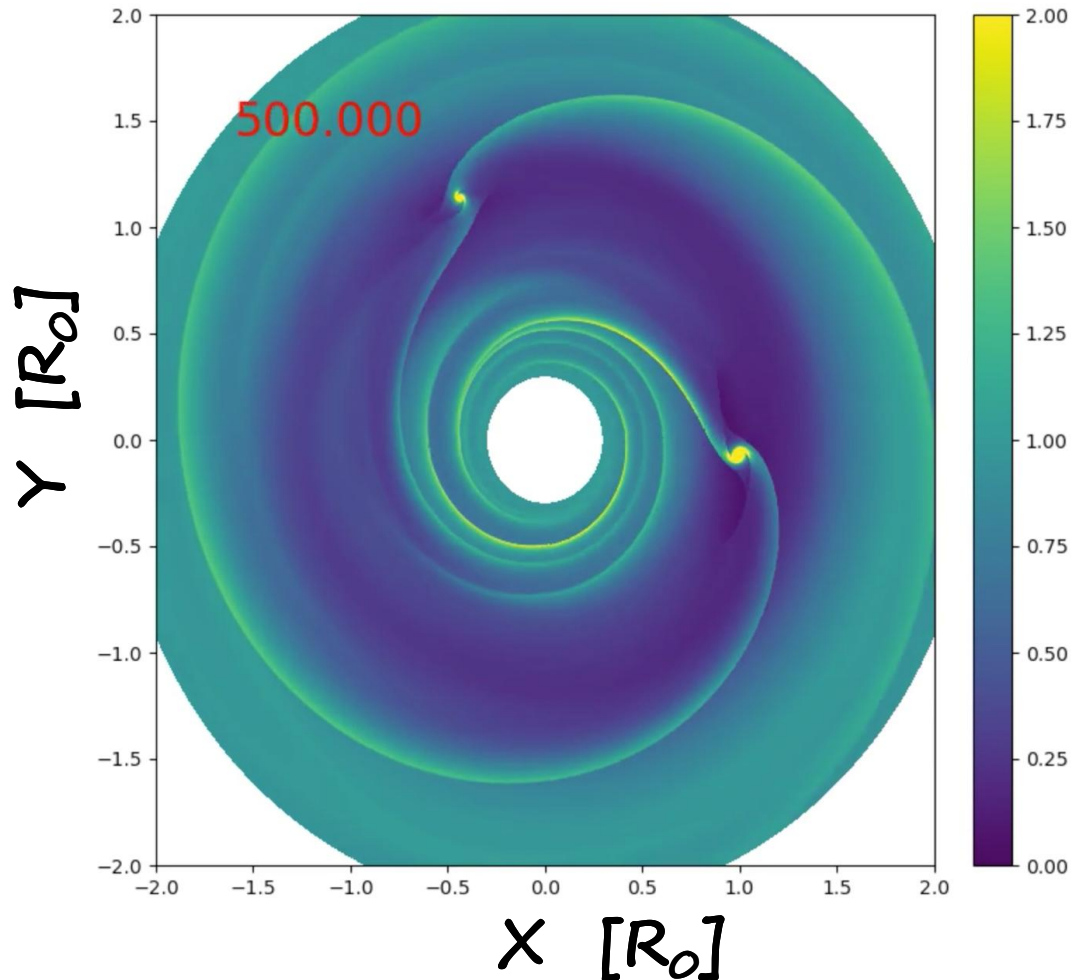


- AGN disk models:
 - Sirko & Goodman (2003),
 - Thompson+ (2005),
 - and Dittmann & Miller (2020).
- Figure adopted from Dittmann+ (2024)

Formation via *post-collision drag*: hydro simulations



Formation via *post-collision drag*: hydro simulations



- Initial condition:

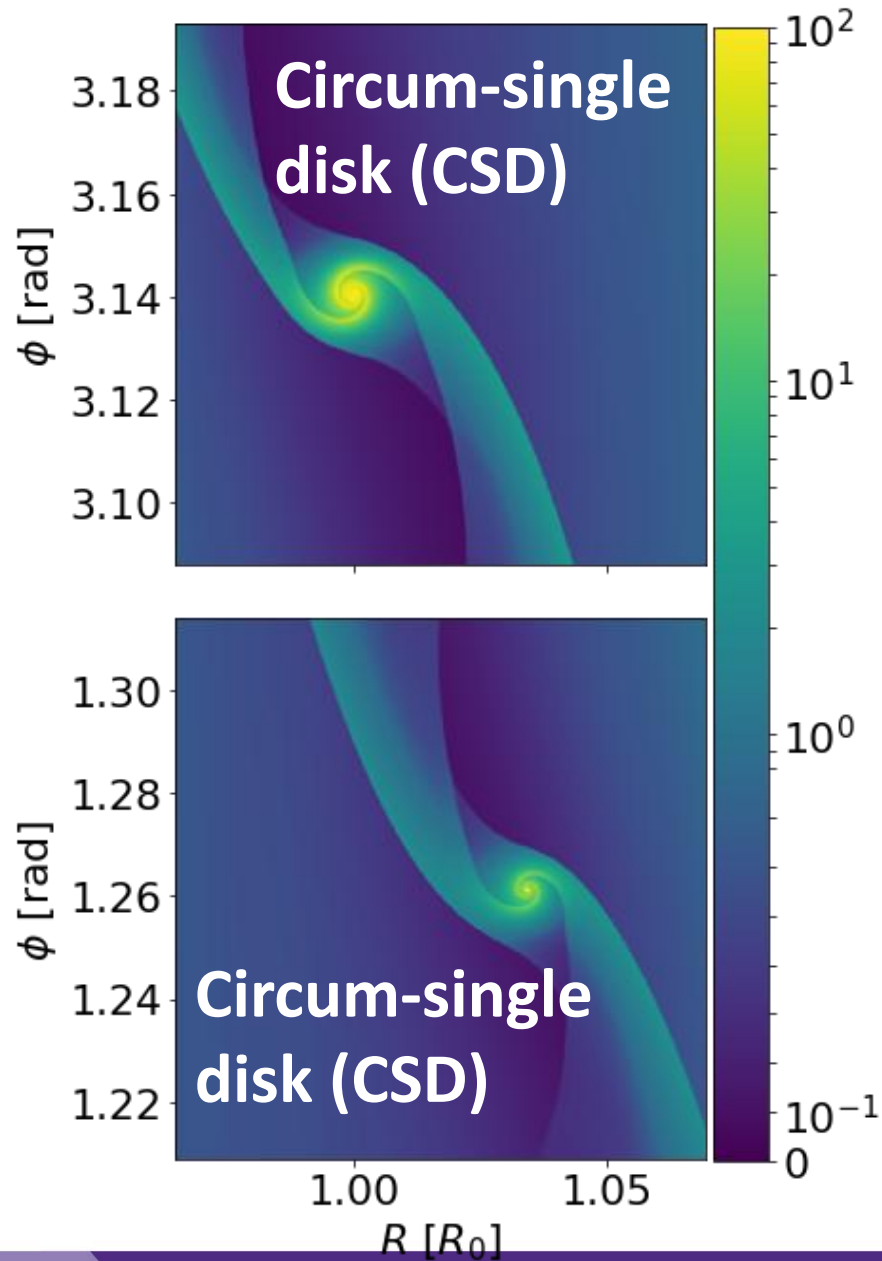
$$a_2 - a_1 = 2R_H$$

(Close encounter at the first conjunction)

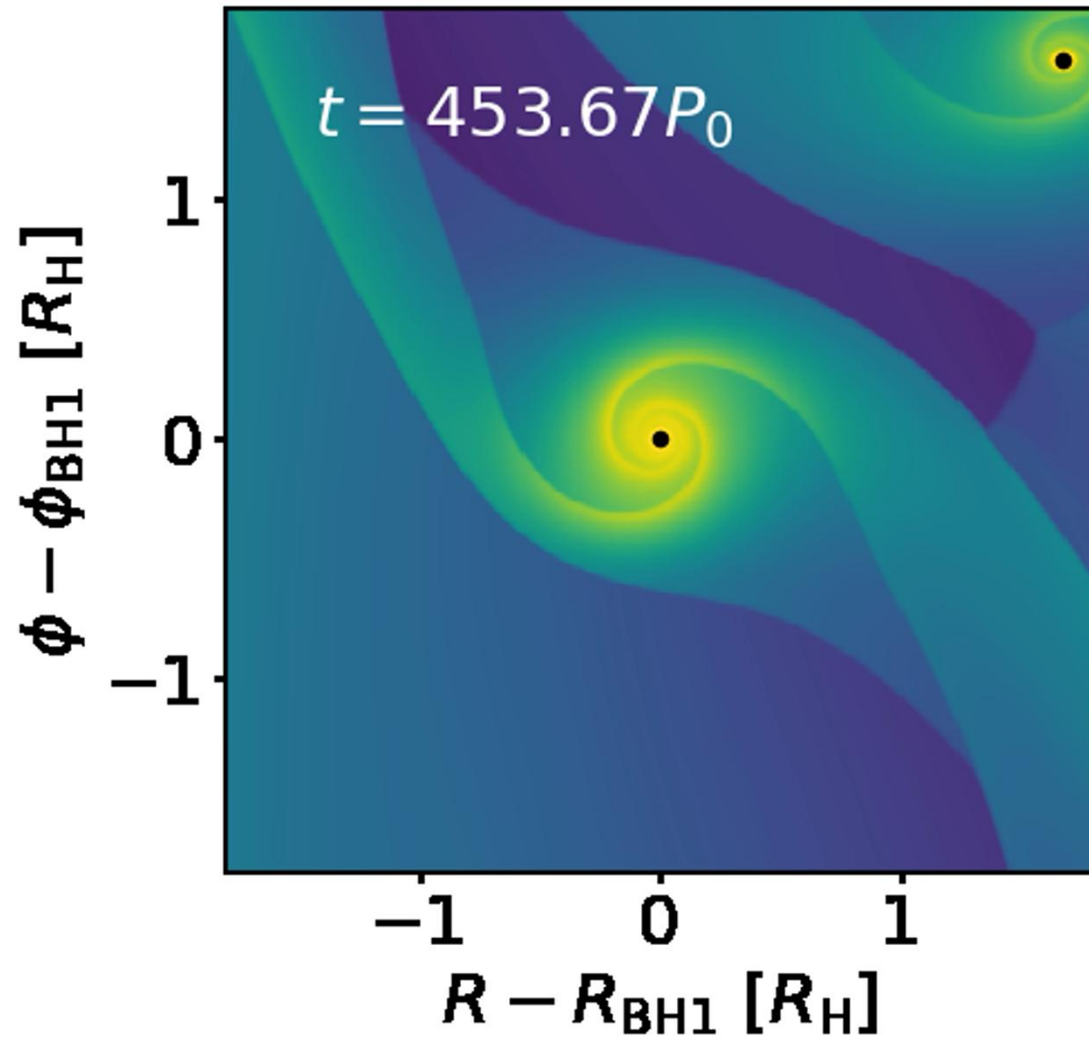
- Simulation setup:

- $M_{\text{SMBH}} = 1$, $m_1 = 10^{-5}$, $m_2 = 5 \times 10^{-6}$
- Thin disk $H/R = 0.01$, low viscosity $\alpha = 0.01$.
- Isothermal disk.
- High resolution with $50 \rightarrow 100$ grid cells per R_H , where $R_H = 0.017R_0$

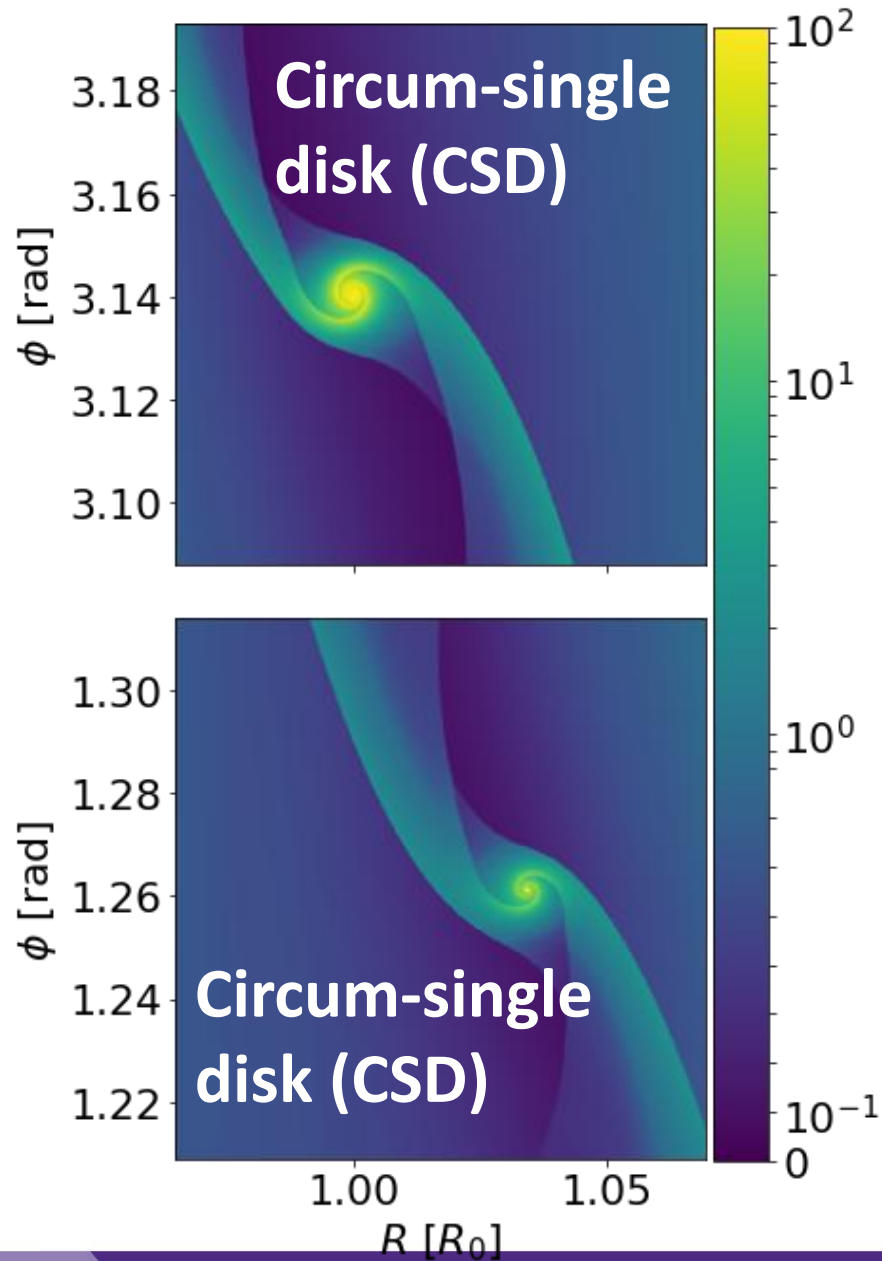
(J. Li, Dempsey, Li, Lai, and Li 2023)



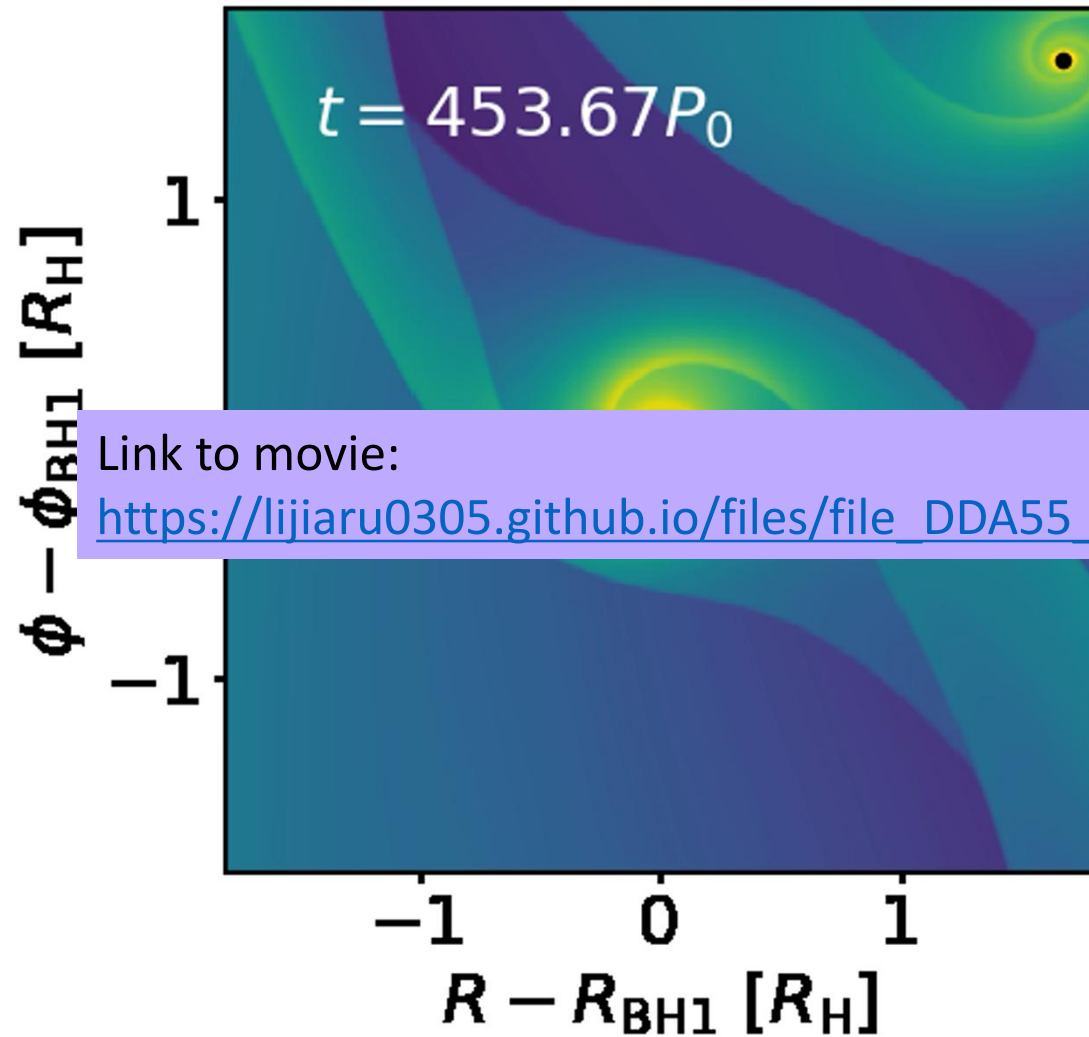
Formation of a binary



(*J. Li, Dempsey, Li, Lai, and Li 2023*)



Formation of a binary

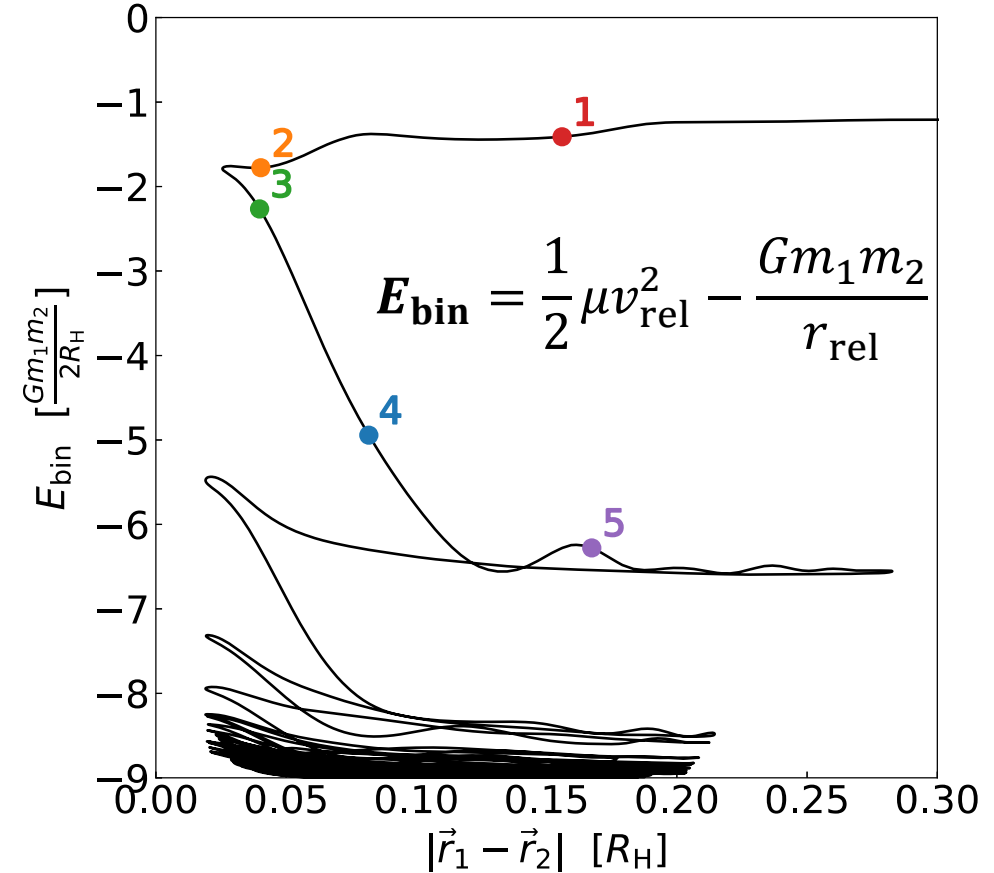
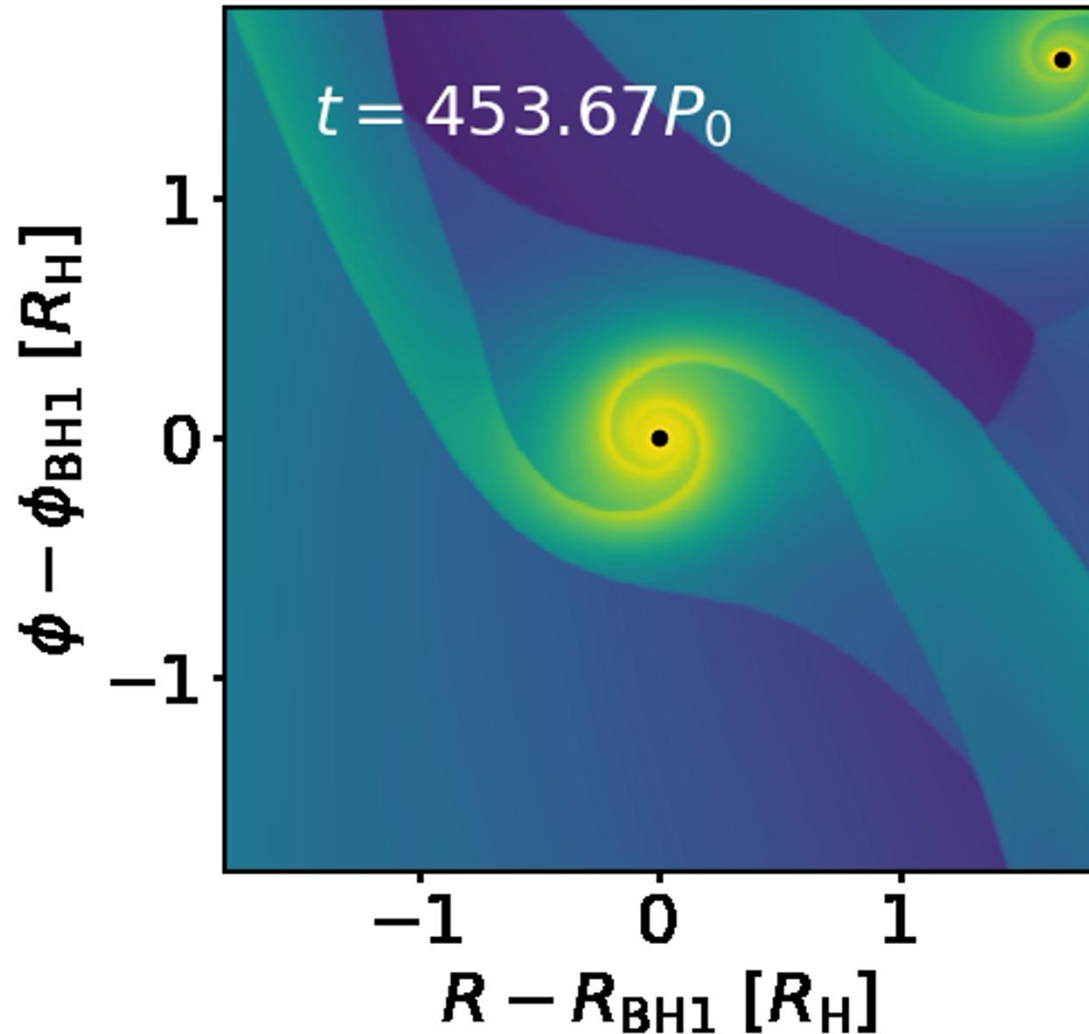


Link to movie:

https://lijiaru0305.github.io/files/file_DDA55_movie.mp4

(*J. Li, Dempsey, Li, Lai, and Li 2023*)

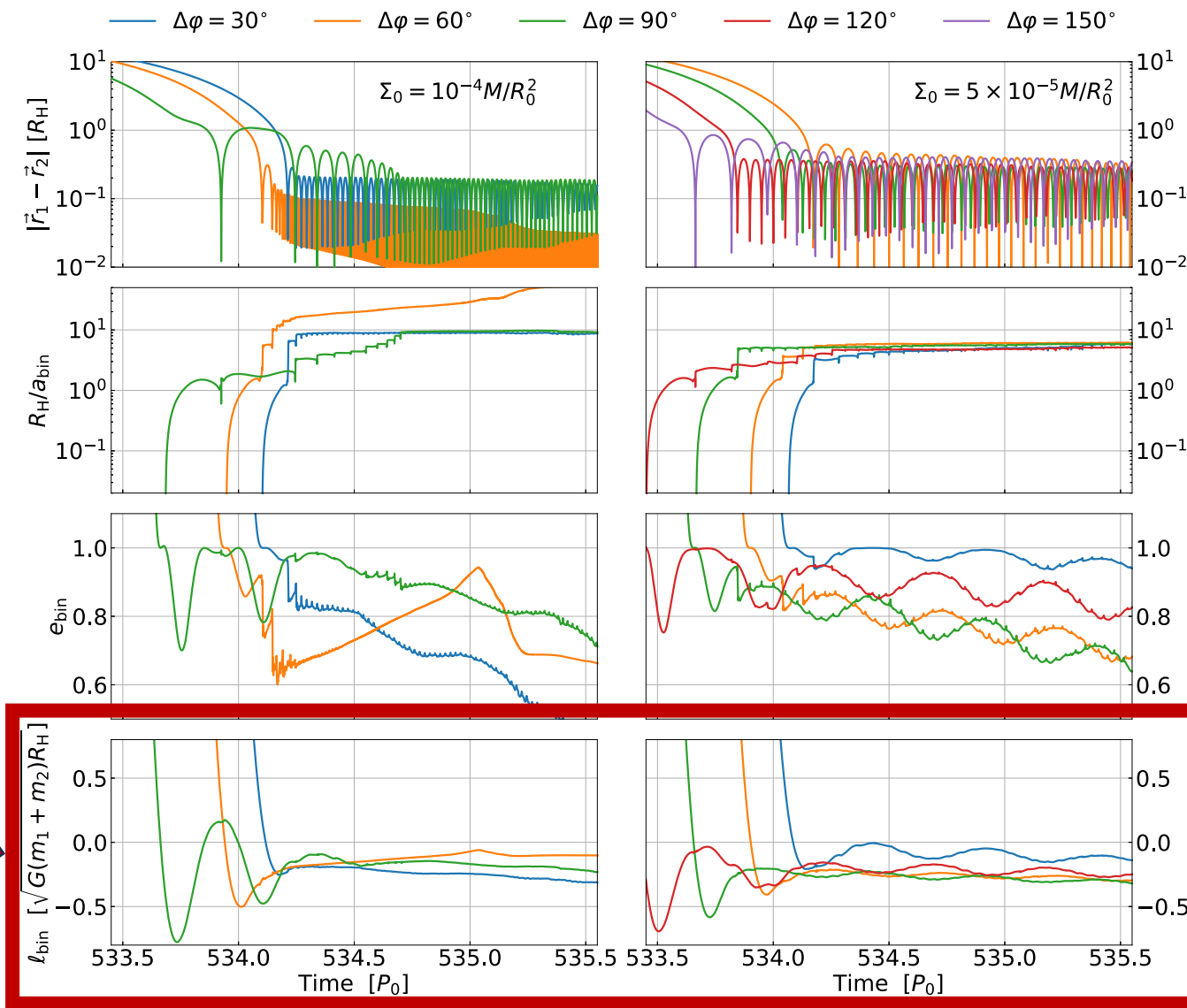
Formation via *post-collision drag*: analysis



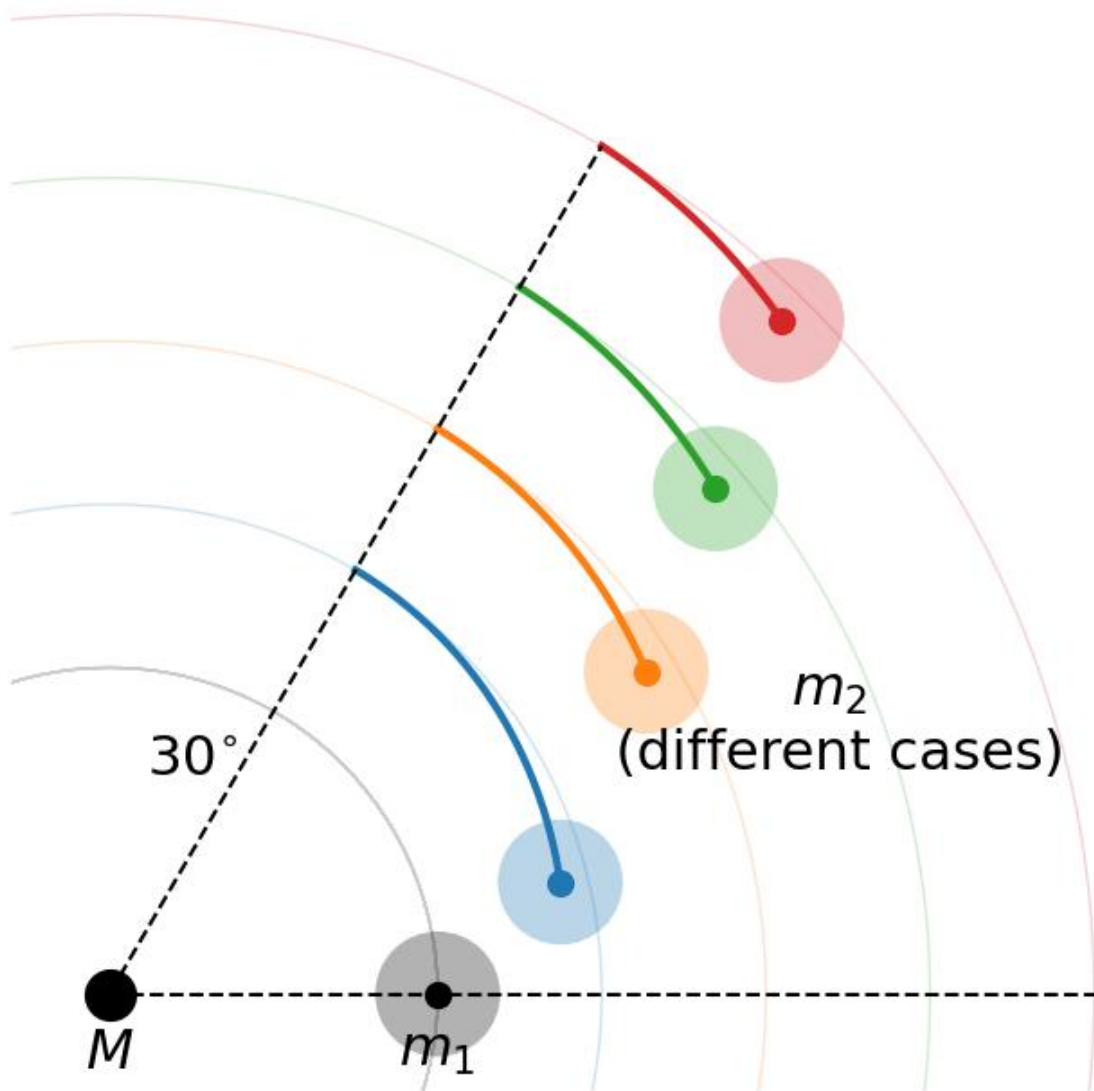
(J. Li, Dempsey, Li, Lai, and Li 2023)

Resulting binary orbit after formation

- small semi-major axis: $\frac{a_{\text{bin}}}{R_H} \sim 0.1$
- large eccentricity: $e_{\text{bin}} > 0.5$
- (mostly: 26/28) retrograde rotation: $\ell_{\text{bin}} < 0$



Formation via *post-collision drag*: parameter study (J. Li+ in prep)

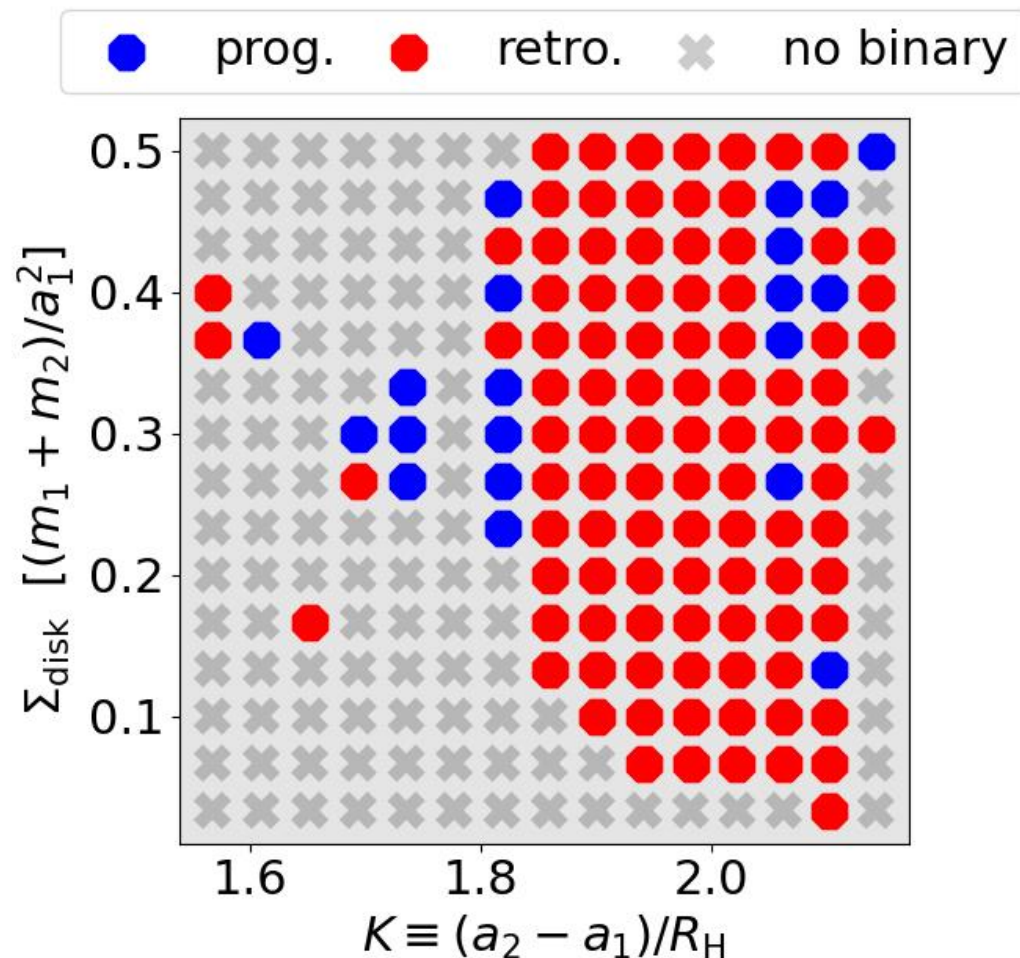


Parameter study setup:

- SMBH + 2 BHs + AGN disk
($m_1, m_2 \sim 10^{-3} M$, $H/R = 0.05$ for speed)
- BHs are held on their initial orbits for 450 orbital period to form CSDs.
- When their angular separation reaches 30° , the BHs are released.

(Left: not-to-scale diagram of our setup in the co-rotating frame of m_1)

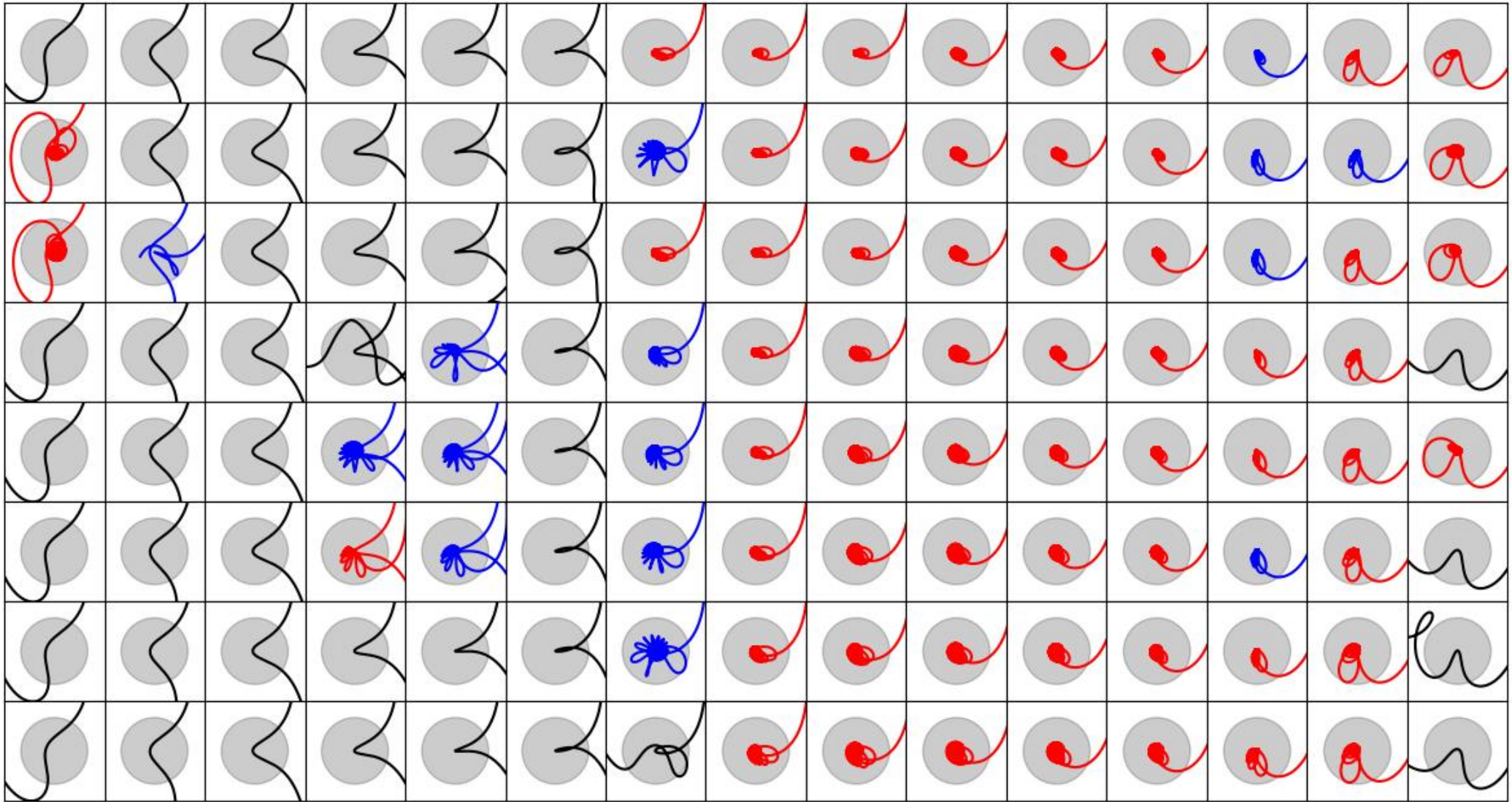
Formation via *post-collision drag*: parameter study (J. Li+ in prep)



Two key results:

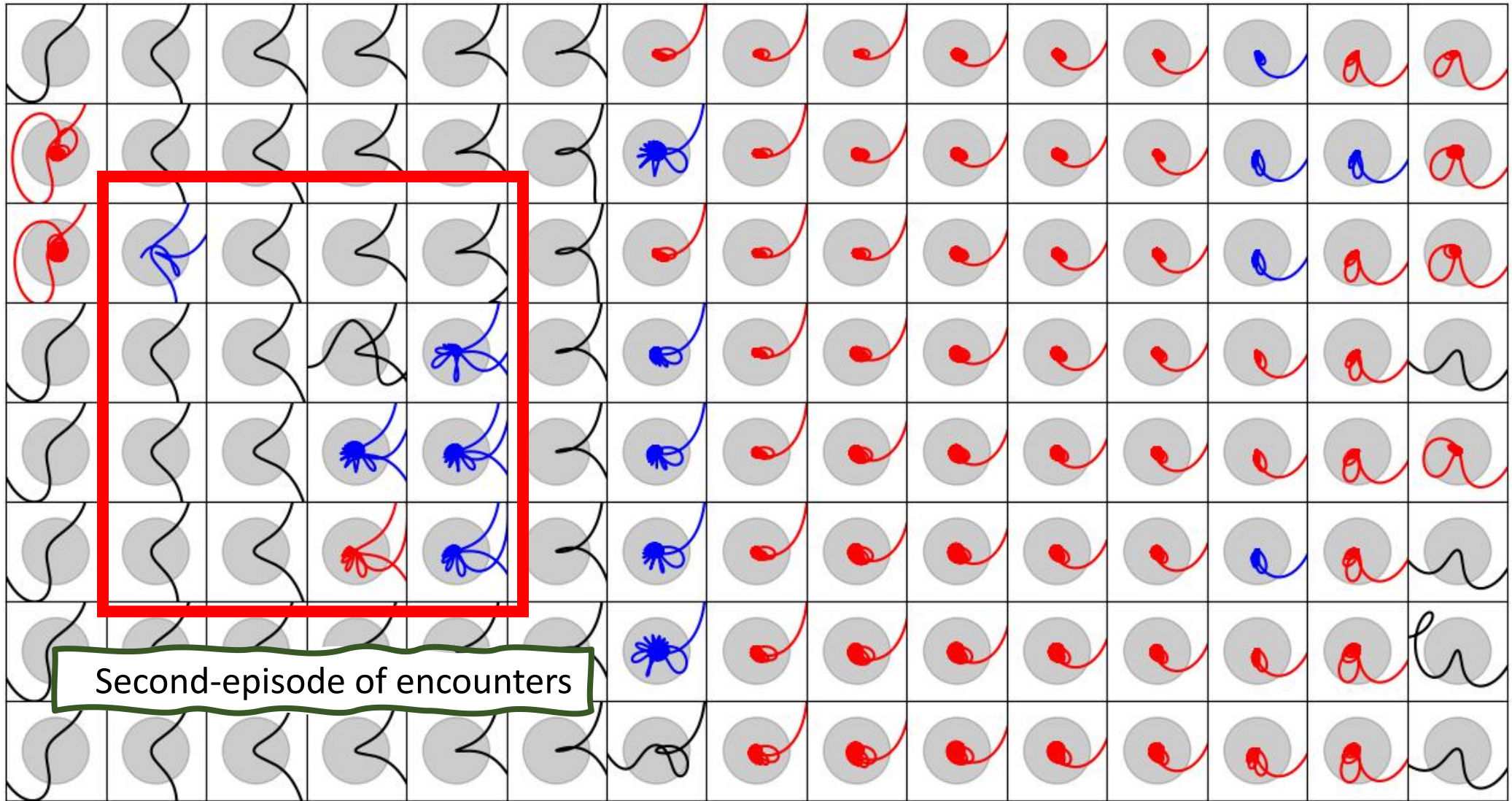
- Most binary formation happens at **K between 1.80 and 2.13.**
- The nearly all binaries are retrograde.

Higher gas density ---->



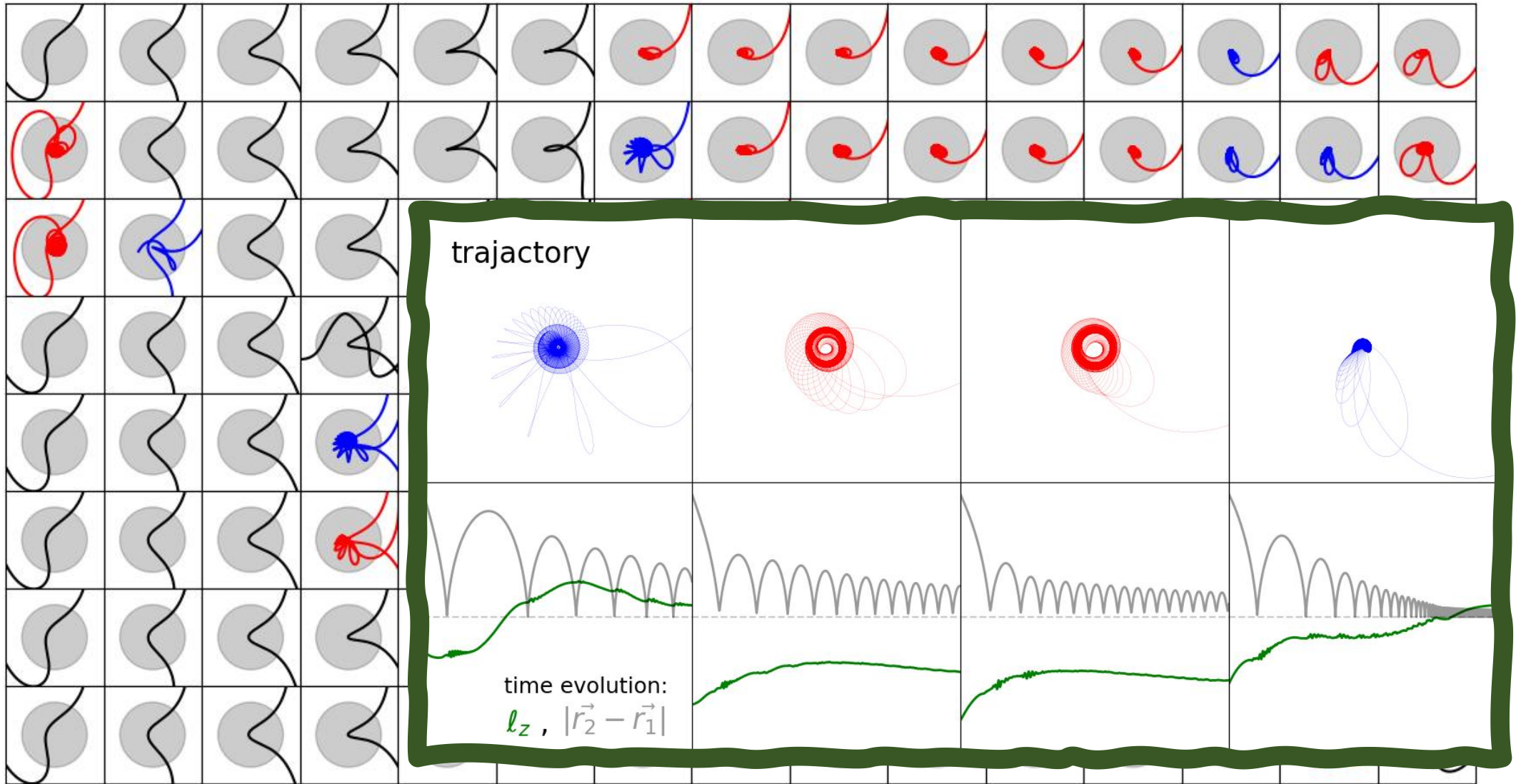
Larger impact parameter K ---->

Higher gas density ---->



Larger impact parameter K ---->

Higher gas density ---->



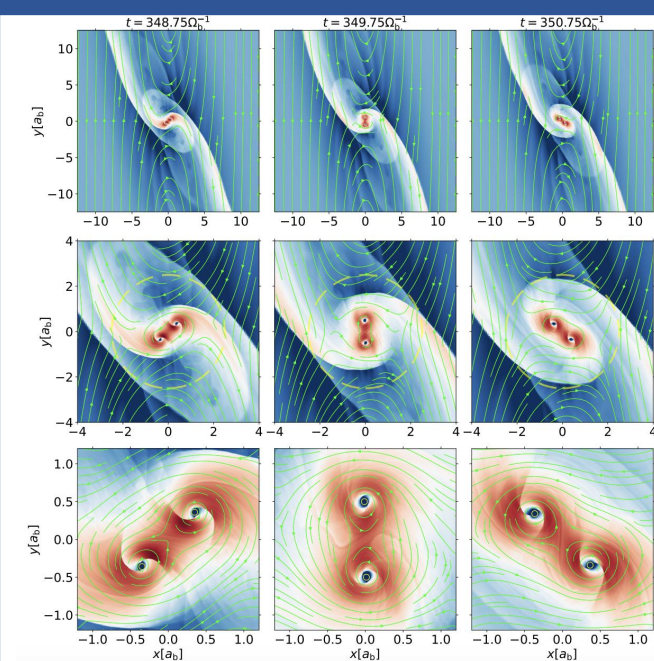
Larger impact parameter K ---->

Higher gas density ---->

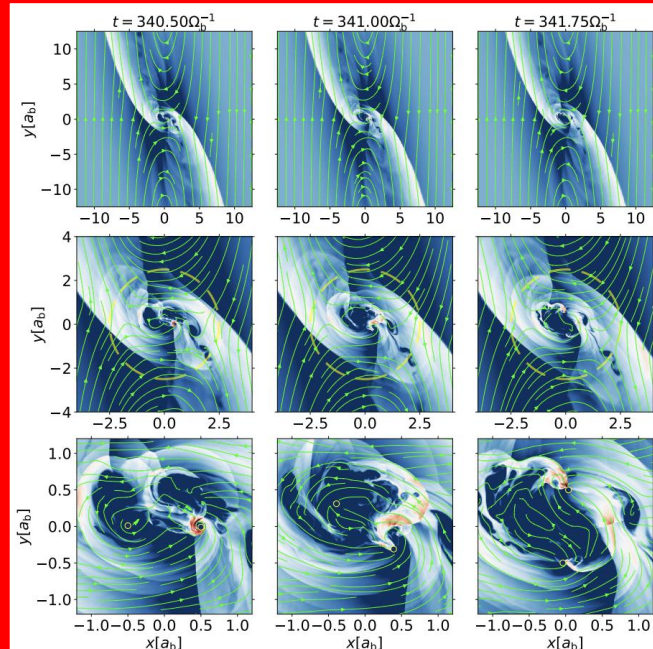
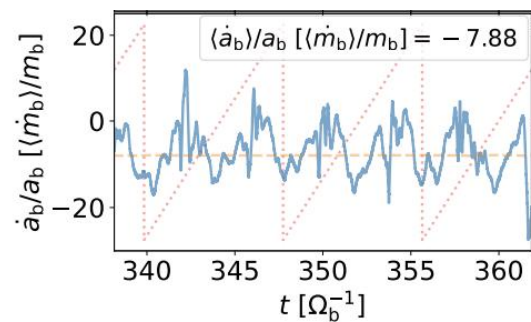
- Retrograde binaries:**
- shrink much more rapidly
e.g., [Y. Li+ \(2021\)](#), [R. Li & Lai \(2022\)](#)
 - undergo eccentricity growth
[Calcino+ \(2024\)](#)
 - mutual inclination may evolve
[Dittmann+ \(2024\)](#)

Larger impact parameter K ---->

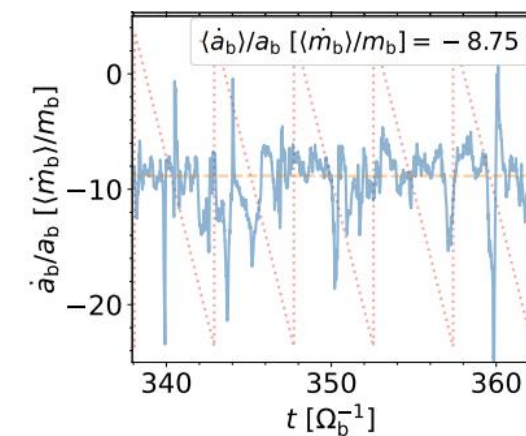
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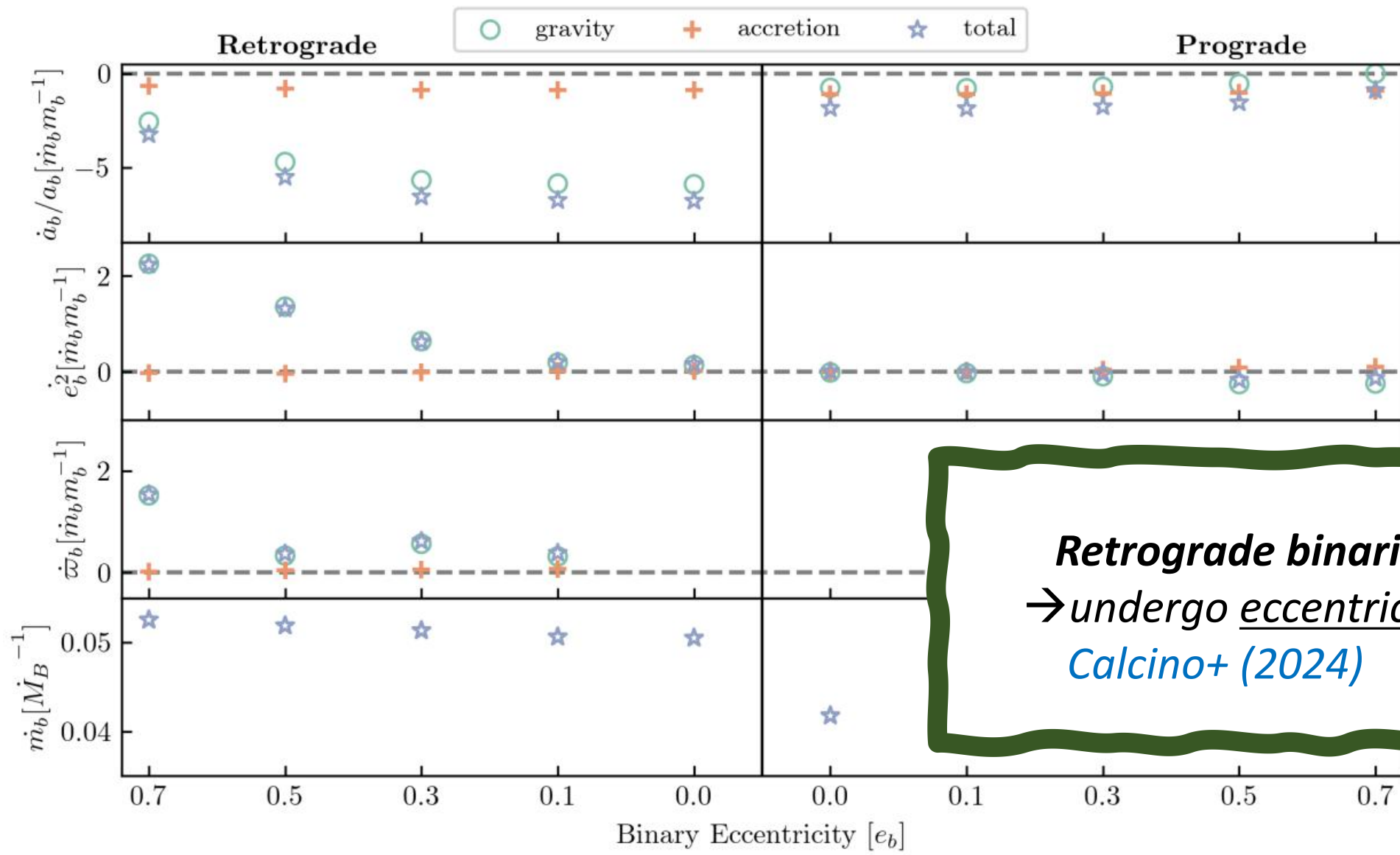


Prograde BBH



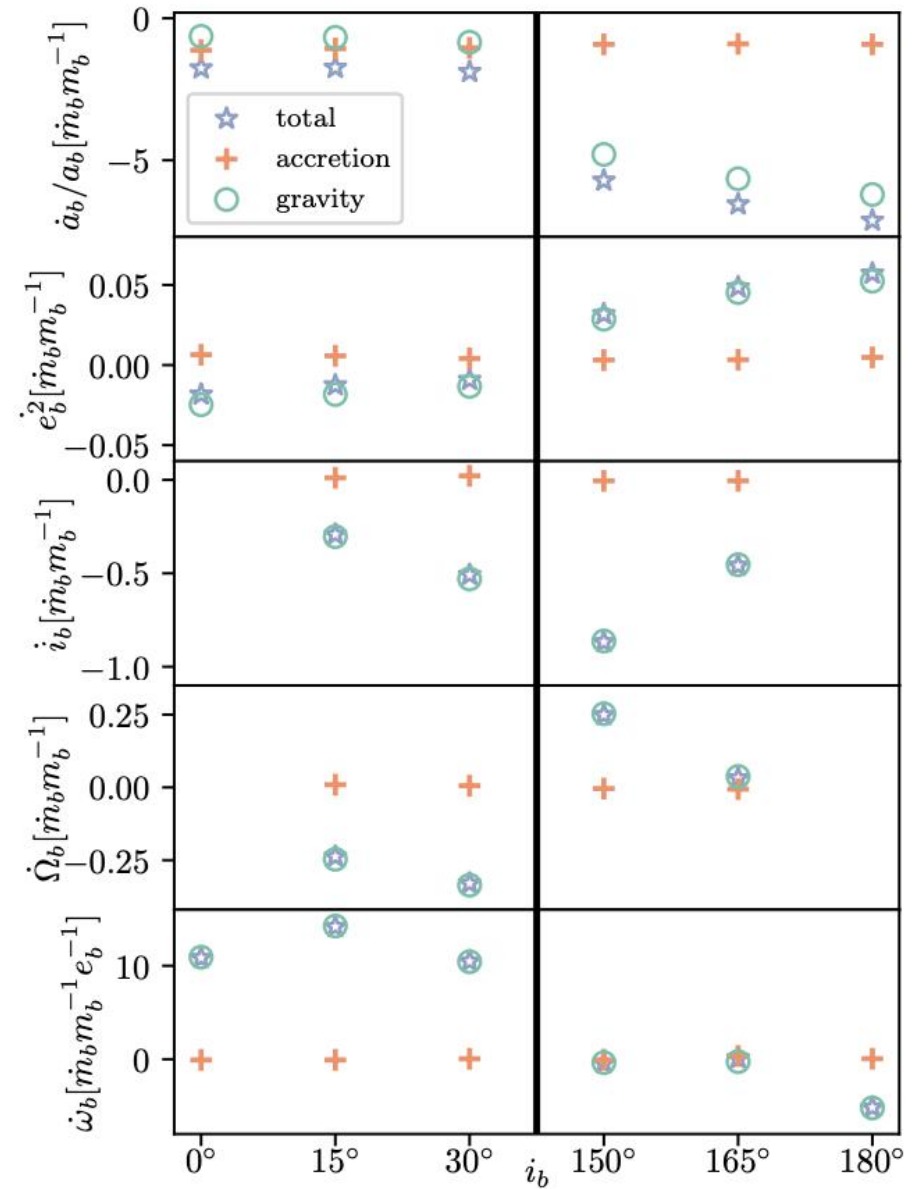
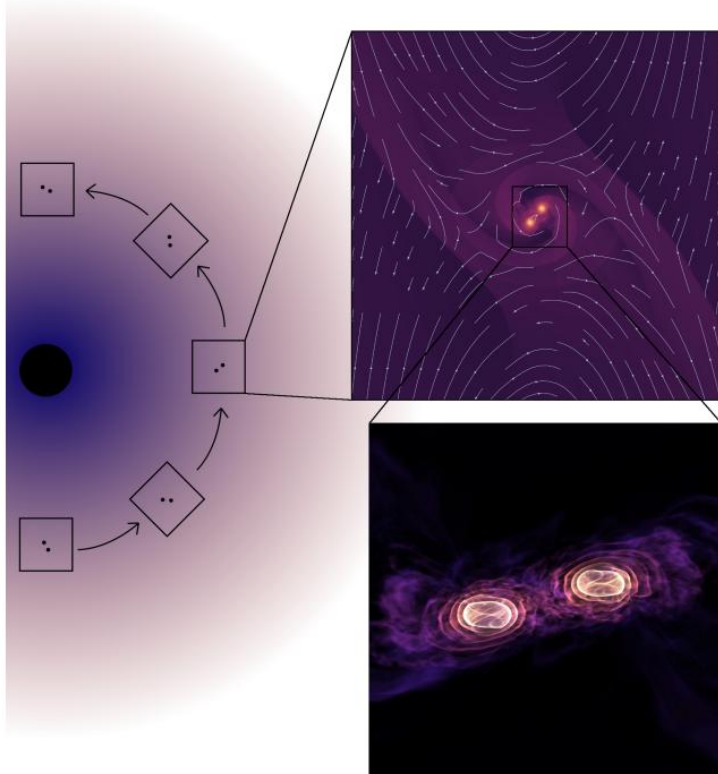
Retrograde BBH





Retrograde binaries:
 → undergo eccentricity growth
Calcino+ (2024)

Retrograde binaries:
 → mutual inclination may evolve
 Dittmann+ (2024)



Summary

- ***GW Bremsstrahlung***: Long-lasting dynamical instability triggers lots of BH-BH encounters, which all have a small chance of forming tight and highly eccentricity binaries.
- ***Gas-assisted binary formation***: Two types of gas effects are studied, i.e. **frictional effects** and **post-collision drag**.
 - Both are one-shot (or few-shot) and require sufficiently high gas density.
 - The resulting BH binary orbits can be eccentric, compact, and (most likely to be) retrograde.
- Our results suggest a dynamical channel for BH mergers in AGN disks (which prefers high-mass, eccentric, potentially with $\chi_{eff} < 0$).
- **Three are still many many uncertainties → room for interpretation.**