



# Probing Astrophysical Environments of Massive Black Holes with Extreme Mass-Ratio Inspirals

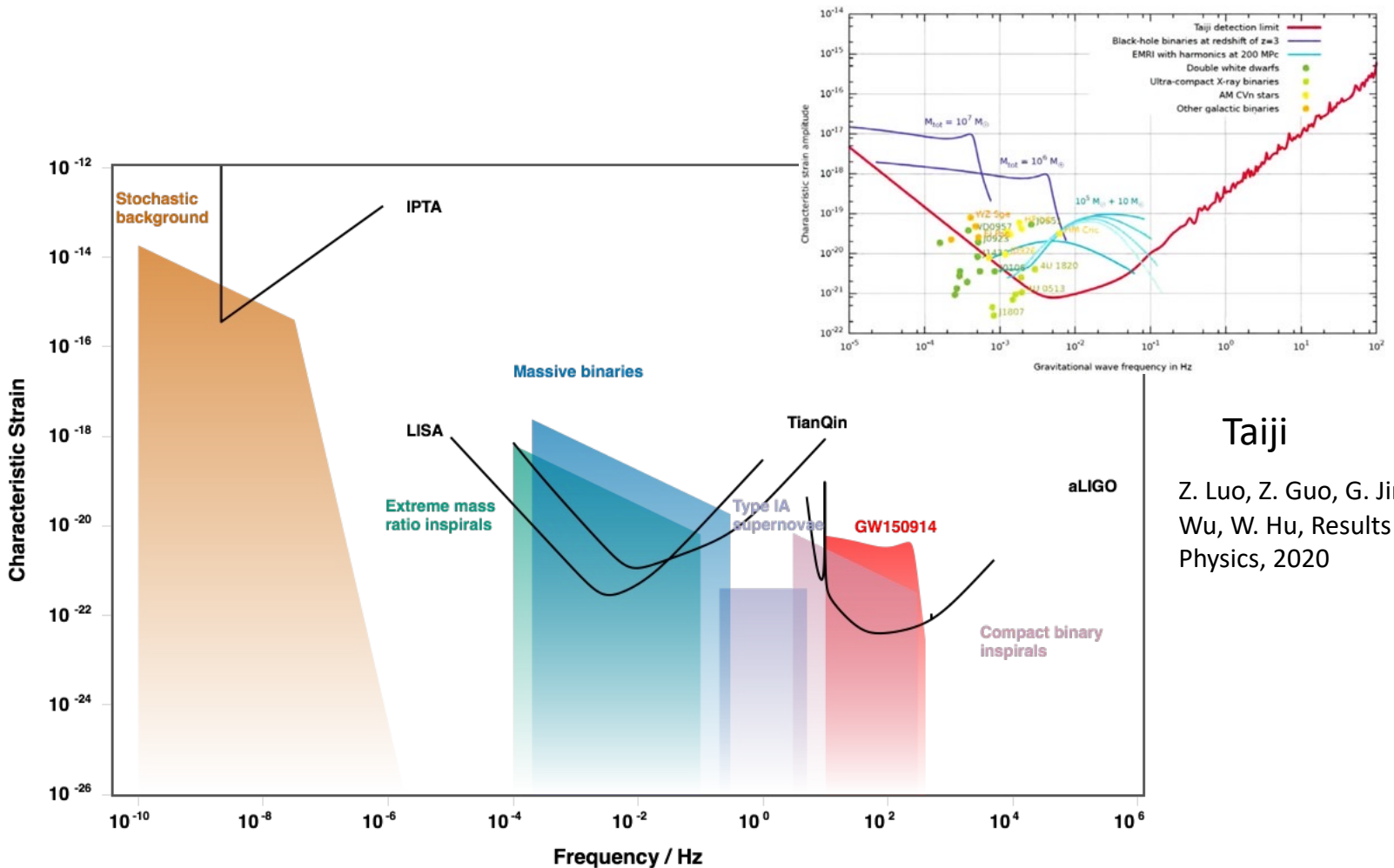
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Transient Phenomena and Physical Processes Around Supermassive  
Black Holes, T. D. Lee Institute, Oct. 17, 2024,

# Space-based Gravitational Wave Detection (LISA/Taiji/Tianqin)

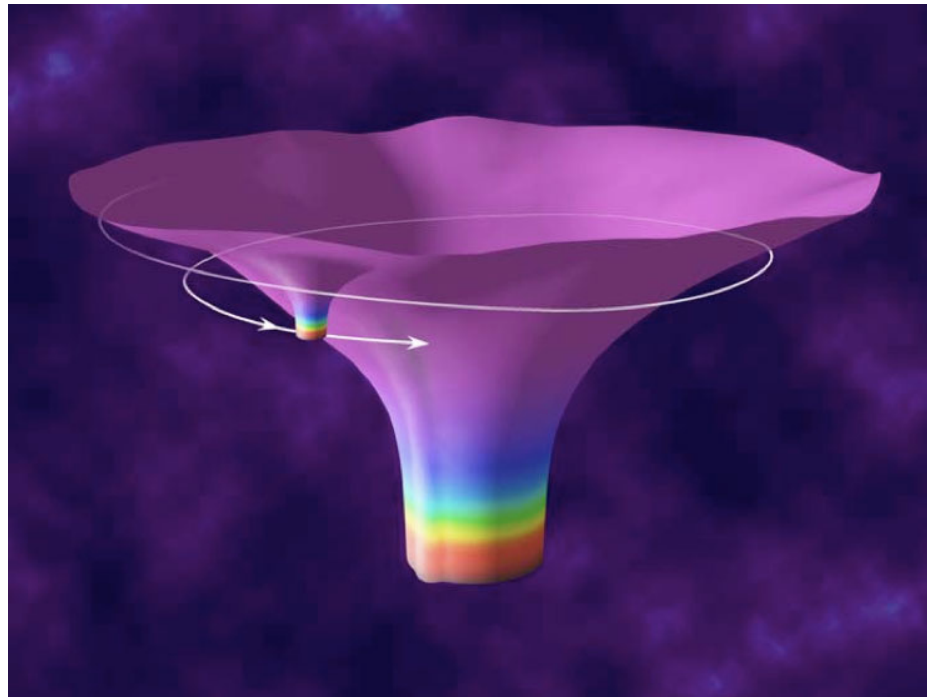


Taiji

Z. Luo, Z. Guo, G. Jin, Y. Wu, W. Hu, Results in Physics, 2020

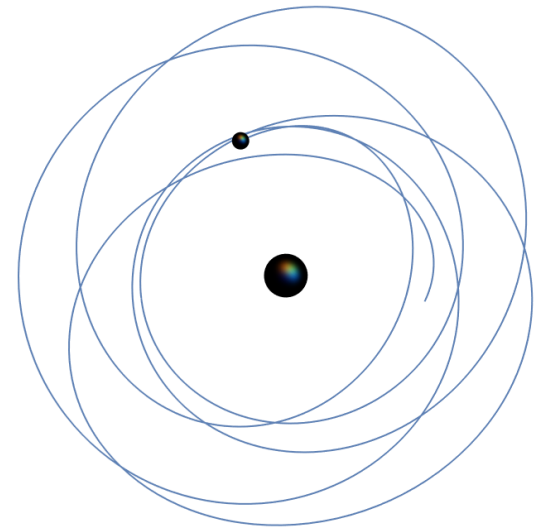
# Extreme Mass Ratio Inspirals (EMRIs)

- Extreme mass-ratio inspirals: stellar-mass object (black holes, neutron stars, compact stars) orbiting around the massive black hole ( $10^5$ - $10^7$  solar mass).



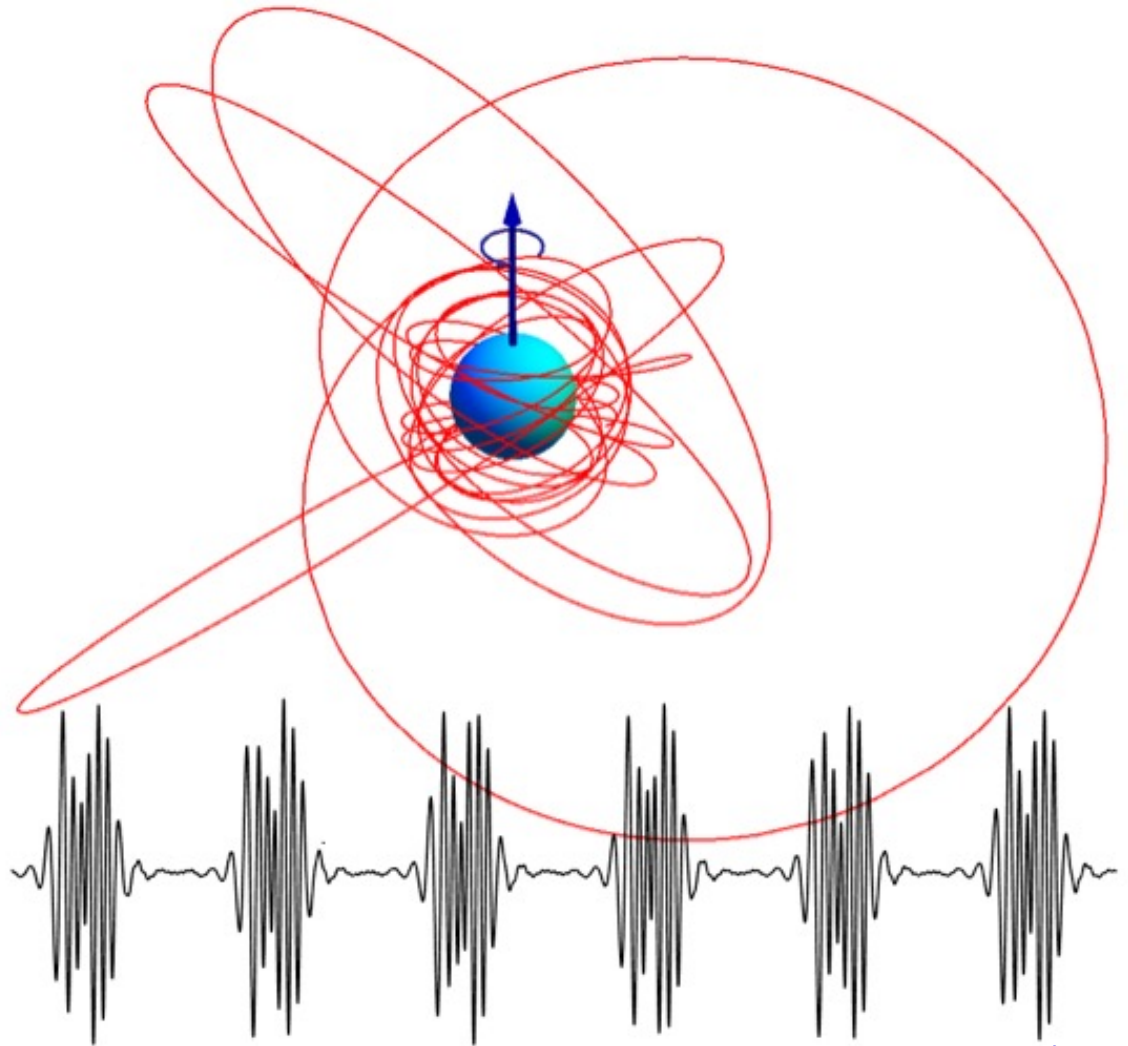
# EMRI Dynamics

- A point particle moves along a geodesic of a rotating black hole spacetime: the motion is “separable” and periodic in  $(r, \theta, \varphi)$  directions, with different orbital frequencies  $(\omega_r, \omega_\theta, \omega_\varphi)$ .
- Gravitational waves carry away energy and angular momentum, so that the orbit slowly shrinks in time.
- A typical EMRI is in-band for  $10^4$ - $10^5$  cycles.



# EMRI Waveform

- $10^4$ - $10^5$  cycles in band means  $\sim 10^5$ - $10^6$  rad in the waveform phase.
- A “perturbation” of  $10^{-6}$  in size may accumulate and generate  $\sim 1$  rad phase change
- EMRI  $\rightarrow$  ideal tool for measuring small perturbations: opportunities for studying astrophysics and fundamental physics
- Measurement uncertainty of eccentricity, spin, mass  $\sim 10^{-4}$  -  $10^{-6}$



# Overview

- EMRIs with axion clouds around supermassive black holes
- EMRIs with close stellar objects
- Wet EMRIs: formation and motion within AGN disks

# Overview

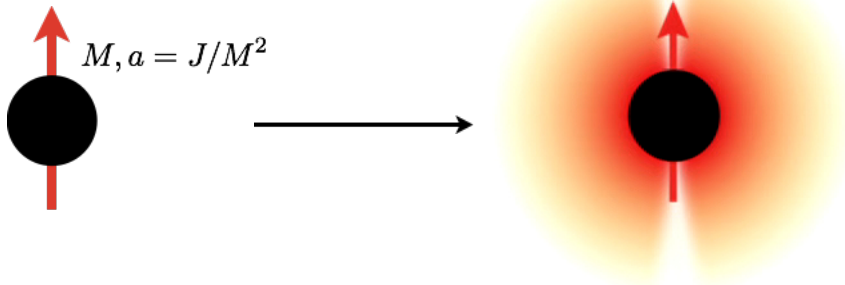
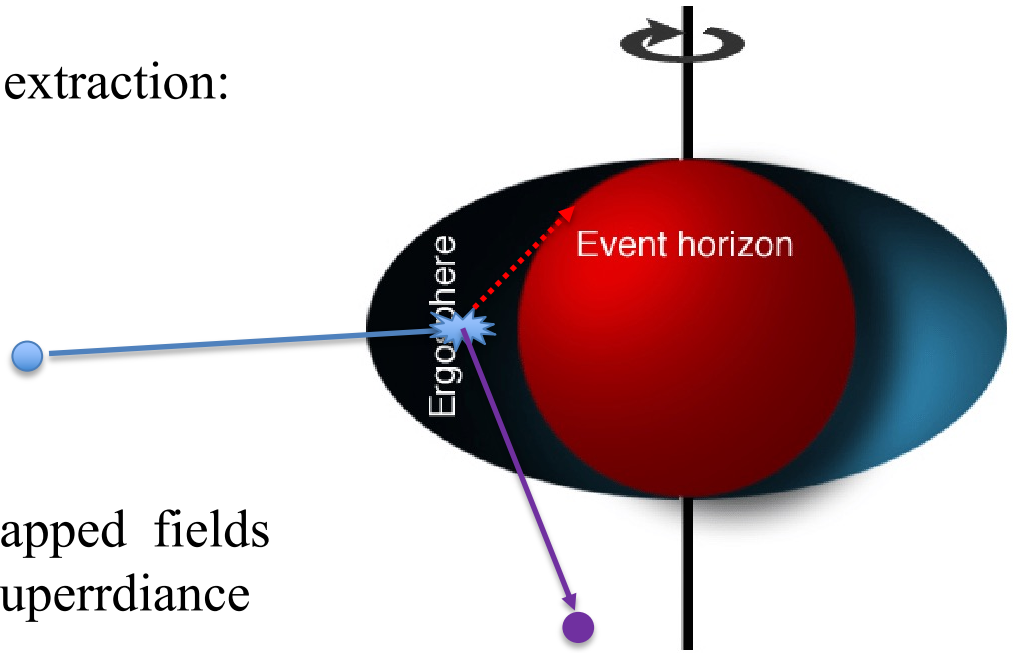
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# Black hole superradiance

- Penrose process with energy extraction:

$$E_1 = E_2 + E_3, E_2 < 0$$
$$\rightarrow E_1 > E_3$$

- Switch particle to fields: trapped fields with continuous extraction: superradiance



Penrose 1969  
Press & Teukolsky 1972  
Zouros & Eardley 1979  
Detweiler 1980

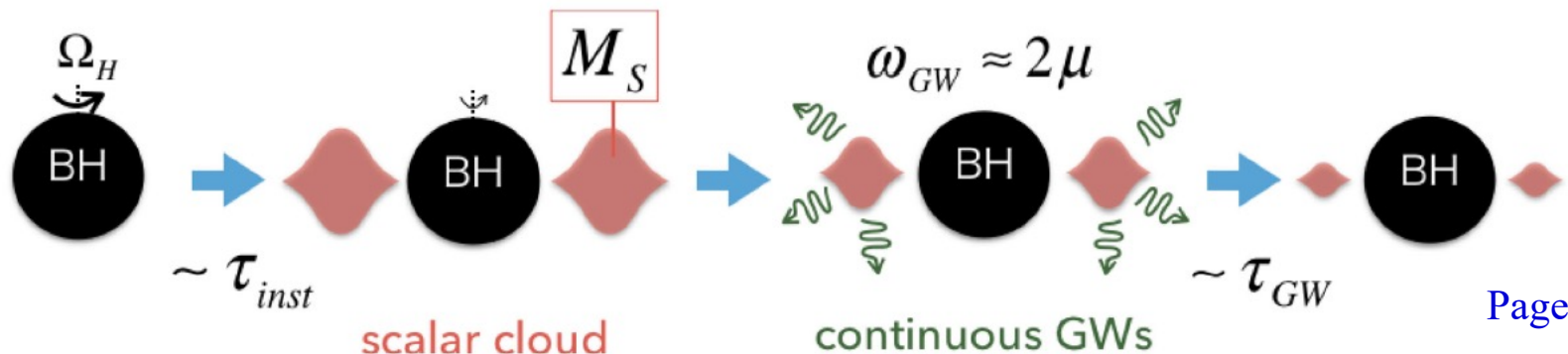


# Superradiant Cloud

- Superradiant process transfers black hole angular momentum to the axion cloud (**Dark Matter Candidates**), until  $\omega = m \Omega_H$
- Defining a dimensionless quantity  $\alpha \sim \text{BH size/axion wavelength}$

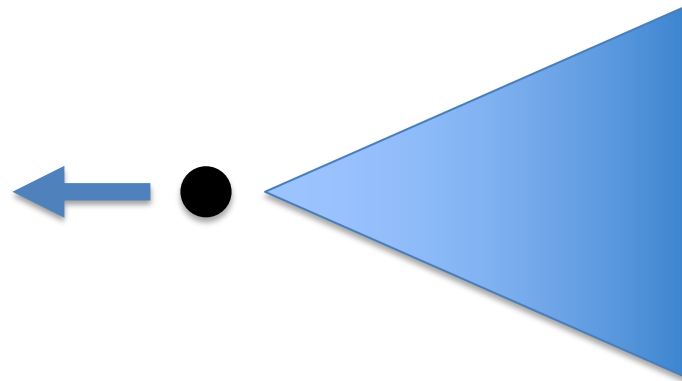
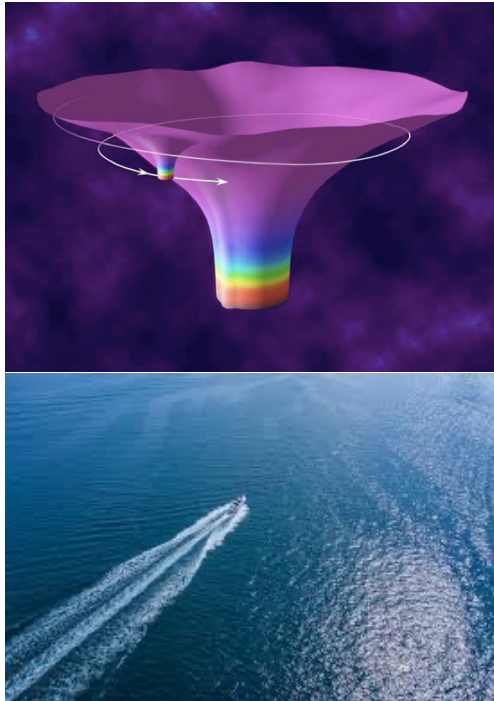
$$\alpha \equiv \mu M \simeq 0.1 \left( \frac{M}{10 M_\odot} \right) \left( \frac{\mu}{10^{-12} \text{eV}} \right)$$

- Two relevant timescales:
  - Growth timescale  $\sim 12 \text{ days } (0.1/\alpha)^9 (M/10 M_\odot)$
  - GW radiation decay timescale  $\sim 10^9 \text{ years } (0.1/\alpha)^{15} (M/10 M_\odot)$
- Cloud mass  $\sim \alpha M$

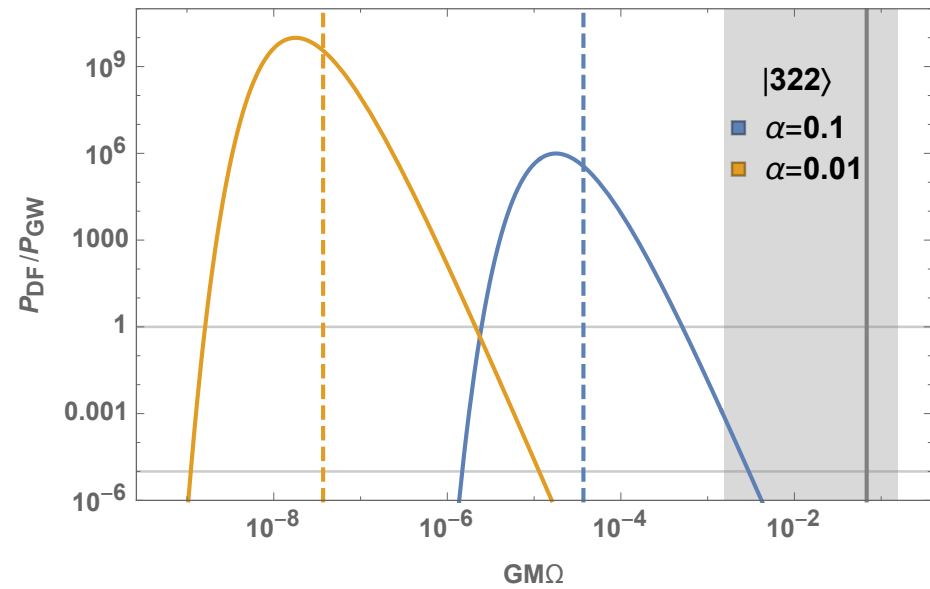
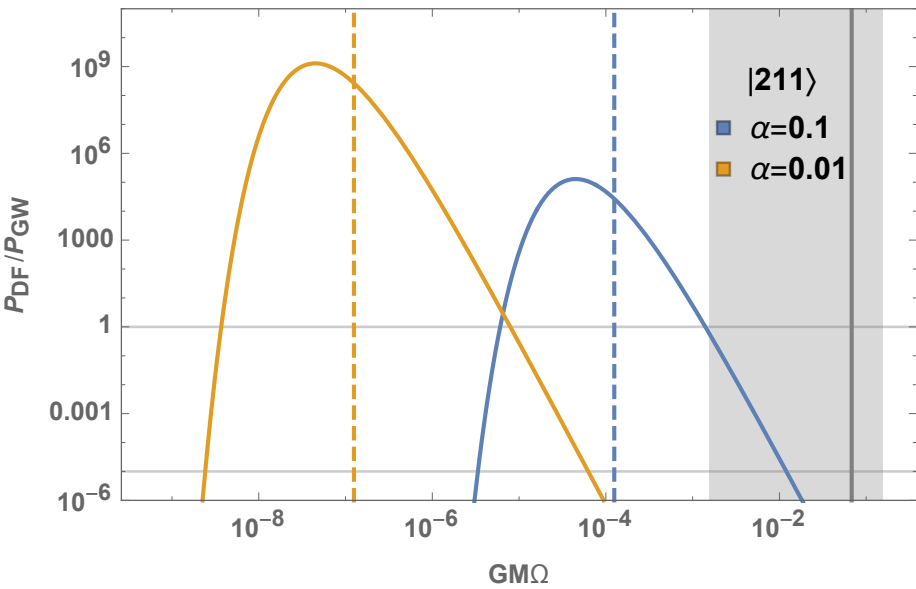


# Cloud interaction: extreme mass-ratio inspirals

- Cloud exists for EMRIs (extreme mass-ratio inspirals, one of main sources of LISA). Main interaction: dynamical friction [Zhang, HY, PRD 2020], modified gravitational potential, modified gravitational wave flux.



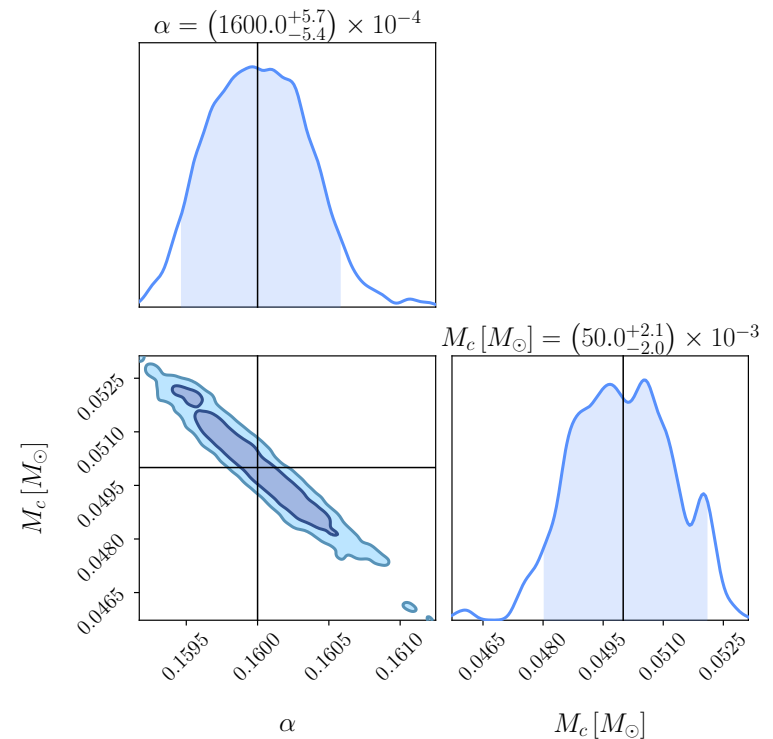
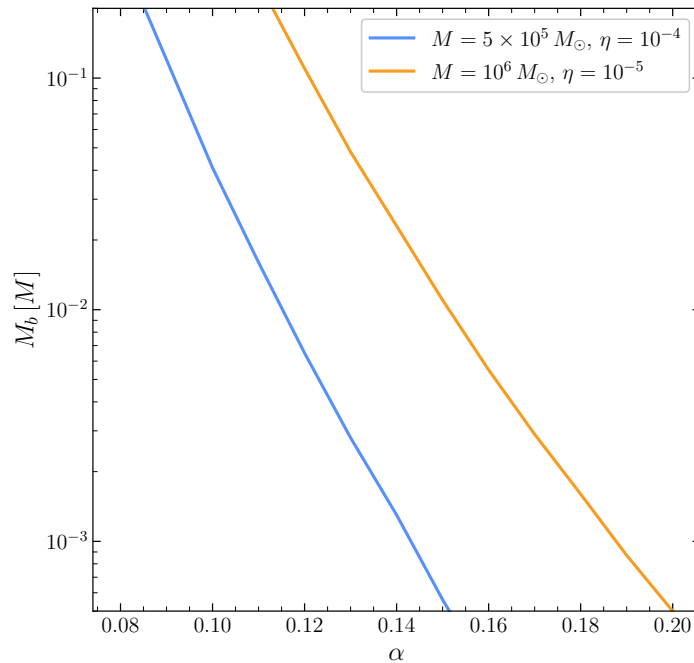
# Detectable parameter range



Zhang, HY, PRD 2020

# Implementation to the FEW for Parameter Estimation

- FastEMRIWaveform (FEW) is the most accurate EMRI waveform analysis code, which will likely be used for space-borne detectors (as developed by the LISA Consortium).
- We have developed the “environmental effect” model for FEW, including axions.

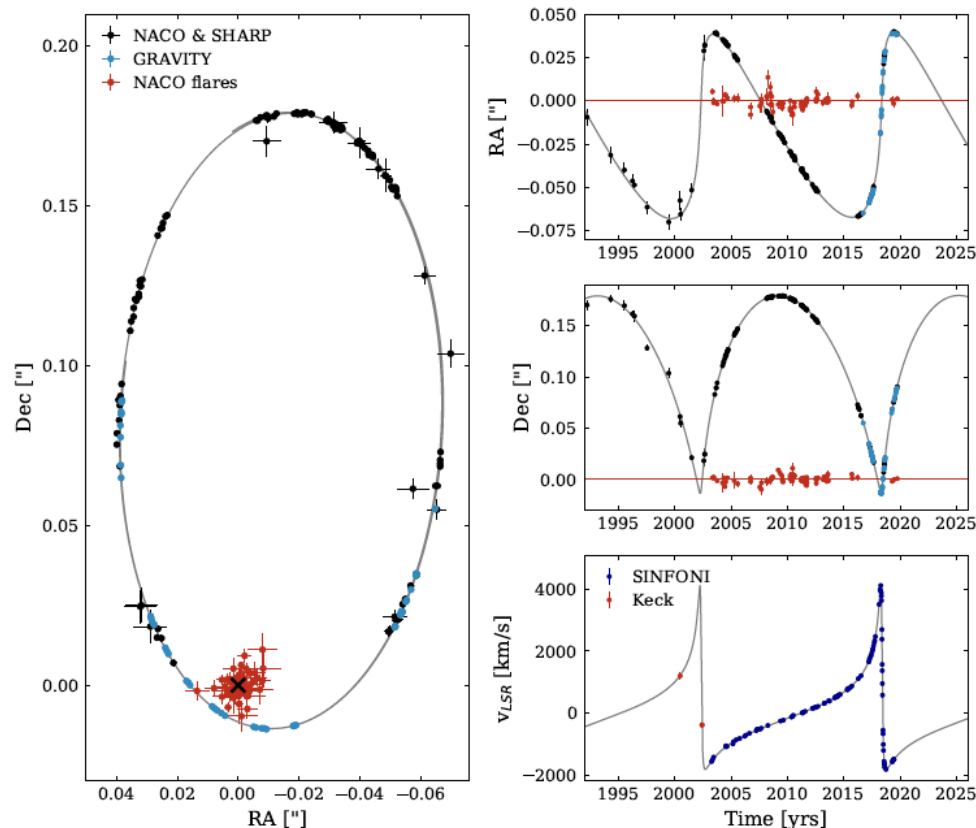


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# Close stars to Sgr A\*

- The observation of the S2 star in our galactic center has led to the identification of compact object ( $\sim 4$  million solar mass) in galactic center – a strong evidence for SMBH [Nobel Prize 2020, Ghez & Genzel]!

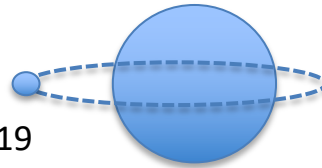


# Directly probing the companion

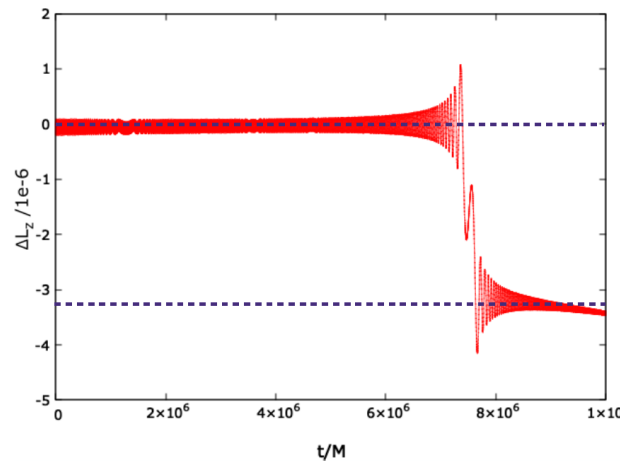
- The presence of a stellar-mass companion generally adds an oscillatory force on the EMRI object – no long-term effect.

HY and M. Casals, PRD 2017

B. Bonga, HY and S. Hughes, PRL 2019



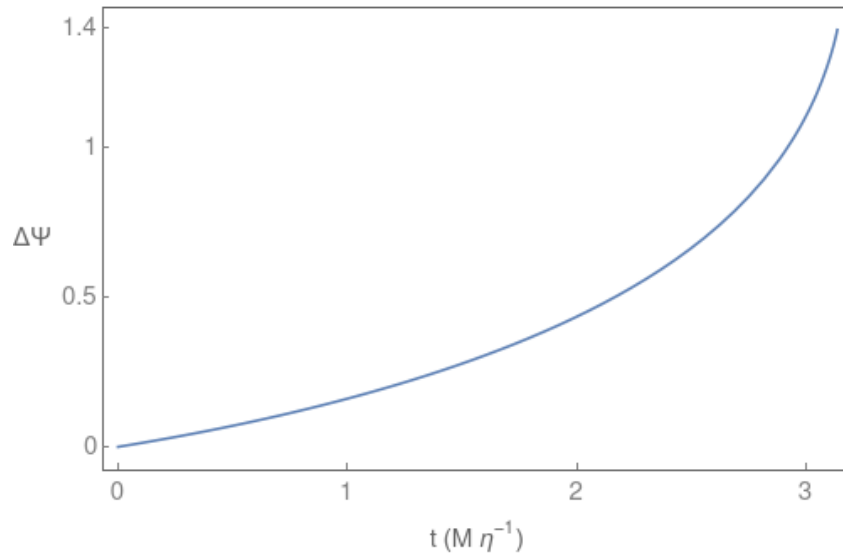
- Except** at **resonances** the “extra” conservative forces can change the conserved quantities (i.e., modified Kerr metric). Sometimes there are chaotic behavior.



$$k\omega_\theta + n\omega_r + m\omega_\phi \approx 0$$

# Tidal Resonance

- The “jump” of conserved quantities across a resonance will cause long-term shift of the gravitational wave phase. This can be used to detect the companion.



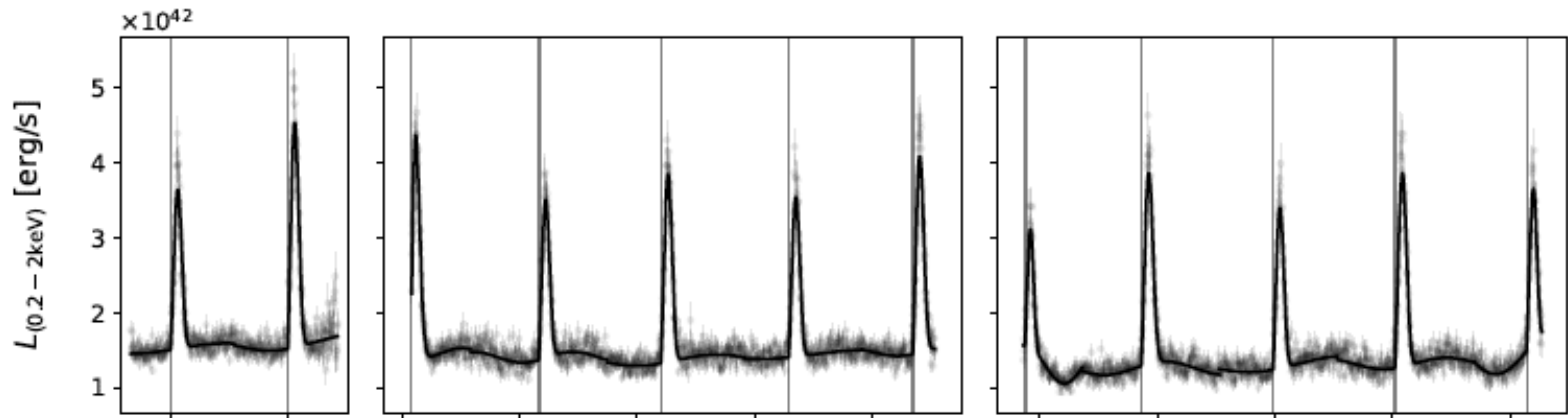
$$\begin{aligned}\Delta\Psi &:= \int_0^{T_{\text{plunge}}} 2\Delta\omega_\phi dt \\ &= 1.4 \left( \frac{\mu}{10M_\odot} \right)^{-\frac{1}{2}} \left( \frac{M}{M_{\text{SgrA}^*}} \right)^{\frac{7}{2}} \left( \frac{M_*}{10M_\odot} \right) \left( \frac{R}{4.3 \text{ AU}} \right)^{-3}\end{aligned}$$

O(100) gravitational radii !



# QPE and stellar-mass objects close to SMBH

- One of the leading models for QPEs is the “TDE disk+EMRI” scenario [Linial & Metzger 2023]. The timing of X-ray flares can be used to infer the orbital radius of these EMRIs:  $\mathcal{O}(100)$  gravitational radii ! [Cong Zhou et al. 2024 ab]



- Arcodia et al 2024 predicts comparable QPE abundance v.s. LISA massive BHs.

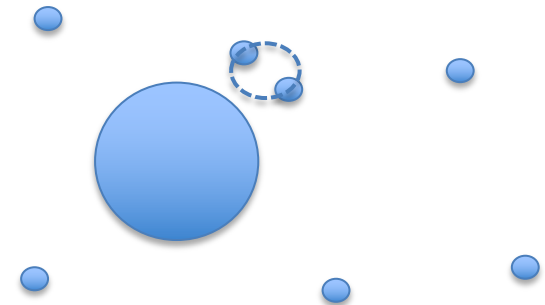
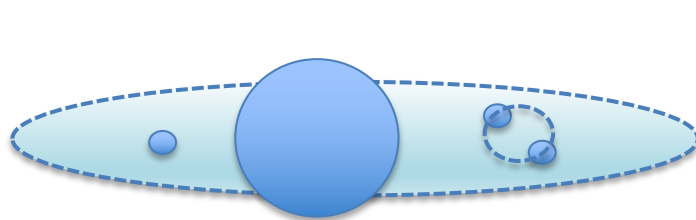
Where do they come from?

# Overview

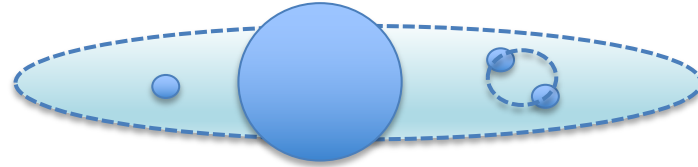
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# Formation of EMRI systems

- Formation channels:
  - “**Dry formation**”, which is mainly driven by multi-body scattering in the nuclear star cluster.
  - “**Wet formation**”, which is assisted by the accretion flow around the massive black hole;



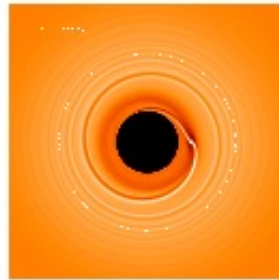
# Wet Formation Channel



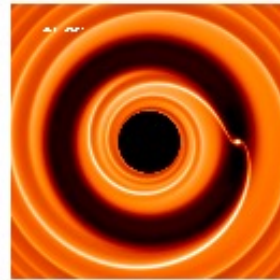
- The wet formation channel relies on the interaction between the accretion disk and the nuclear cluster around the supermassive black holes. The rate calculation requires consistent modelling for disk+cluster.
- Roughly 1% of local galaxies and 10% of high-redshift galaxies have AGNs. However, we will show that AGNs will dramatically accelerate EMRI formations.
- The sBHs trapped in accretion disk may form sBHBs – a promising source for ground-based gravitational wave detection.

# Disk forces

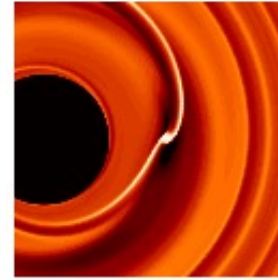
- The main sBH-disk interaction include density wave generation, head wind effect (BH absorption), **feedback**.
- The migration force/torque (density wave & corotation torques) is extensively studied in planetary systems. Likely similar things happen in AGN disks.



Type I

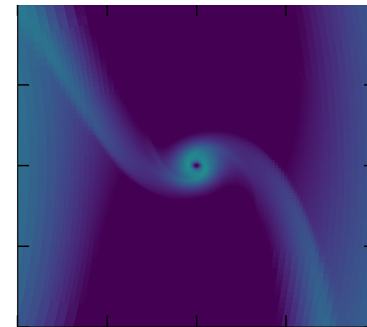
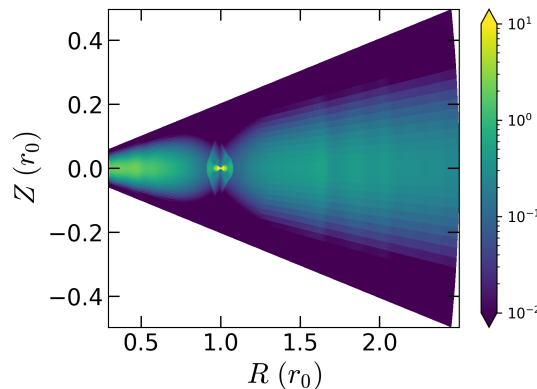
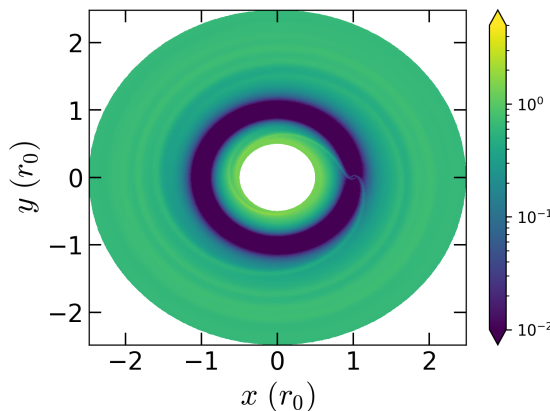


Type II



Type III

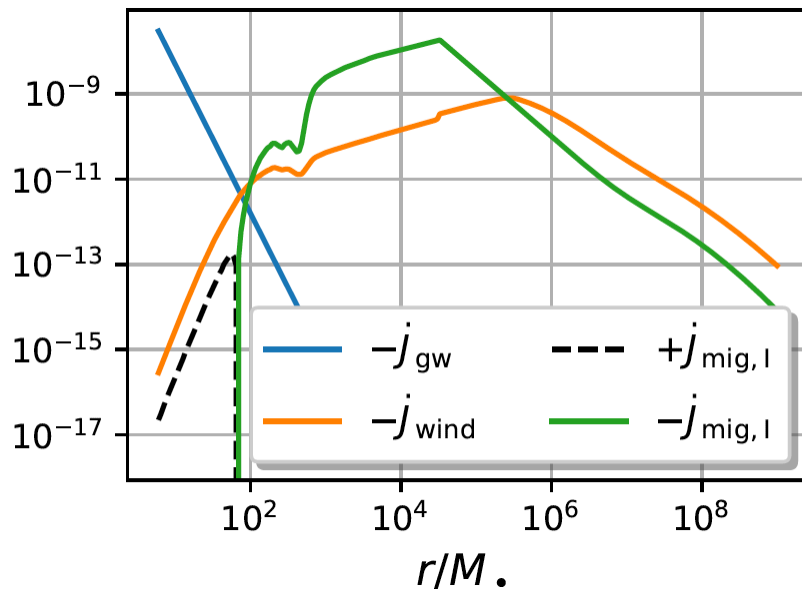
- Gravitationally capture of disk material (Bondi accretion). Material infall & circularize and form circum-single disk, eventually join the outflow or accrete onto the sBH.



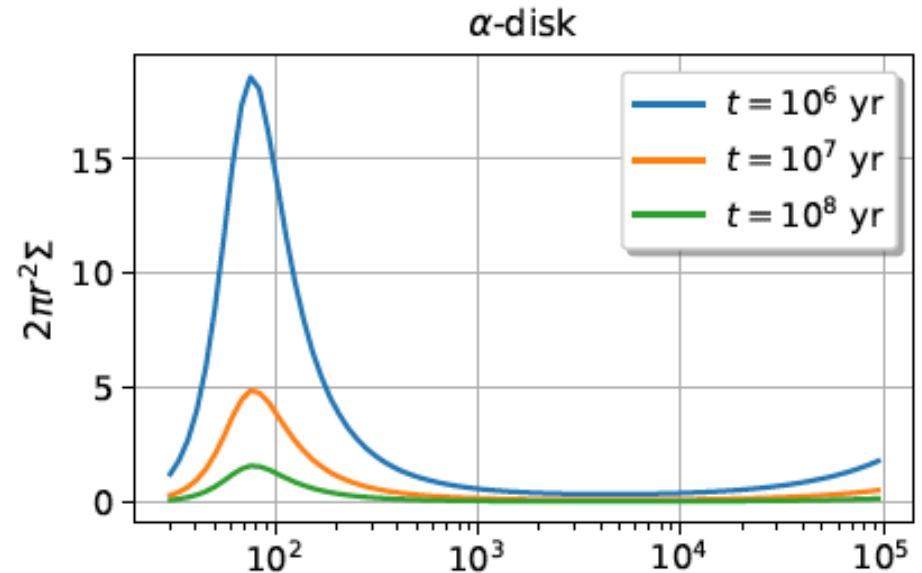
Courtesy of 李亚平

# Disk forces and stalling of sBHs

- The migration torque and the head-wind effect dominates for  $r > O(10^2) M$ , and at smaller radii the gravitational wave torque dominates.
- The migration is slow at the intersection – accumulation of sBHs at  $O(10^2) M$ !



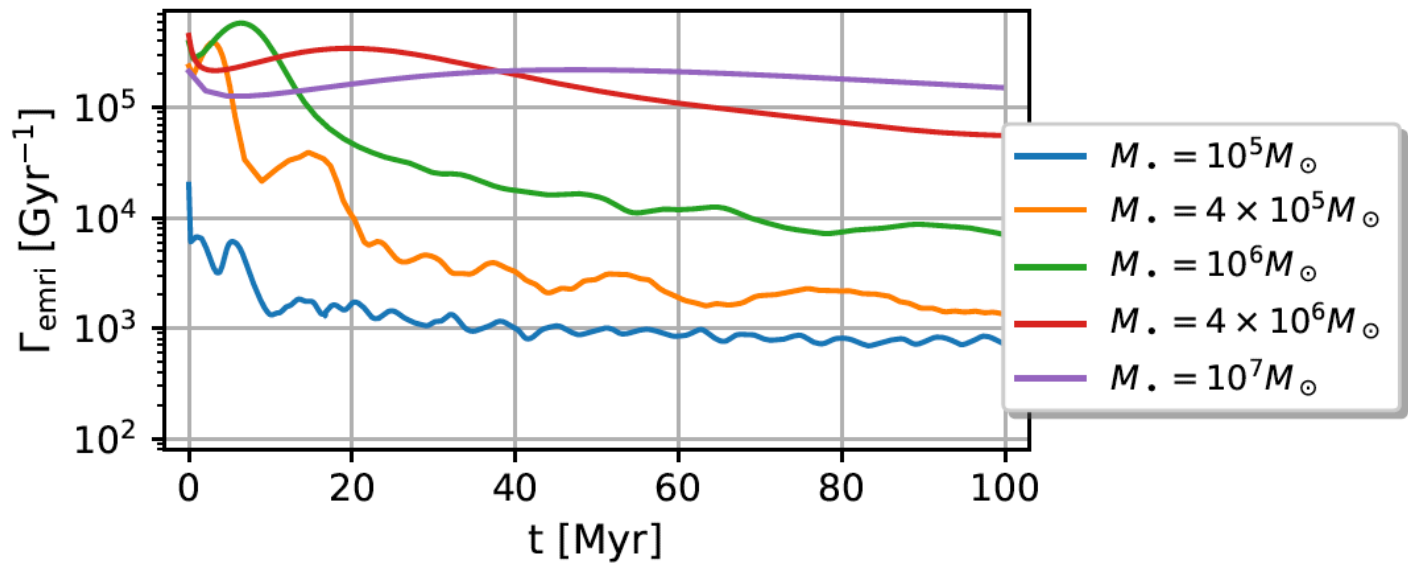
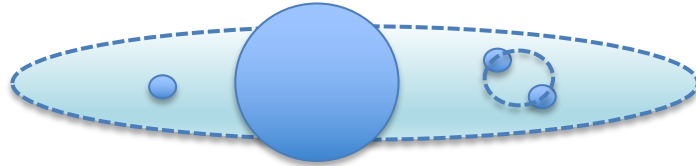
Z. Pan, HY, PRD 2021



Z. Pan, Z. Lyu, HY, PRD 2022

$\alpha$ -disk model for 4 million solar mass black holes

# Wet Formation Rate



$$M_{\bullet} = 4 \times 10^6 M_{\odot},$$

$$\frac{\langle \Gamma_{\text{disk}} \rangle}{\langle \Gamma_{\text{loss-cone}} \rangle} = \mathcal{O}(10^2 - 10^3)$$

AGN fraction:

$$f_{\text{AGN}}(z \lesssim 1) \sim 1\%$$

$$M_{\bullet} = 1 \times 10^5 M_{\odot},$$

$$\frac{\langle \Gamma_{\text{disk}} \rangle}{\langle \Gamma_{\text{loss-cone}} \rangle} = \mathcal{O}(10^1 - 10^2)$$

$$f_{\text{AGN}}(z \gtrsim 1) \sim 1\% - 10\%$$

# Comparison between Dry/Wet Formations

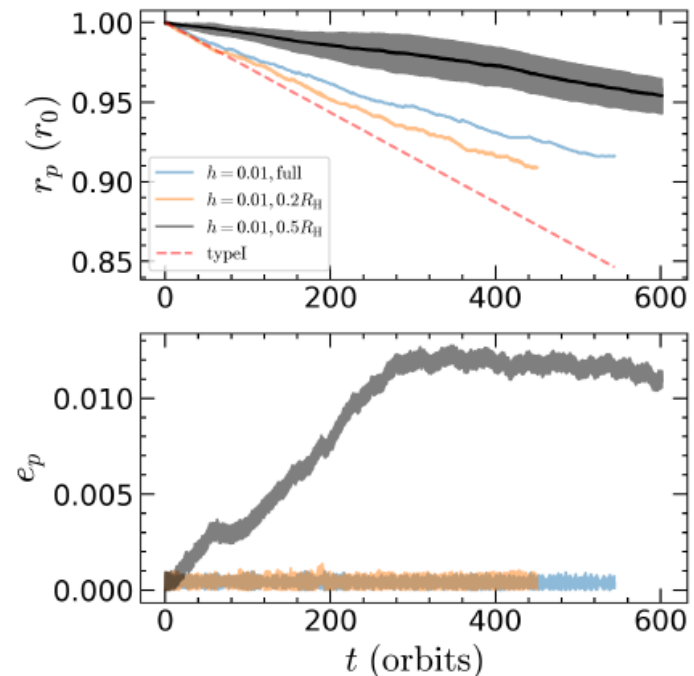
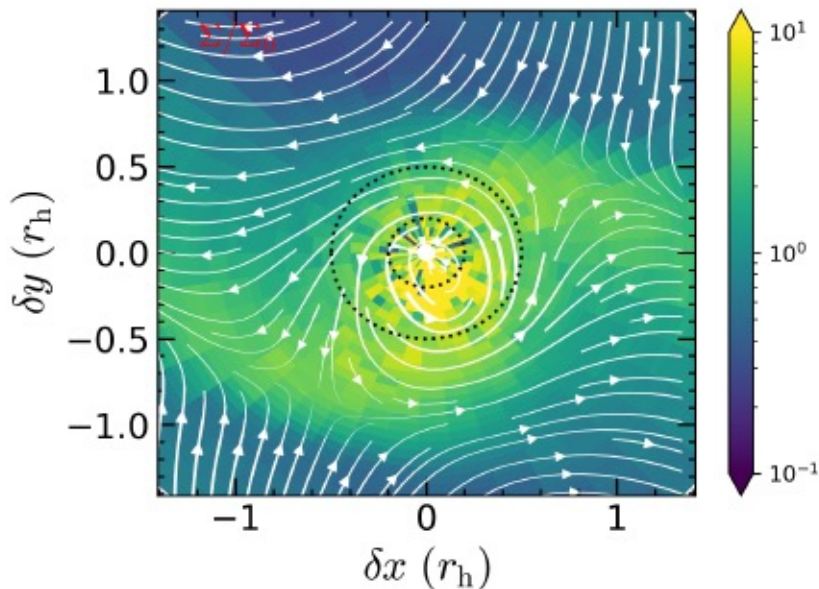
Dry EMRIs	$f_{\bullet}$	$N_p$					Total rate [ $\text{yr}^{-1}$ ]	LISA detectable rate [ $\text{yr}^{-1}$ ]
	$f_{\bullet,-0.3}$	0					3500	150
		10					1300	120
		$10^2$					150	14
	$f_{\bullet,+0.3}$	0					160	10
		10					130	10
		$10^2$					15	1
Wet EMRIs	$f_{\bullet}$	$M$	$(\gamma, \delta)$	$\mu_{\text{cap}}$	$(T_{\text{disk}} [\text{yr}], f_{\text{AGN}})$	AGN Disk	Total rate [ $\text{yr}^{-1}$ ]	LISA detectable rate [ $\text{yr}^{-1}$ ]
	$f_{\bullet,-0.3}$	$M_1$	(1.5, 0.001)	1	$(10^8, 1\%)$	$\alpha$ -disk	11000	600
		$M_2$	(1.5, 0.001)	0.1			11000	760
		$M_3$	(1.5, 0.002)	1			24000	1500
		$M_4$	(1.8, 0.001)	1			8100	240
		$M_5$	(1.5, 0.001)	1	$(10^8, 1\%)$	TQM disk	23000	1900
		$M_6$	(1.5, 0.001)	1	$(10^7, 1\%)$	$\alpha$ -disk	39000	4200
		$M_7$	(1.5, 0.001)	0.1			21000	3000
		$M_8$	(1.5, 0.002)	1			80000	9800
		$M_9$	(1.8, 0.001)	1			22000	1400
	$f_{\bullet,+0.3}$	$M_1$	(1.5, 0.001)	1	$(10^8, 1\%)$	$\alpha$ -disk	2100	49
		$M_2$	(1.5, 0.001)	0.1			2000	57
		$M_3$	(1.5, 0.002)	1			4300	100
		$M_4$	(1.8, 0.001)	1			1900	18

- Wet EMRIs may be at least as frequent as dry EMRIs.
- Wet EMRIs have  $e \sim 0$ , many dry EMRIs have  $e \leq 0.3$  in the detection band
- LISA detection sensitivity on  $e \sim \mathcal{O}(10^{-5})$



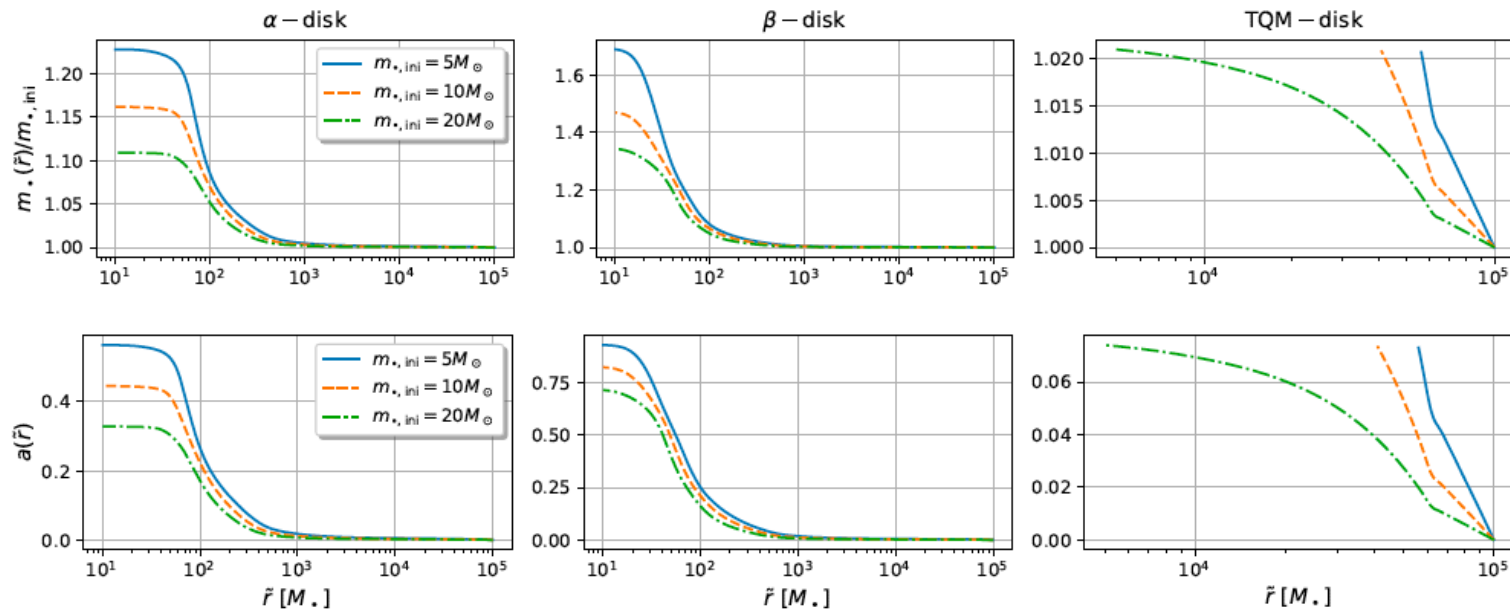
# Indirect probe using eccentricity

- Dry EMRIs tend to have  $O(0.1)$  eccentricity in band.
- Wet EMRIs should have negligible eccentricity, but how small should it be?
- Novel eccentricity excitation scheme (associated with density wave emission) when Hill radius is larger than the disk thickness, **while the circum-single disks try to damp out the eccentricity [Y. Li, HY, Z. Pan, in preparation].**



# Indirect probe using mass and spin

- sBHs embedded in AGNs will accrete: mass and spin change.
- Semi-analytical circum-single disk treatment: inflow-outflow model for supercritical accretion flows [Yuan et al. 2012].
- Mass and spin evolution [Pan, Yang, 2021]:

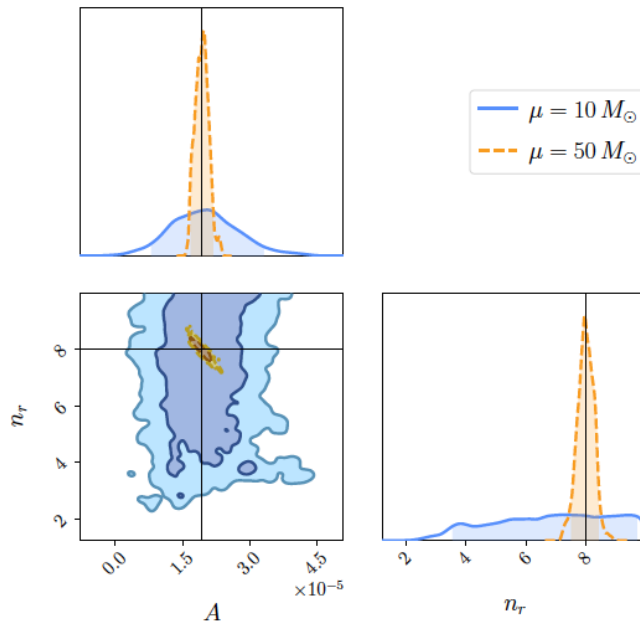


# Direct probe with GW observations

- In the LISA(Tianqin, Taiji) band, the disk migration torque is small compared with the GW torque. It also depends on the disk profile and the sBH mass.
- The GW phase due to disk interaction may be parametrized:

$$\dot{L}_{\text{mig}} = A \left( \frac{P}{10M} \right)^{n_r} \dot{L}_{PN}^{(0)} \quad A \sim 7 \times 10^{-10} \left( \frac{0.1}{\alpha} \right) \left( \frac{f_{\text{Edd}}}{0.1} \frac{0.1}{\epsilon} \right)^{-3} \left( \frac{M}{10^6 M_\odot} \right)$$

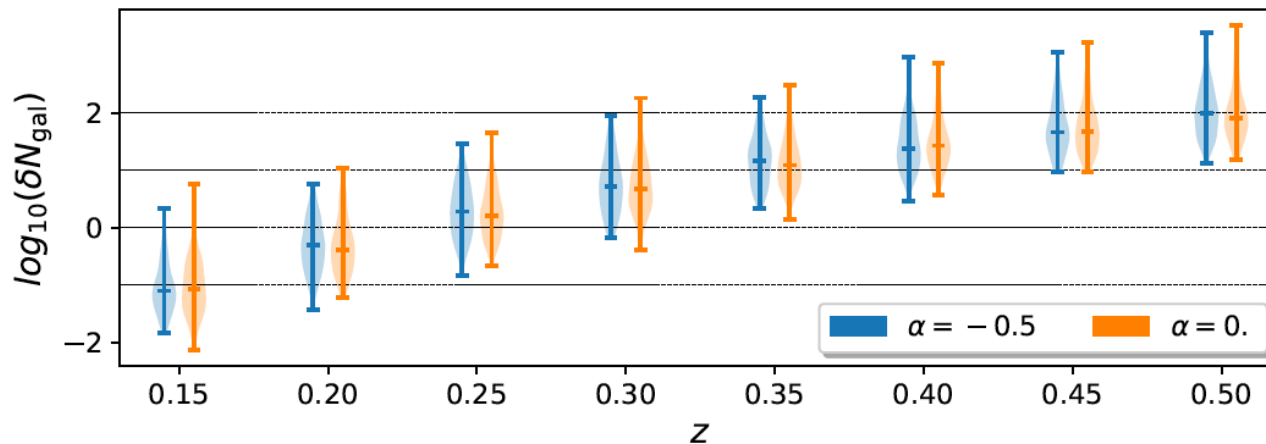
- Parameter Estimation of GW observation may be used to infer disk properties.



Khalvati et al. HY, in preparation

# Multi-messenger Opportunities

- Wet EMRIs may be observed both by GWs and EM (radio, optical, etc.) signal.
  - Localization (galaxy identification) is possible for low-redshift EMRIs ( $z < 0.5$ ):



Pan & Yang, 2020

- Order of magnitude improvement with a network (LISA/Tianqin/Taiji)
- Science opportunities: testing disk/jet model, transient EM signal, Hubble constant measurement, etc

# Conclusion

- EMRIs are likely a major component of LISA sources.
- They will be used to probe axion clouds around SMBHs, nearby stellar companion at the center of nuclear cluster.
- Wet EMRIs may form naturally in AGN disks. They may be a major formation channel for EMRIs for spaceborne detections.
- Indirect and Direct probes of wet EMRIs.

