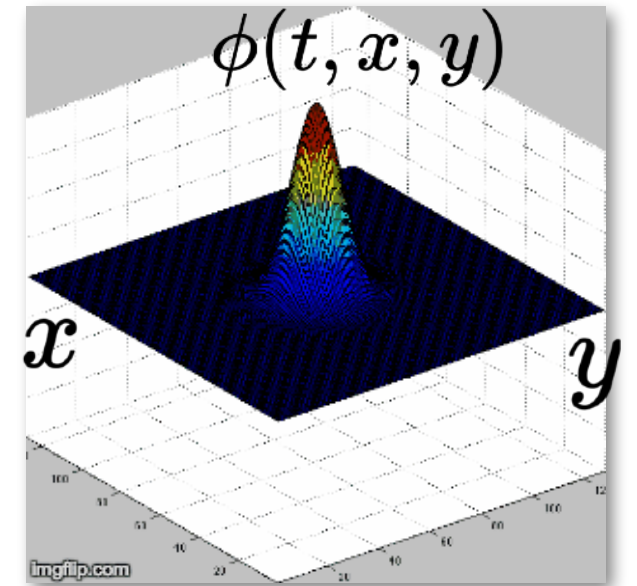




中国科学技术大学  
University of Science and Technology of China



# Q-balls and oscillons

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Shuang-Yong Zhou (周双勇), USTC

Shanghai, 19 Nov 2021, SPCS2021 on Emerging Frontiers of  
Dark Photon, Axion, Fractional Charge Particle and Monopole New Physics

# Solitons: localized objects in PDEs

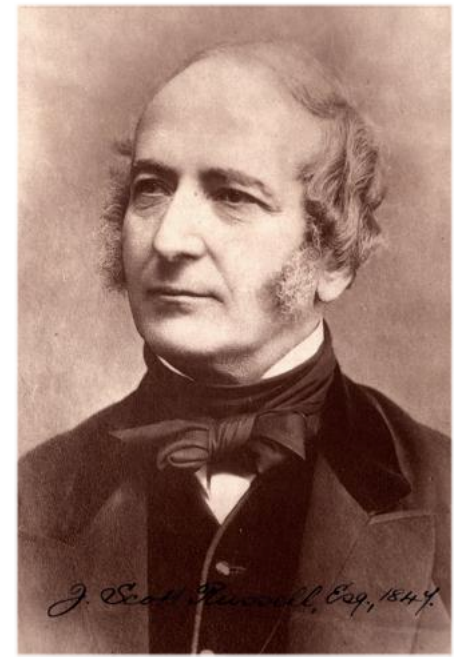
- In 1834, first described by John Scott Russell for water surface waves:

“Wave of translation” along a canal

- Later formulated by Rayleigh, Boussinesq, Korteweg and de Vries

$$\text{KdV Eq: } u_t + 6uu_x + u_{xxx} = 0$$

- Nowadays, relevant in many areas:  
field theory, mathematics, optics,  
condensed matter physics, biophysics, ...



John Scott Russell



Union Canal, 1995, pic from [hw.ac.uk](http://hw.ac.uk)

# Static solitons

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- Topological defects (topological charges, static)
  - 't Hooft-Polyakov monopole, cosmic strings, domain walls, p-branes,...

## Derrick's theorem

For a set of canonical scalar fields  $\mathcal{L} = \frac{1}{2}\partial_\mu\phi \cdot \partial^\mu\phi - U(\phi)$ , there is no stable, static soliton in  $D > 1 + 1$  dimensions.

violated by non-canonical kinetic terms such as skirmions or galileons

[Skyrme, 1961](#)

[Padilla, Saffin & SYZ, 1008.0745](#)

# Stationary solitons

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now, scalars are ok for  $D > 2$

- Non-topological solitons (Noether charges, stationary)
  - Q-balls Nontopological solitons, T.D. Lee & Y. Pang,  
Phys.Rept. 221 (1992) 251-350
  - boson stars (including gravity)
- Quasi-solitons (unstable but long-lived, stationary)
  - oscillons
  - oscillatons (including gravity)

# Field theory with a global symmetry

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- Consider a field multiplet with a global symmetry

$$\mathcal{L} = -\frac{1}{2}\partial^\mu\phi_a\partial_\mu\phi_a - V(\phi_a)$$

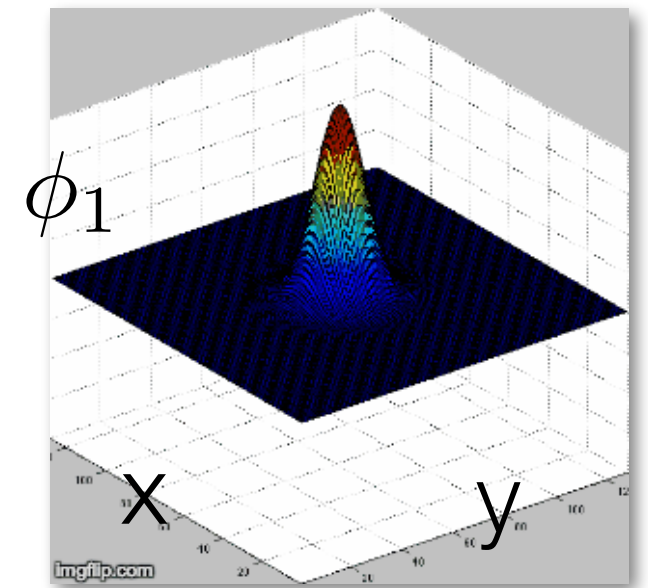
- For a given total charge  $Q$ , one typically expects
  - particles (charges) dissipate to infinity
  - the  $\phi_a = \text{const.}$  configuration is the minimum of the energy functional.

not always true...

# What is a Q-ball?

Friedberg, Lee & Sirlin 1976; Coleman 1985;  
earlier works by Rosen, Kaup, Ruffini, Bonazzola, ...

- A Q-ball is:
  - a stable, **localized, time-dependent** field configuration with a Noether charge.
- Simplest case: U(1) symmetric scalar field

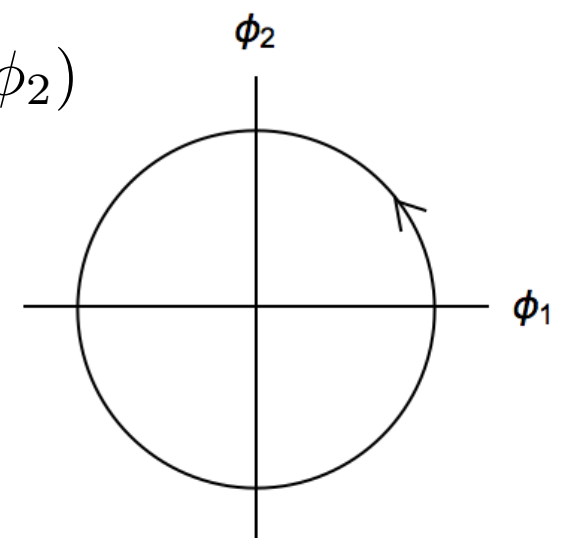


$$\mathcal{L} = -\partial_\mu \Phi \partial^\mu \bar{\Phi} - V(|\Phi|)$$

$$\Phi = \frac{1}{\sqrt{2}} (\phi_1 + i\phi_2)$$

Q-ball solution:

$$\Phi = \varphi(r) e^{i\omega t}, \quad \omega = \text{const.}$$



Q-ball configuration is minimal of energy functional

# When can Q-balls form?

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Interacting potential:  $V_{\text{nl}}(|\Phi|) = V(|\Phi|) - m^2 |\Phi|^2$

Attractive force:

$$V_{\text{nl}} < 0 \text{ for some } |\Phi|$$

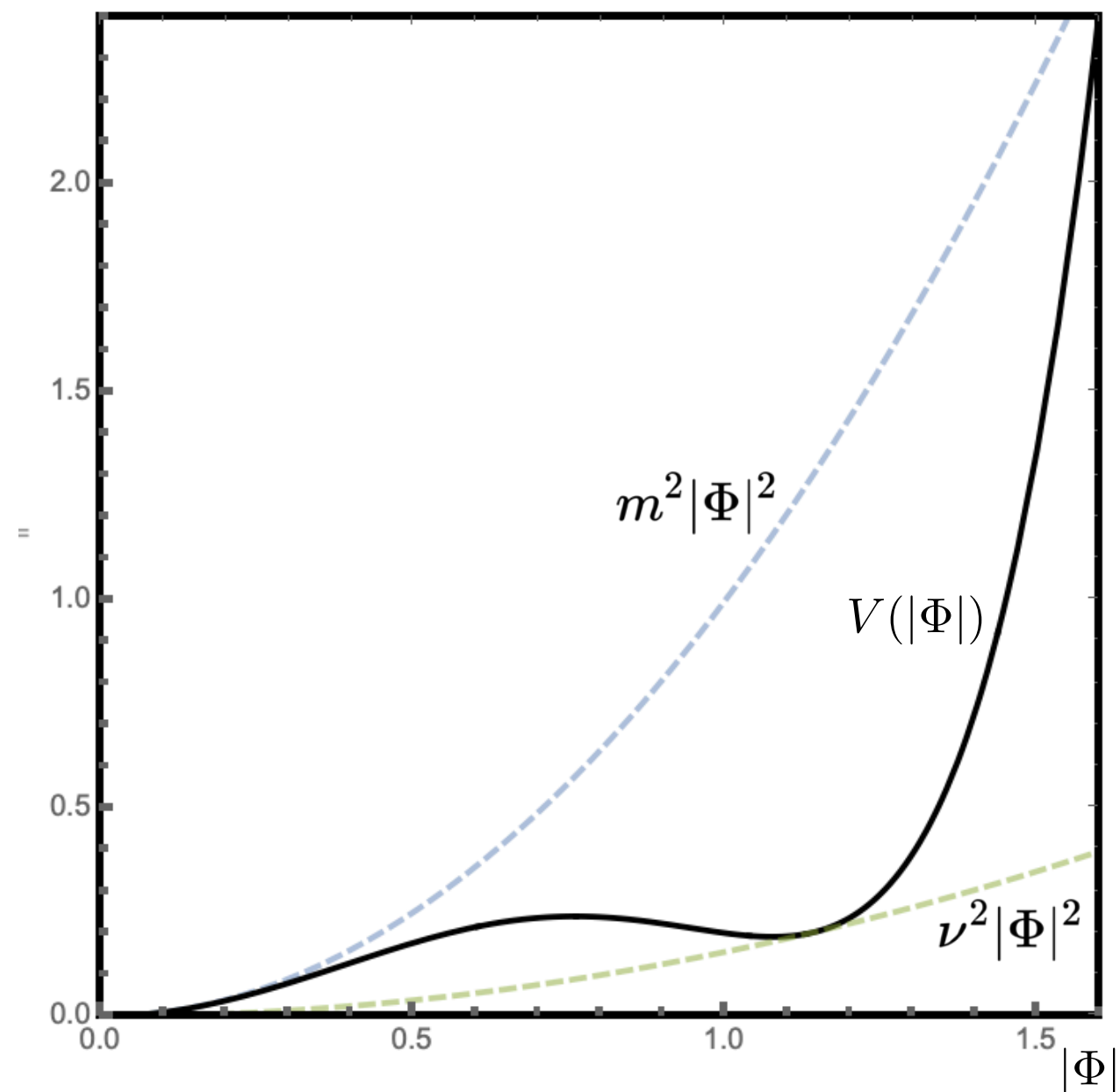
Existence condition:

$$\nu^2 \leq \omega^2 < m^2$$

Energy per particle:

$$\left. \frac{E}{Q} \right|_{\text{Q-ball}} < m$$

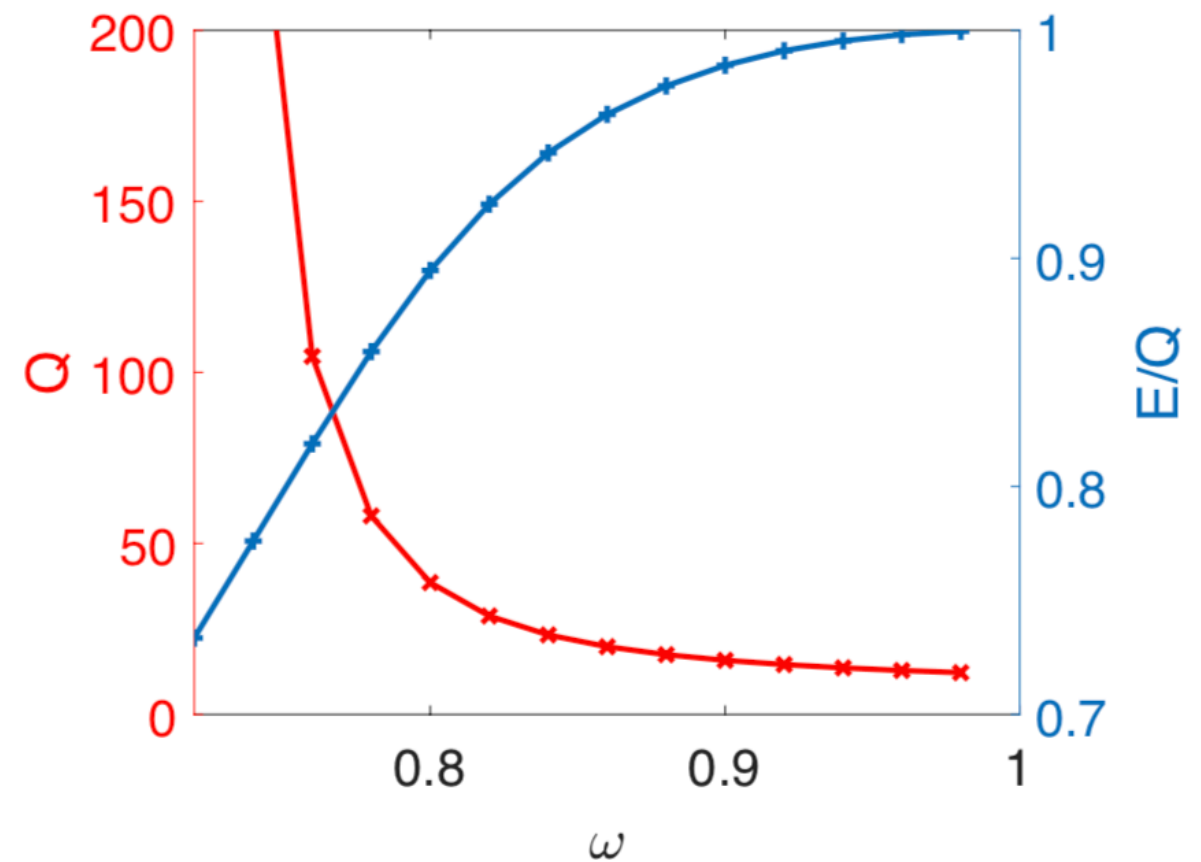
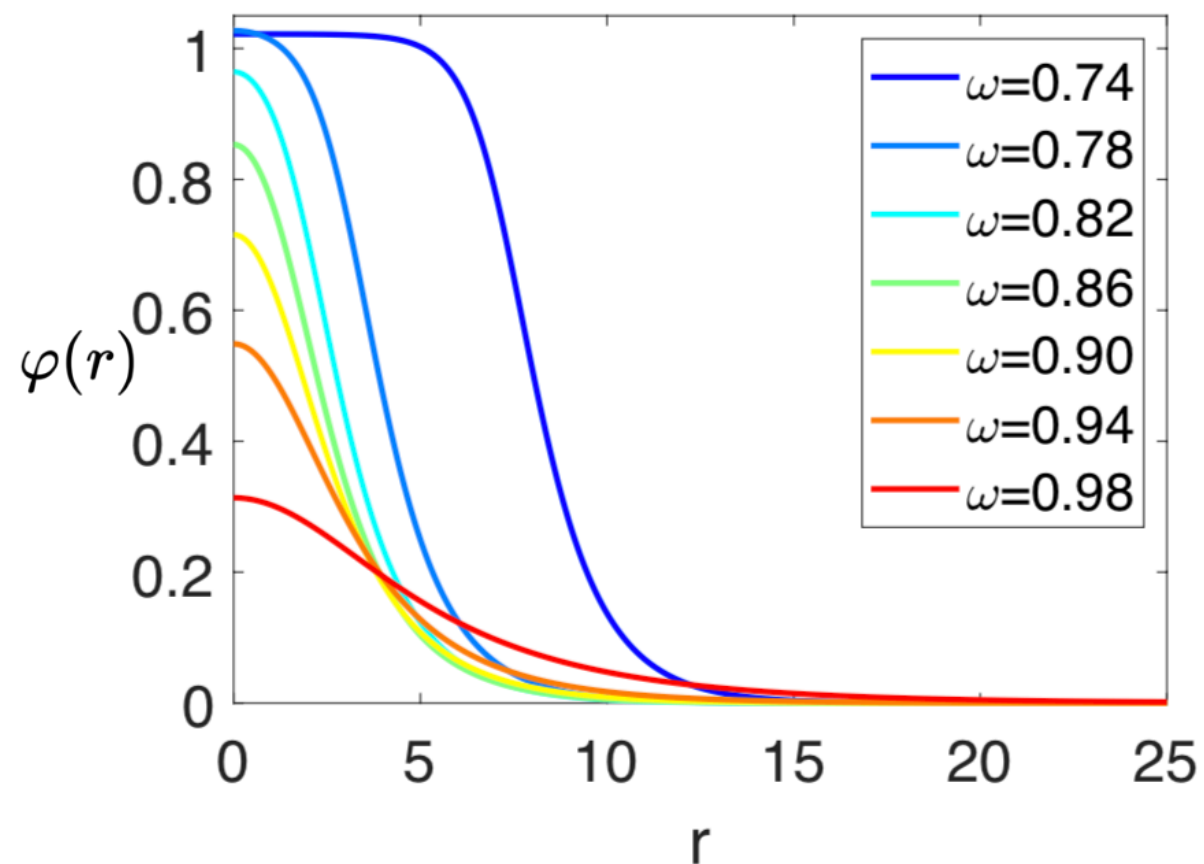
**Q-ball is the true “vacuum”!**





# Q-ball profiles

$$\Phi = \varphi(r)e^{i\omega t}, \quad \omega = \text{const.}$$



when  $\omega$  is close to  $m$ , it is close to dissipative waves  
when  $\omega$  is close to  $\nu$ , it is close to “top hat” (thin-wall Q-ball)



# What roles may Q-balls play?

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- Q-balls in cosmology
- Q-balls from Affleck-Dine condensate decay
  - Many flat directions in SUSY potentials
- Q-balls as dark matter candidates
- (Fermionic) Q-balls to model hadrons
- Friedberg-Lee model

Kusenko & Shaposhnikov 1997

Dine, Randall & Thomas 1995

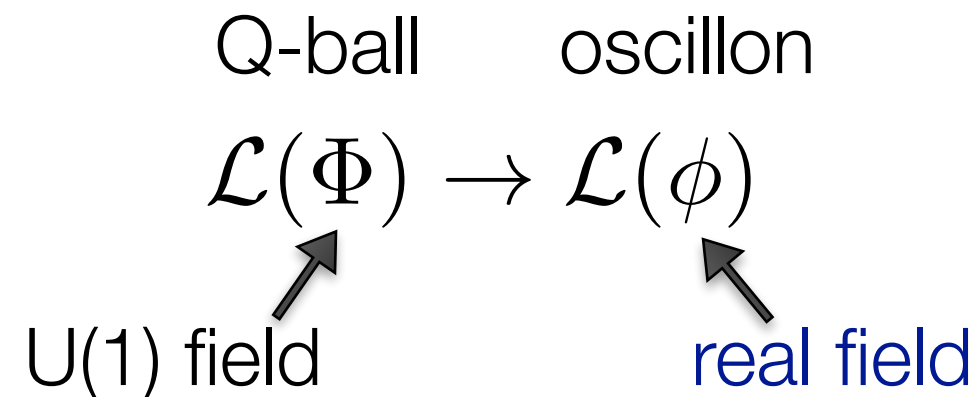
Kusenko & Shaposhnikov 1998

Friedberg & T.D. Lee 1998

# What is an oscillon?

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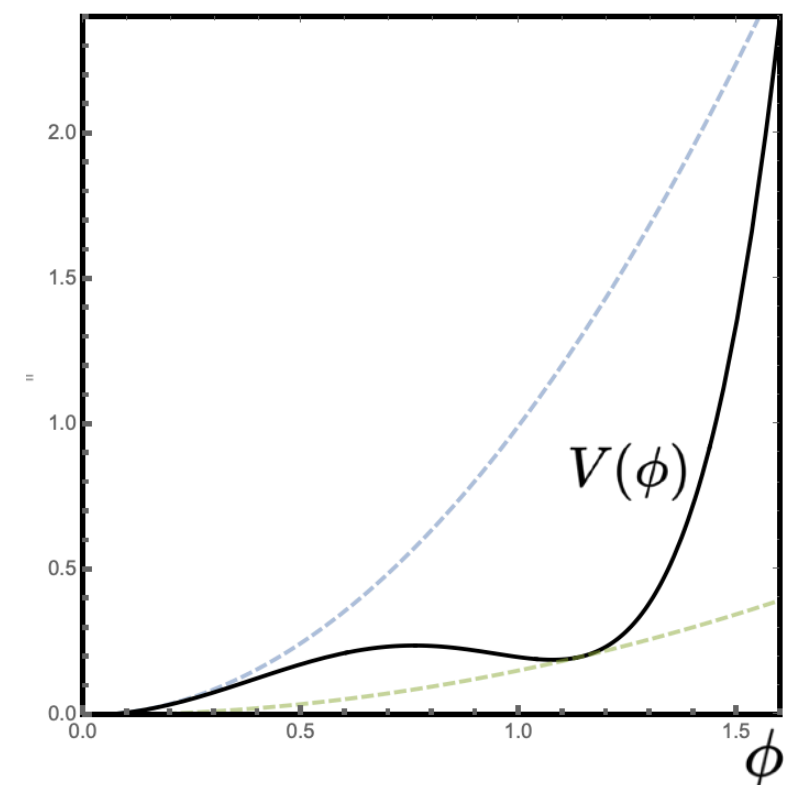
An oscillon is like a Q-ball, but without Noether charges, thus quasi-stable.



Also requires an attractive potential

$$V_{\text{nl}}(\phi) < 0 \text{ for some } \phi$$

**An oscillon is formed due to the attractive potential.**



# What roles may oscillons play in cosmology?

- Inflation potential is very flat, so oscillons may arise during reheating after inflation

Amin, Easter, Finkel, Flauger & Hertzberg, 1106.3335

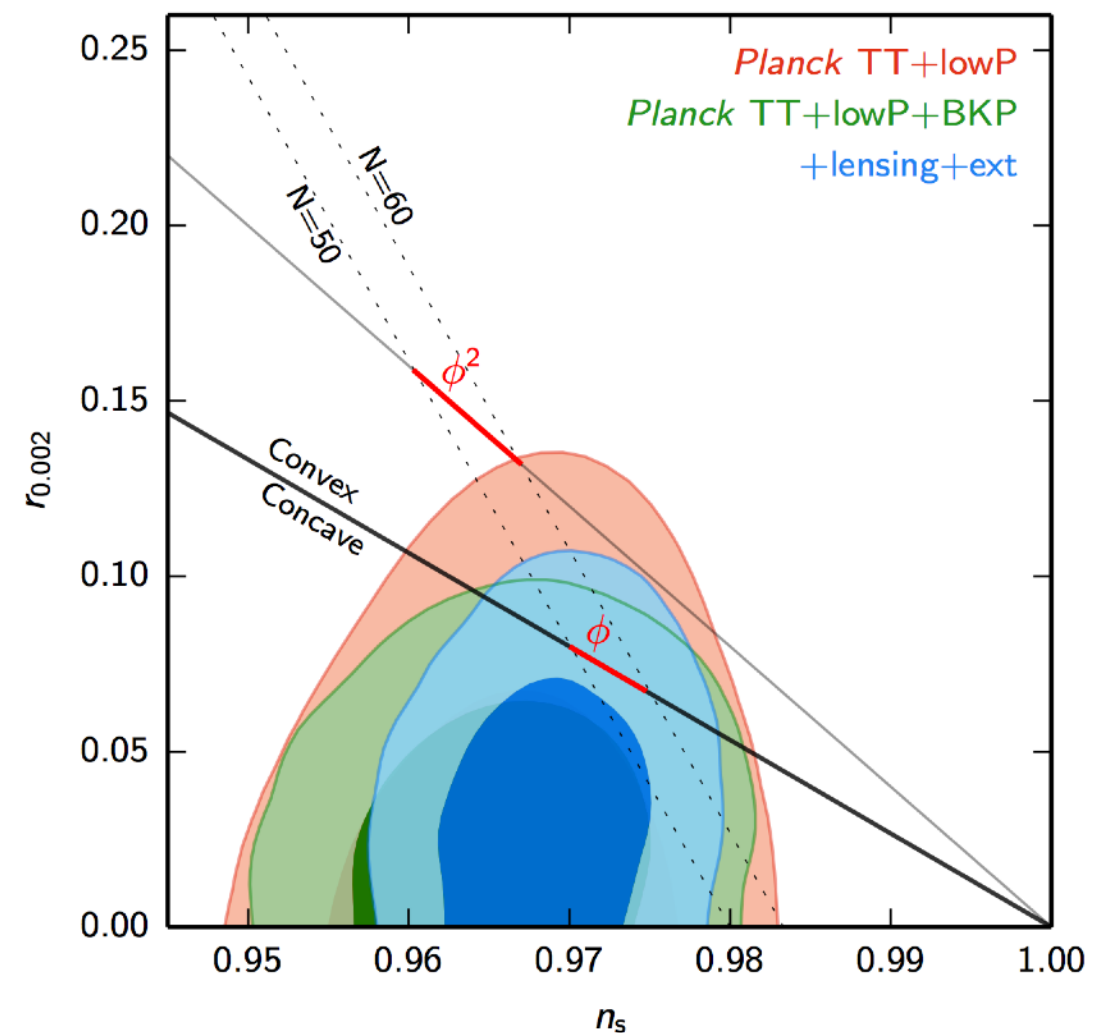
Produce gravitational waves

SYZ, Copeland, Easter, Finkel, Mou & Saffin, 1304.6094

- Oscillons may collapse to primordial black holes

Kou, Tian & SYZ, 1912.09658

- Oscillons may also arise in other preheating like processes in early universe



# Classical approximation of Q-balls and oscillons

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- Highly non-perturbative; Can not use Feynman diagrams
- But we can use classical field approximation

because when occupation numbers are high

$$(N_{\mathbf{p}} - 1)|Q\rangle = (a_{\mathbf{p}}a_{\mathbf{p}}^{\dagger} - 1)|Q\rangle = a_{\mathbf{p}}^{\dagger}a_{\mathbf{p}}|Q\rangle \simeq N_{\mathbf{p}}|Q\rangle = a_{\mathbf{p}}a_{\mathbf{p}}^{\dagger}|Q\rangle$$

for large field configurations  $[a_{\mathbf{p}}^{\dagger}, a_{\mathbf{p}}] \simeq 0$

then  $a_{\mathbf{p}}, a_{\mathbf{p}}^{\dagger}$  can be treated as stochastic c-numbers

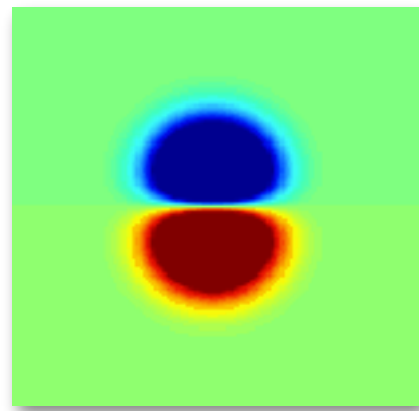
# Charge-Swapping Q-balls

# Existence of composite Q-balls

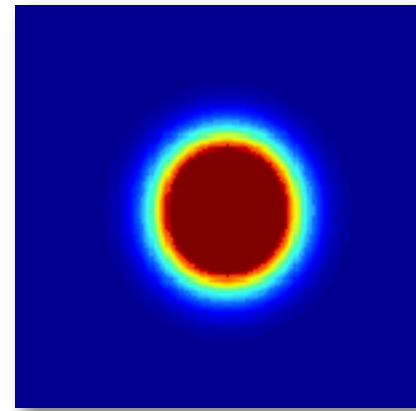
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- A Q-ball with co-existing positive and negative charges

charge density



energy density



charge swapping Q-balls (CSQs)

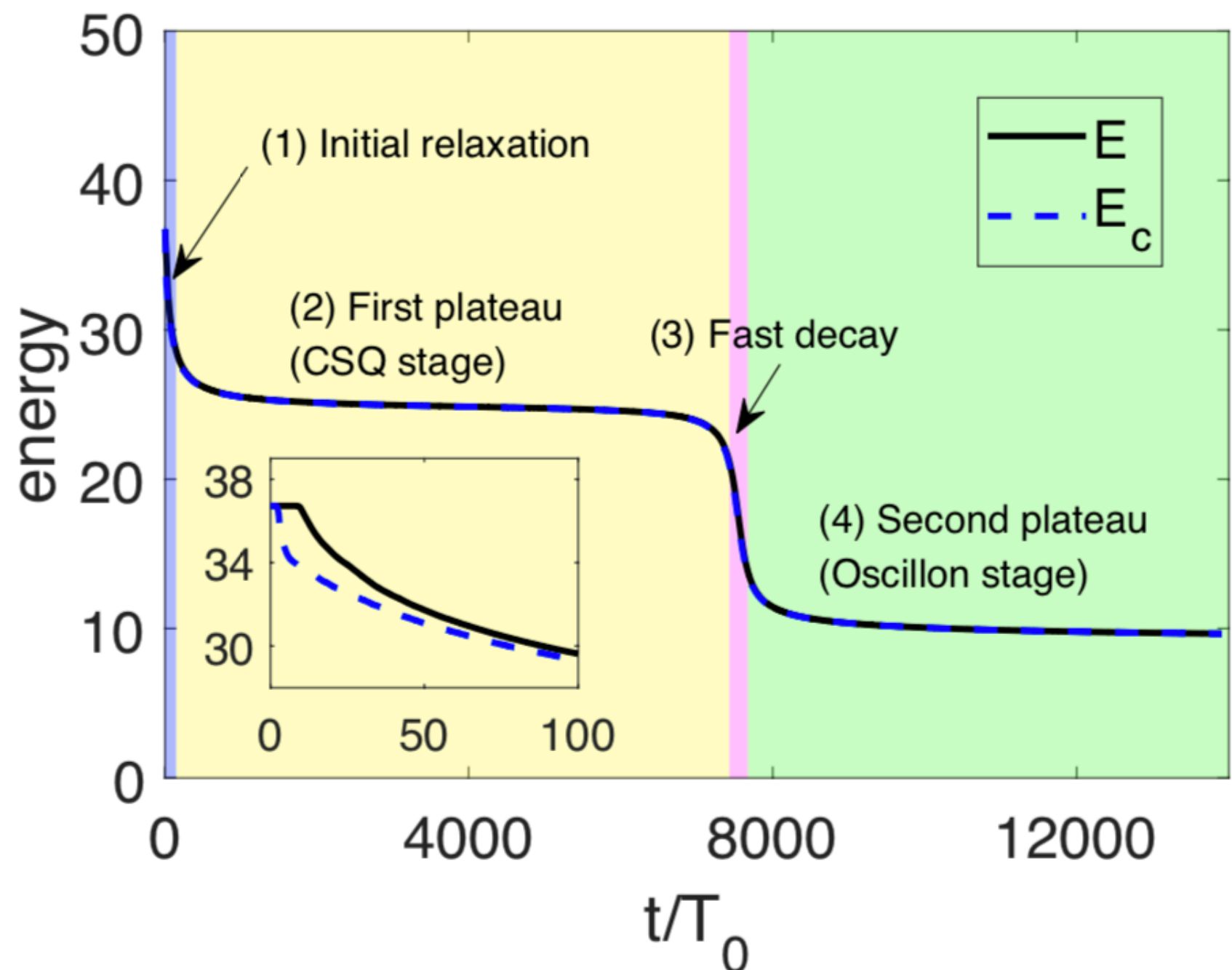
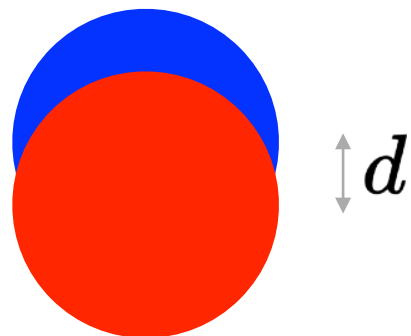
- Quasi-stable, but exist for a long time
- exist in **various potentials and spacetime dimensions**

# Evolution of a CSQ

Xie, Saffin & SYZ, 2101.06988

Take for example  $V(|\Phi|) = |\Phi|^2 - |\Phi|^4 + g|\Phi|^6$ ,  $g = \frac{1}{2}$

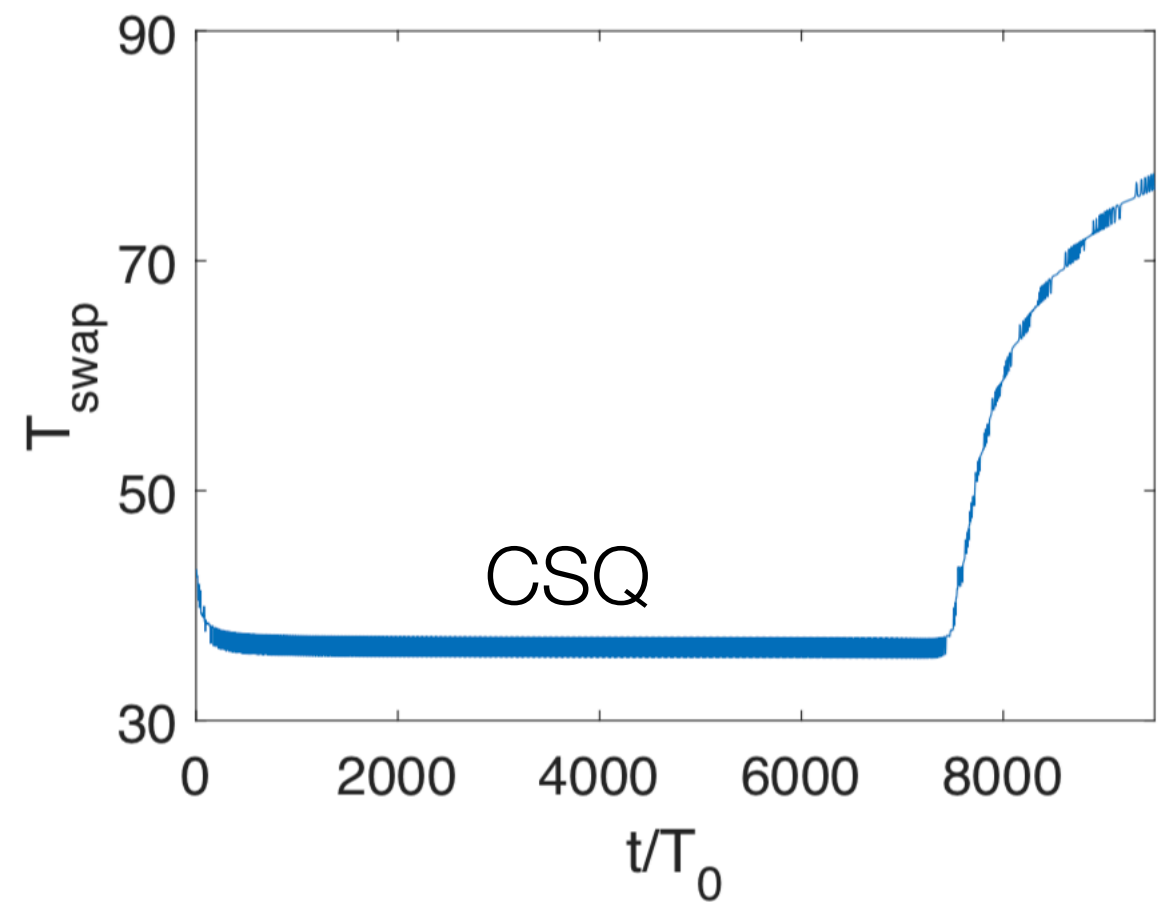
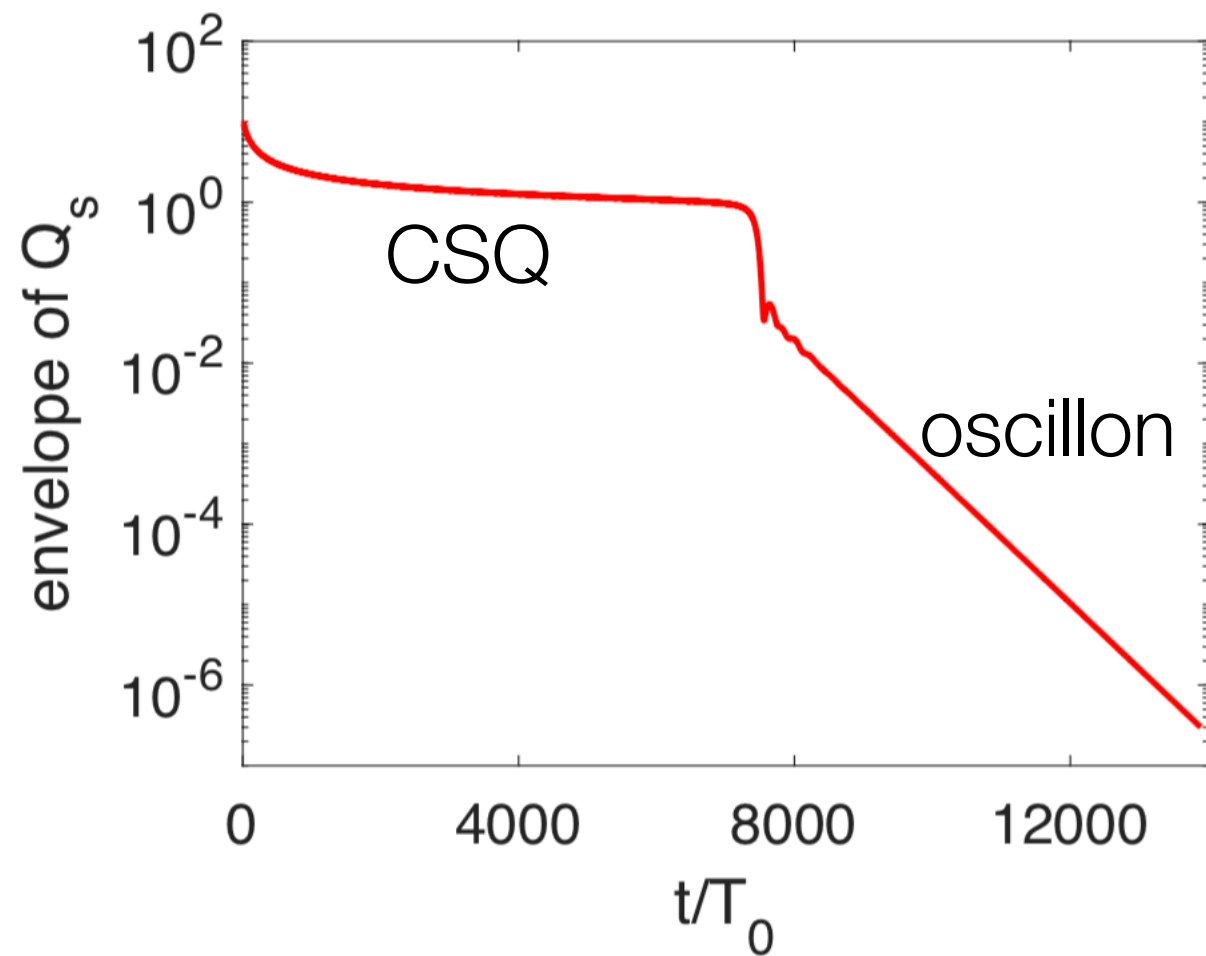
initial configuration





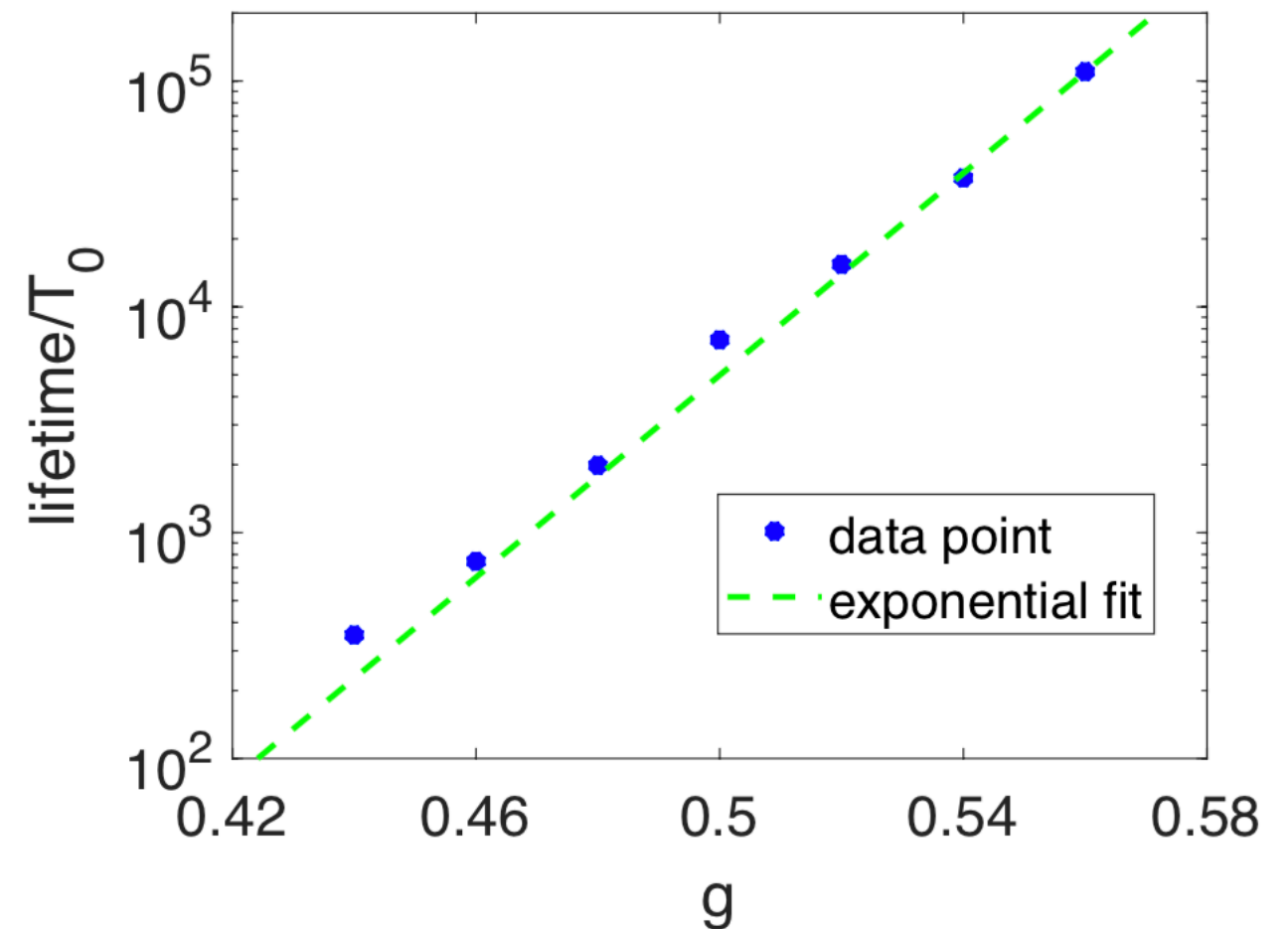
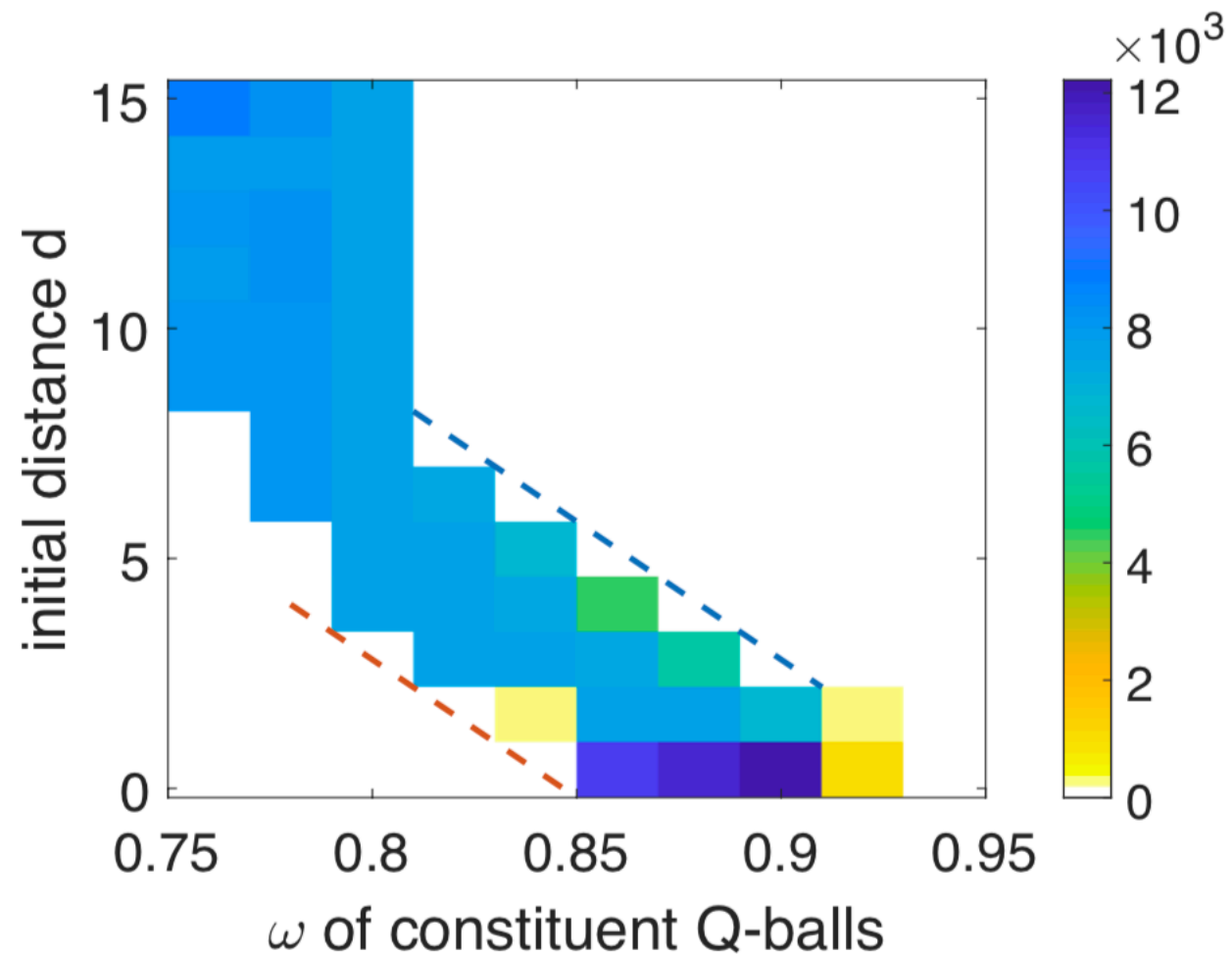
# Evolution of charges and swapping periods

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# Survey of lifetime of CSQs

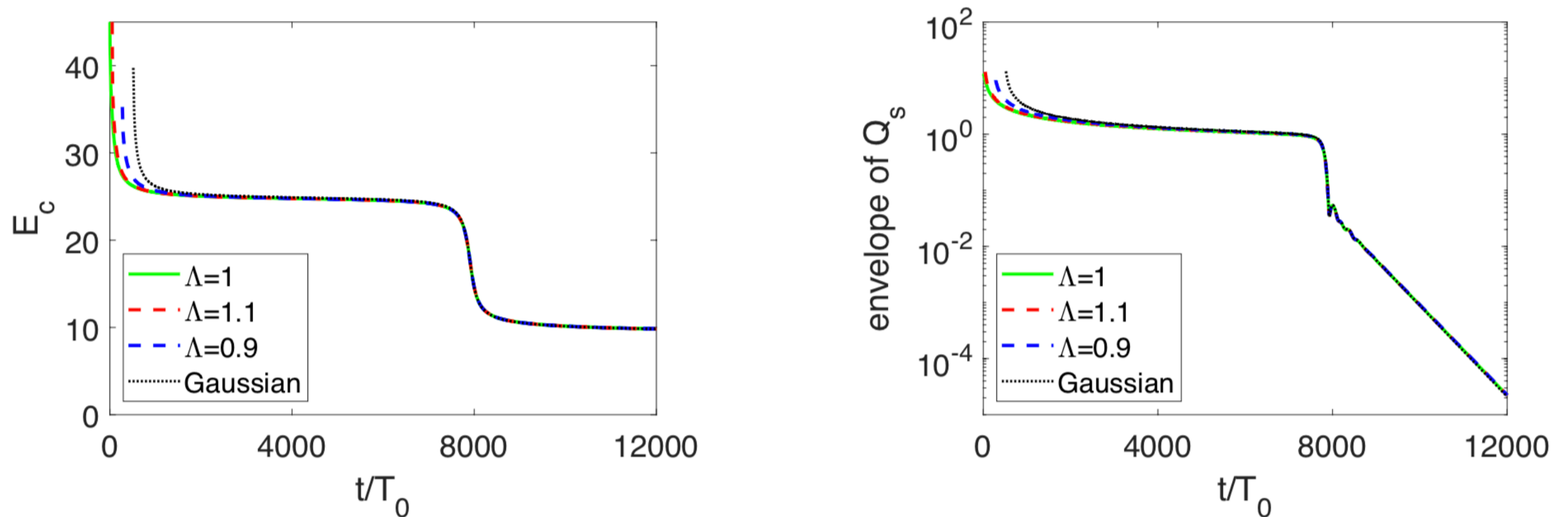
Xie, Saffin & SYZ, 2101.06988



Take for example  $V(|\Phi|) = |\Phi|^2 - |\Phi|^4 + g|\Phi|^6$

# Attractor behaviors from different initial conditions

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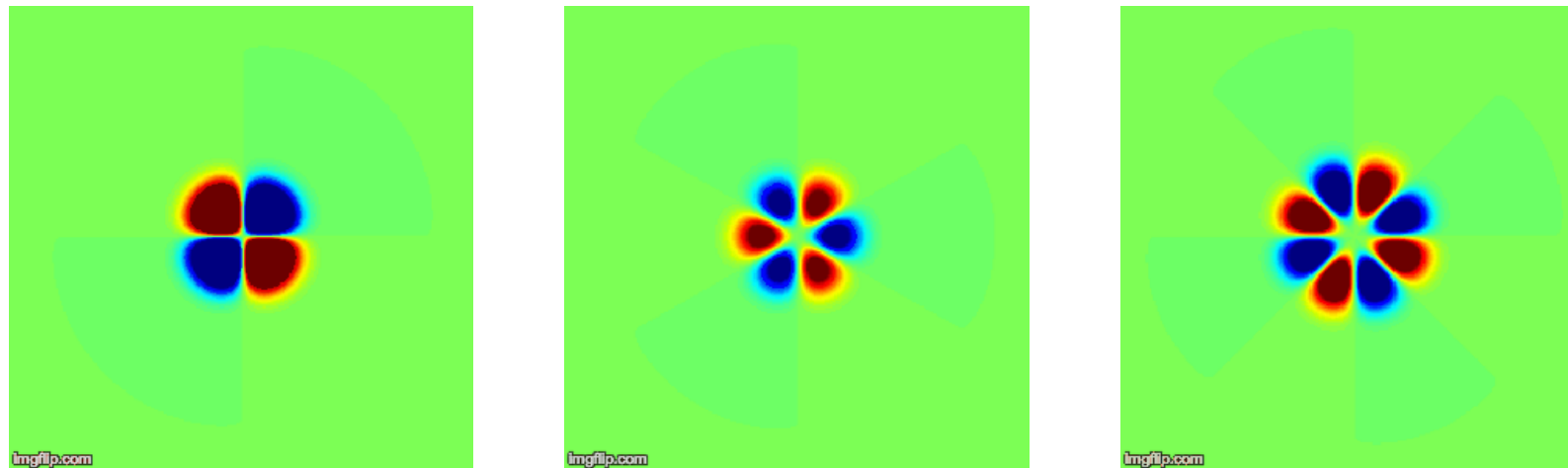


CSQs arise from fairly generic initial conditions

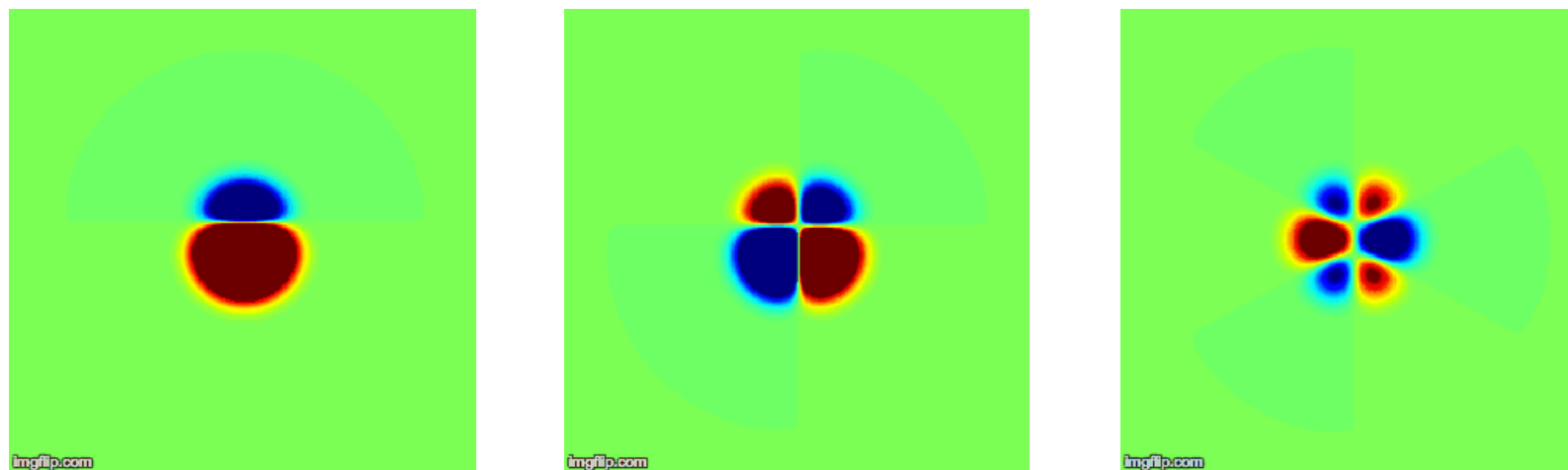
# CSQ zoology — more complicated cases

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equal charge Q-balls



un-equal charge Q-balls



There exist a tower of new composite Q-balls!

Oscillons and gravitational waves

# Preheating after inflation



Preheating: parametric resonance during reheating

like Mathieu's equation

$$\ddot{x} + (A - 2Q \cos(2t))x = 0$$

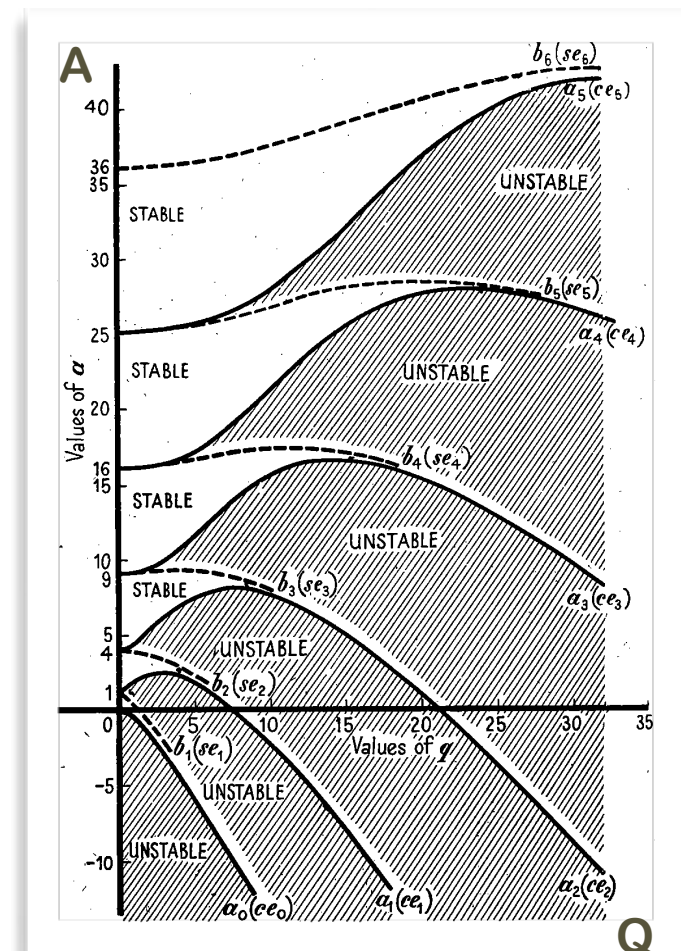
In preheating:

$\cos(2t)$  provided by homogenous inflaton  $\phi_0(t)$

$$x(t) \rightarrow \psi_{\mathbf{k}}(t)$$

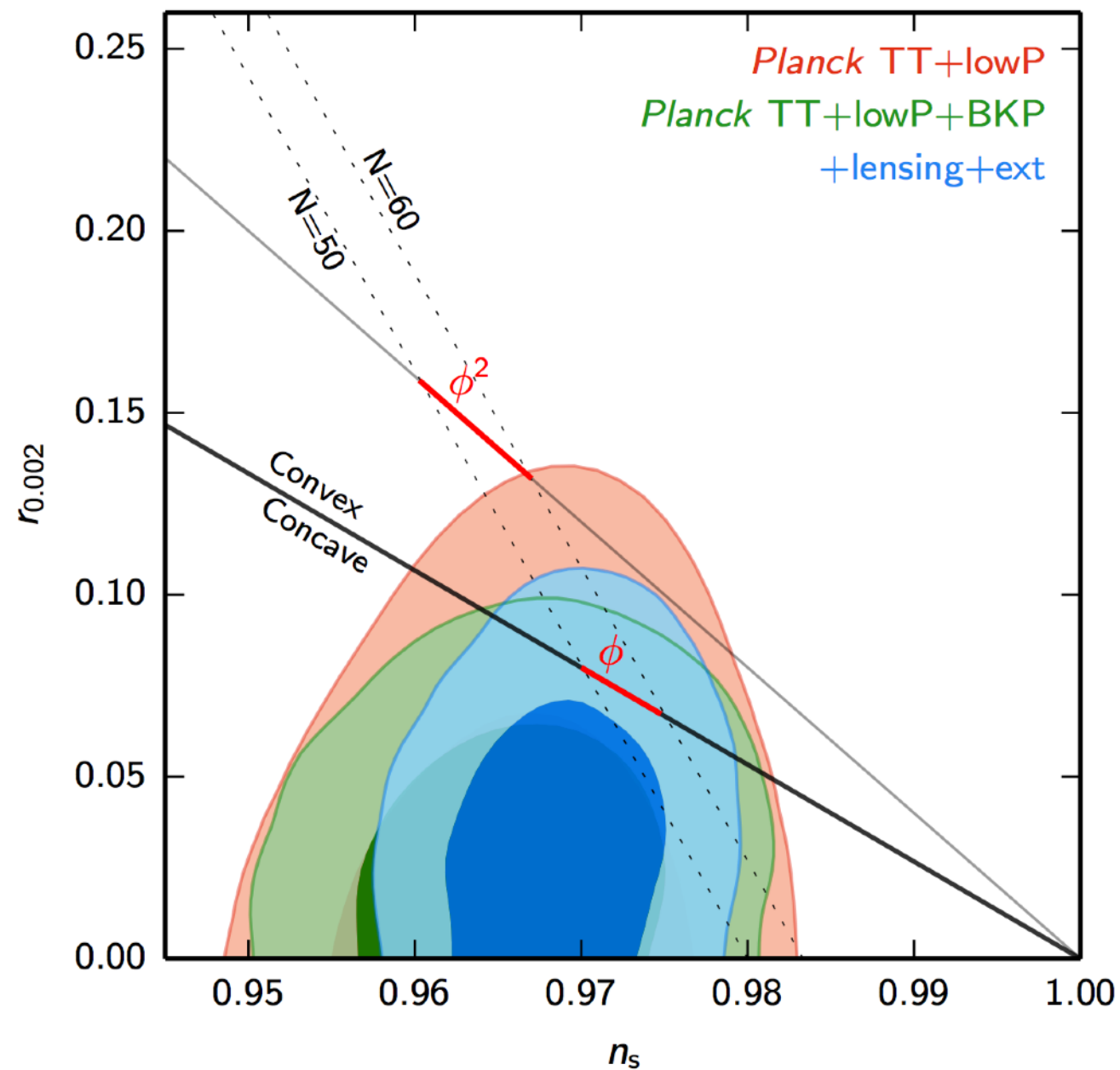
$$A \rightarrow A_{\mathbf{k}}(a(t), H(t), \dots)$$

$$Q \rightarrow Q(a(t), H(t), \dots)$$



# Planck's constraint on inflation potential

CMB data suggest that inflation potential is very flat

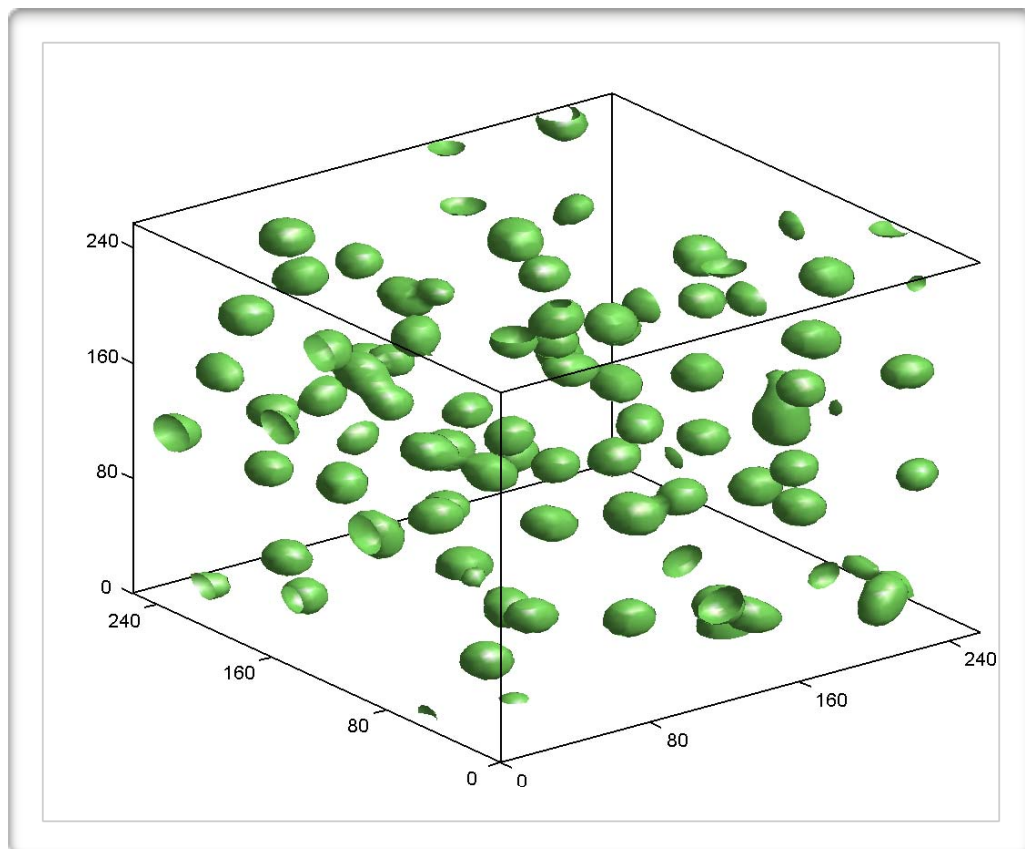




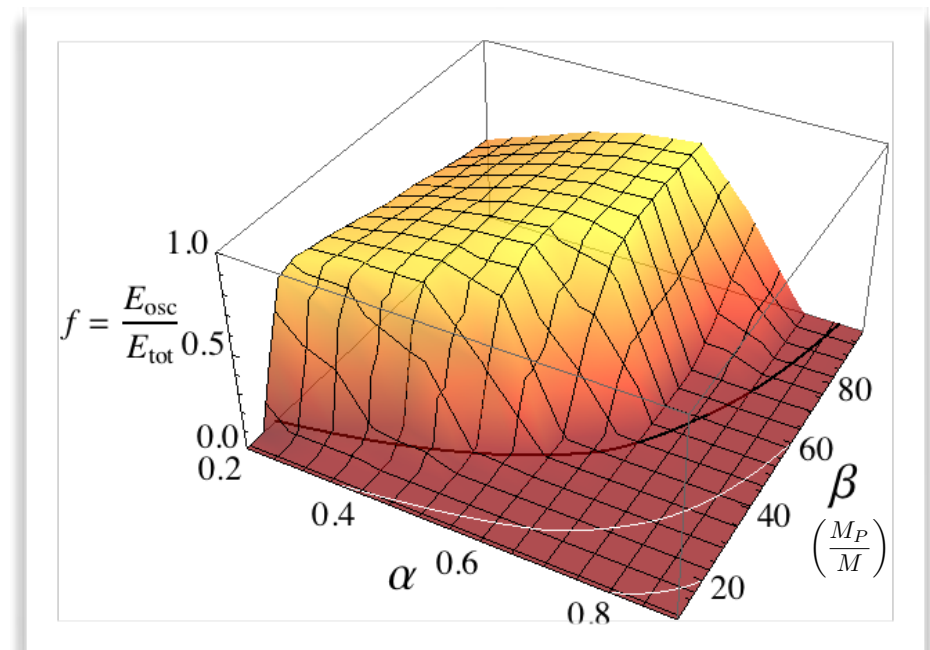
# Oscillon preheating

- Oscillons copiously formed in preheating
  - oscillon dominated period

Generalized axion monodromy inflation  
inspired by string theory



$$V(\phi) = \frac{m^2}{2\alpha\beta^2} \left[ (1 + \beta^2\phi^2)^\alpha - 1 \right]$$

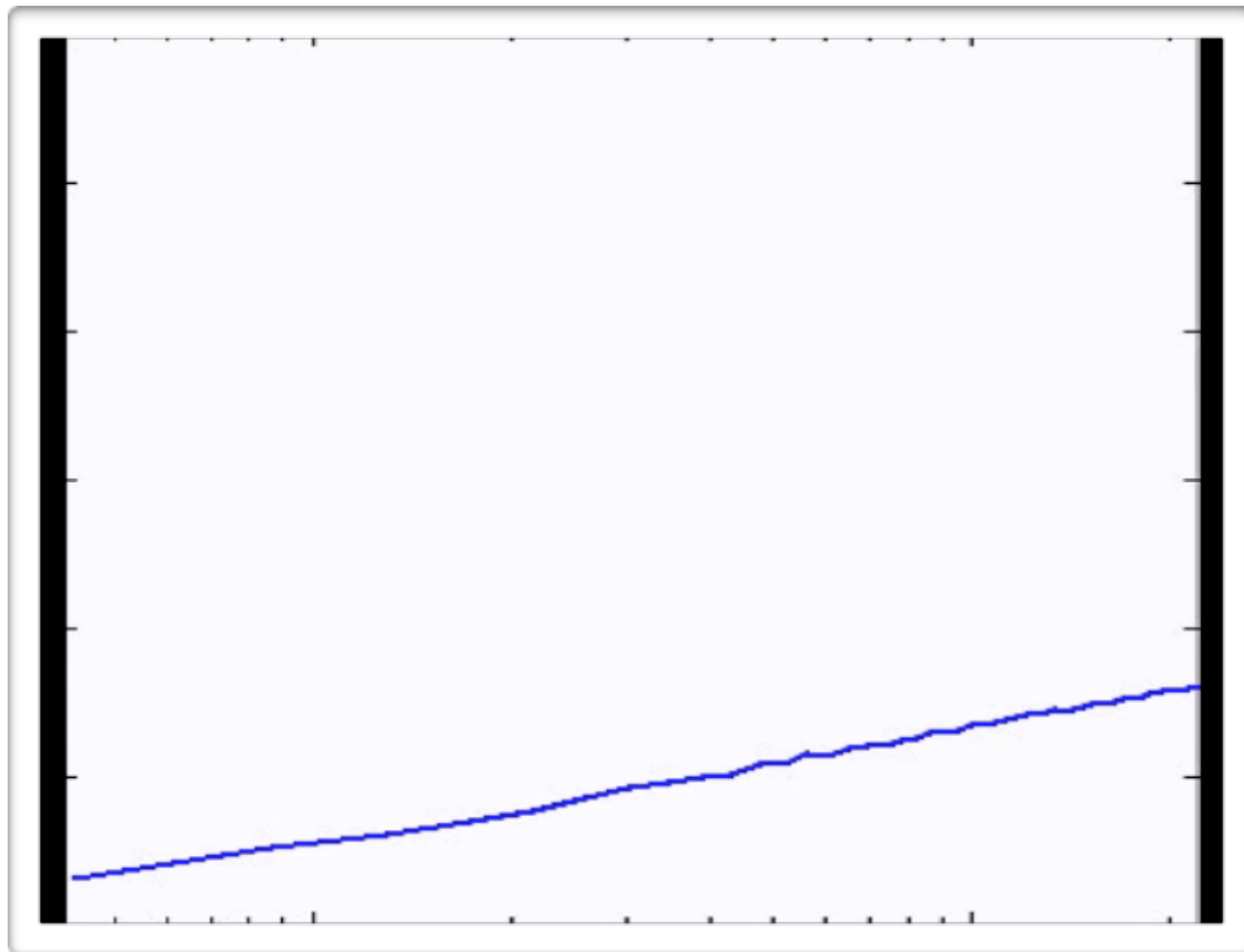


Amin, Easter, Finkel, Flaugar & Hertzberg, 1106.3335

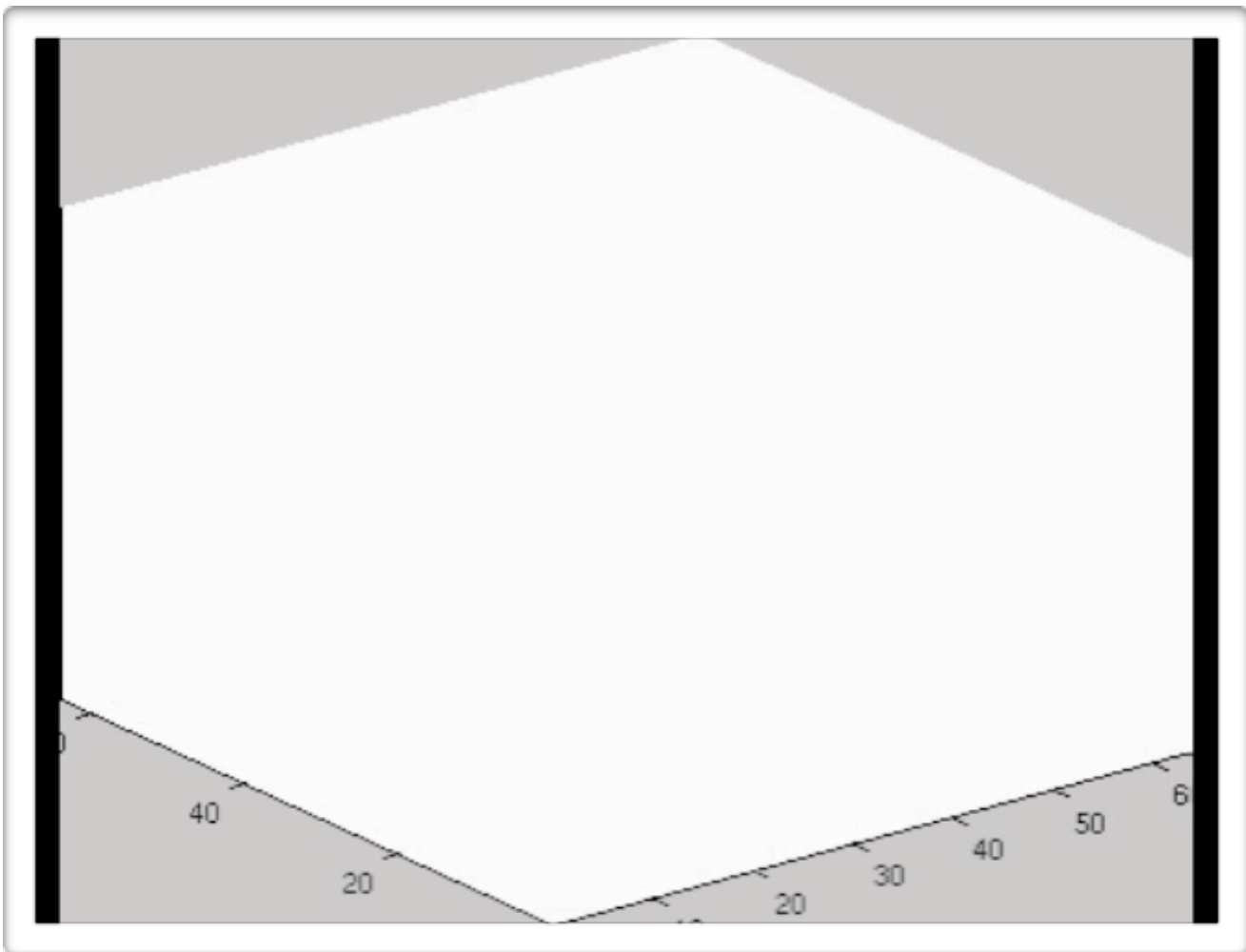
# Gravitational wave production in oscillon preheating

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GW power spectrum

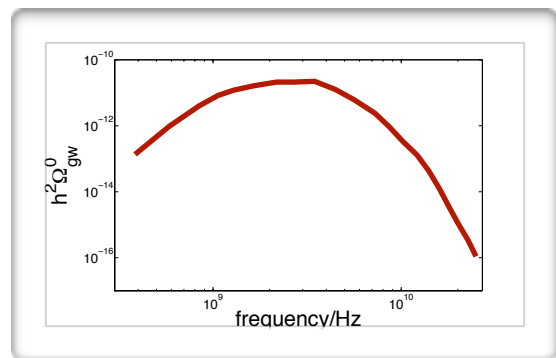


Oscillons in a 3D lattice

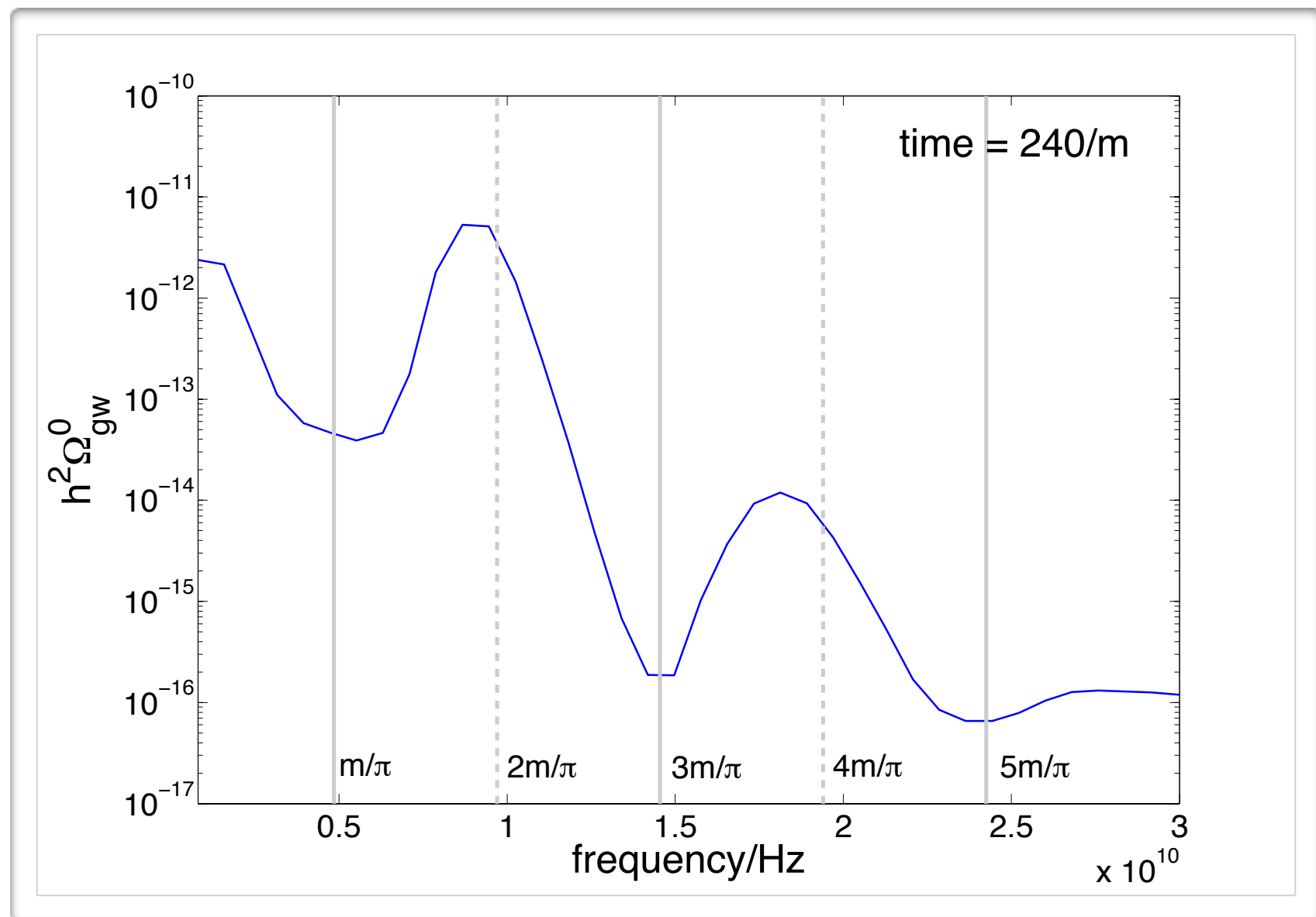


time = 80/m:240/m

# Multi-peak GW power spectrum



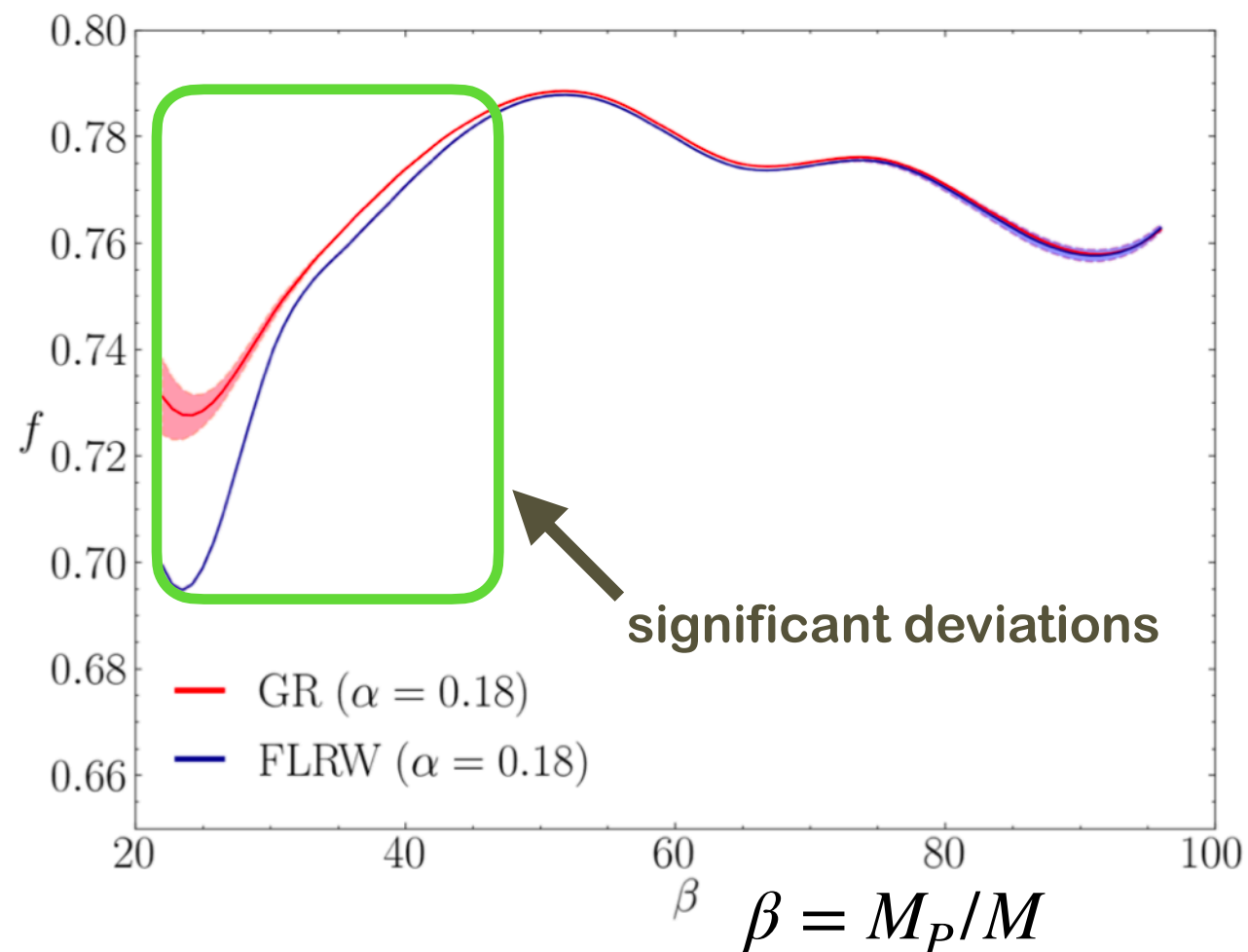
typical case



Troughs align with odd multiples of  $m/\pi$  !

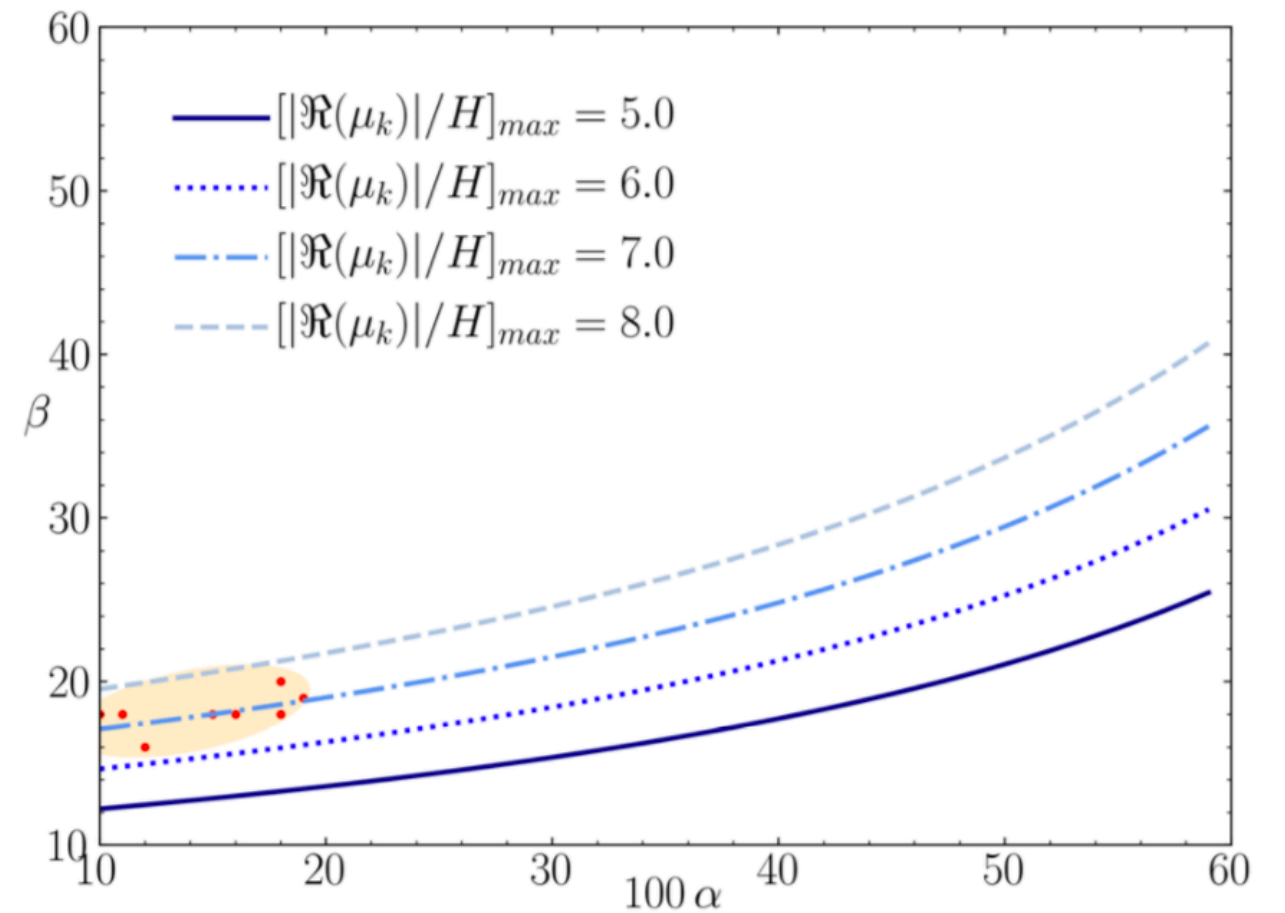
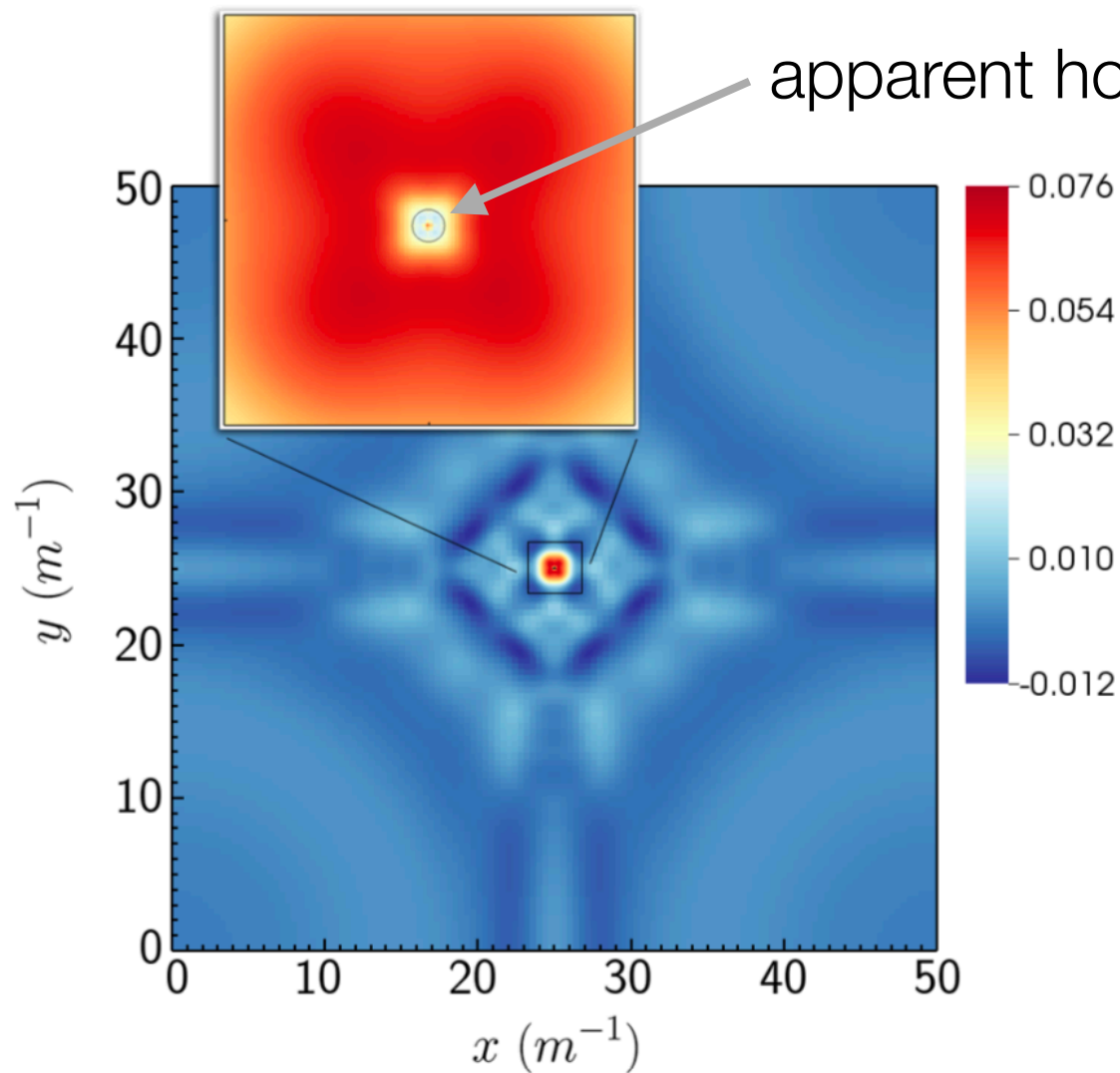
# Comparison with full GR simulations

Fixed FRW background **vs** Full GR (BSSN)



Significant deviations for higher inflation scales

# Oscillon/oscillaton collapses to black hole

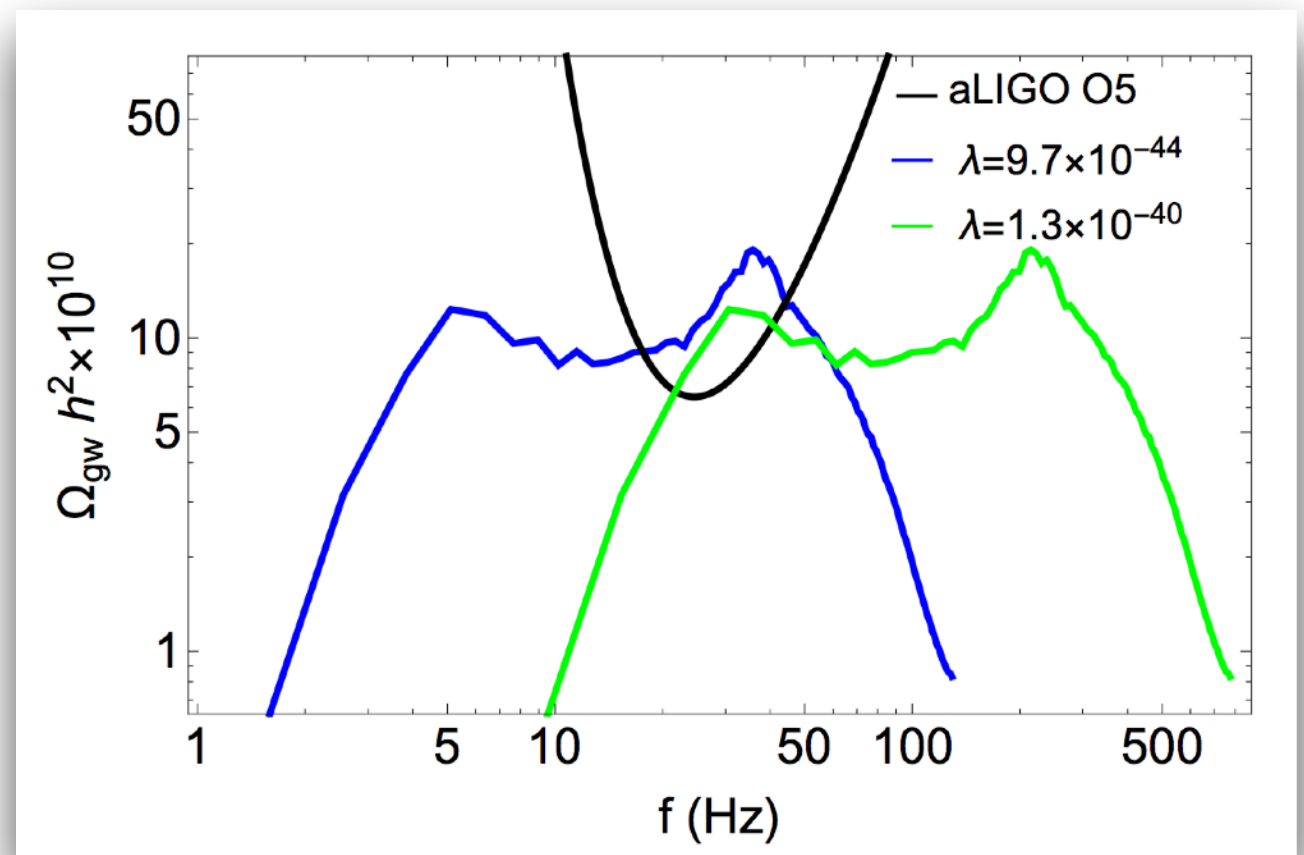
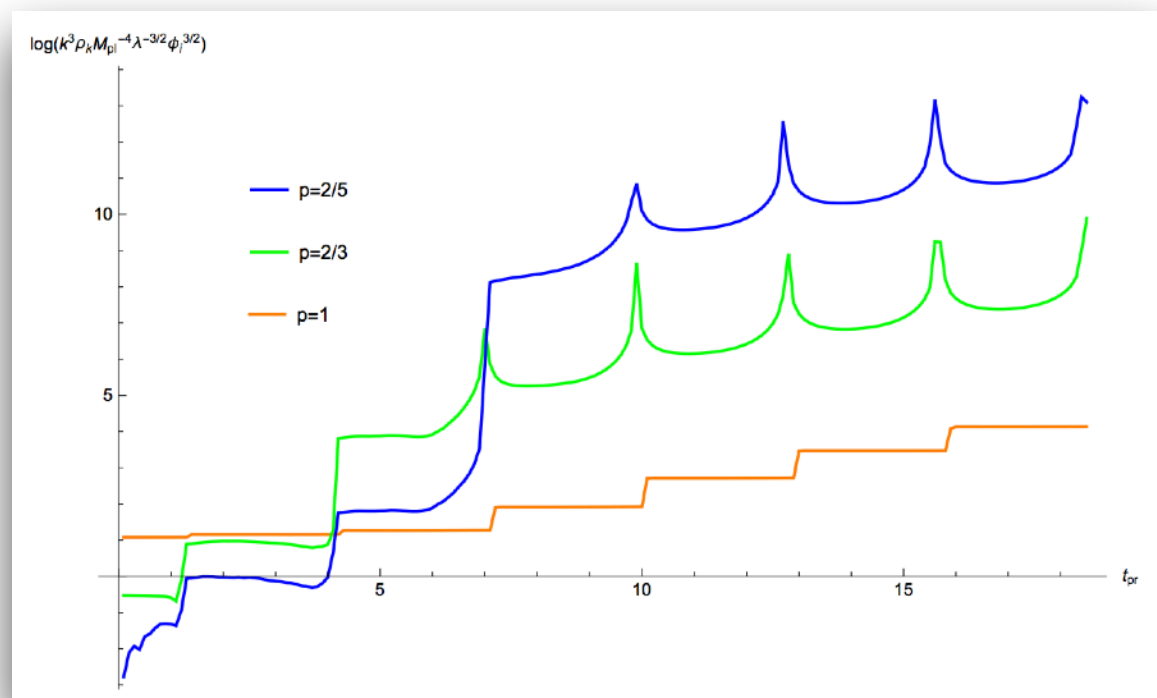


for generalized axion monodromy potential  $V(\phi) = \frac{m^2}{2\alpha\beta^2} \left[ (1 + \beta^2\phi^2)^\alpha - 1 \right]$

# Enhanced GWs from very shallow potentials

$$V(\phi) = \lambda M_{\text{pl}}^{4-p} |\phi|^p, \quad p < 1$$

Liu, Guo, Cai & Shiu, PRL, 1707.09841

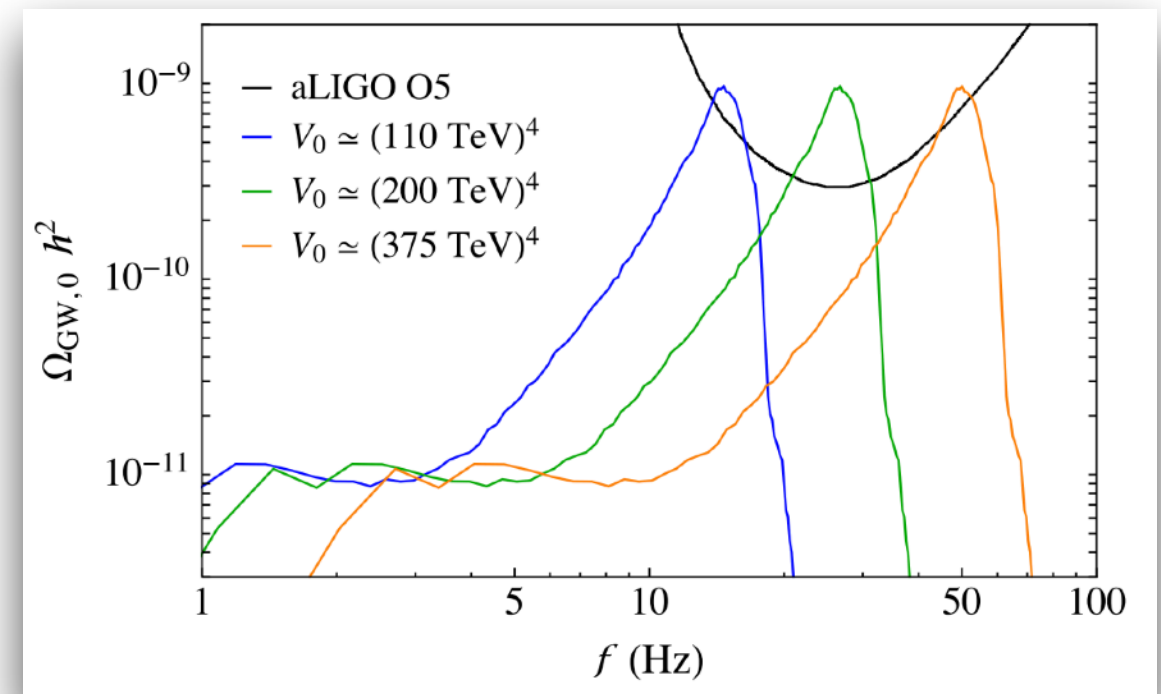
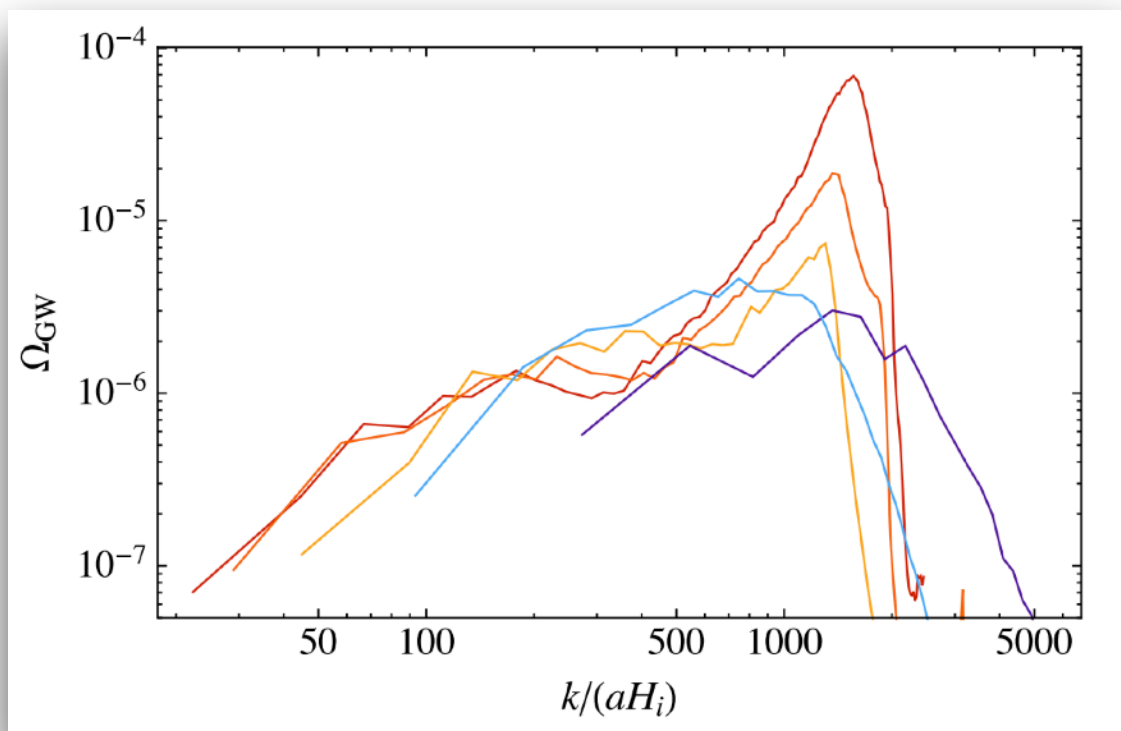


# GW enhancement from asymmetric potential?

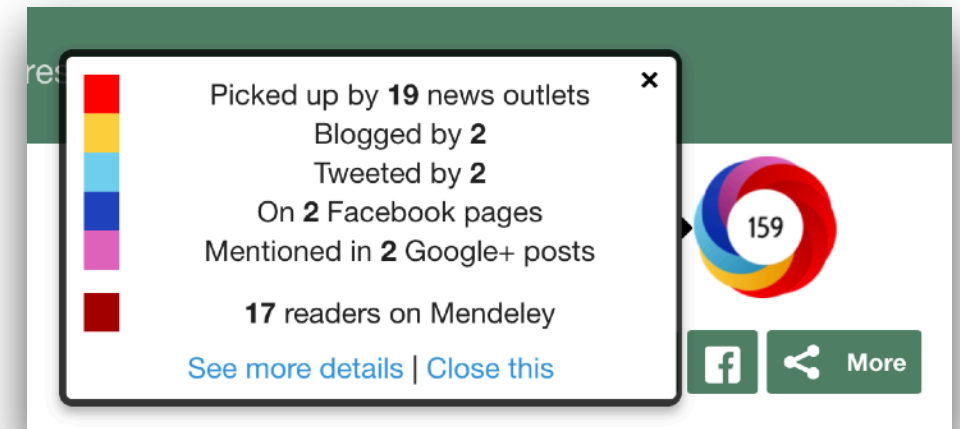
## Gravitational Waves from Oscillons after Inflation

Stefan Antusch, Francesco Cefalà, and Stefano Orani  
Phys. Rev. Lett. **118**, 011303 – Published 6 January 2017

$$V(\phi) = V_0 \left( 1 - \frac{\phi^p}{v^p} \right)^2,$$



**final scale factor  $a(t) \sim 15!$**   
no rigorous convergence study





# A better simulation

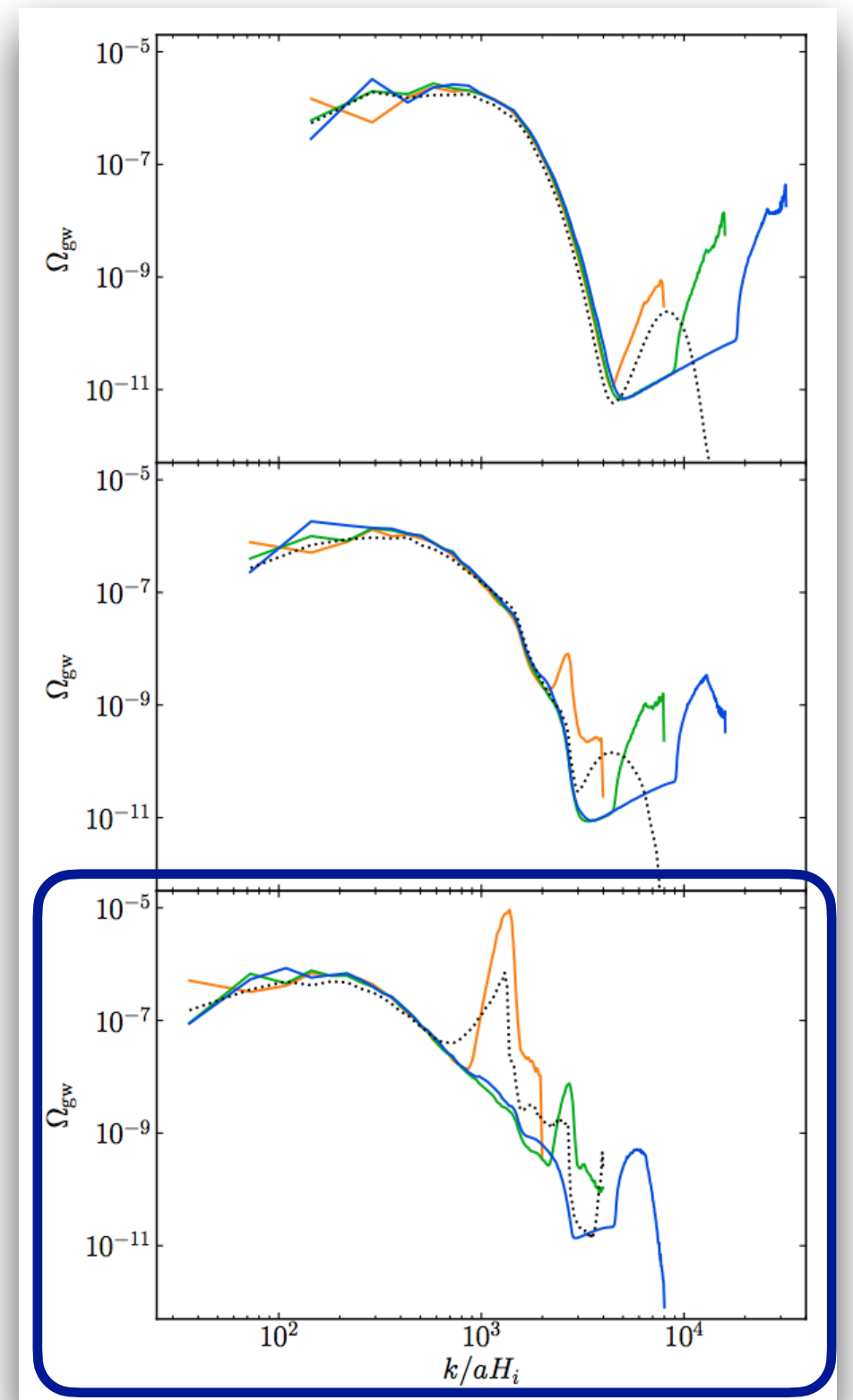
Amin, Braden, Copeland, Giblin, Solorio, Weiner & SYZ, 1803.08047

Pseudo-spectral method  
GPU acceleration

The artificial peak emerges around:

$$a(t)dx \gtrsim m_\phi^{-1}$$

lattice spacing  $\gtrsim$  Compton wavelength



Charge-Swapping Q-balls in the early universe

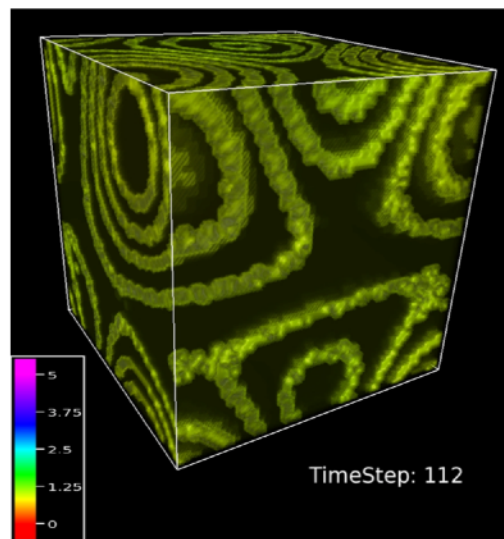
# Q-balls from Affleck-Dine condensate

$$\text{Potential } V(\Phi) = m_{\frac{3}{2}}^2 |\Phi|^2 \left( 1 + K \ln \frac{|\Phi|^2}{M_P^2} \right) + A m_{\frac{3}{2}} \left( \frac{\Phi^d}{d M_P^{d-3}} + h.c. \right) + \frac{|\Phi|^{2d-2}}{M_P^{2d-6}}$$

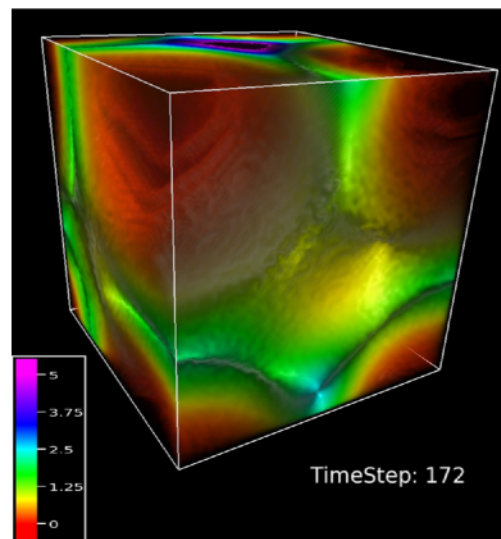
gravity mediated SUSY breaking

SUSY breaking scale:  $m_{\frac{3}{2}} \gtrsim \mathcal{O}(1 \text{TeV})$

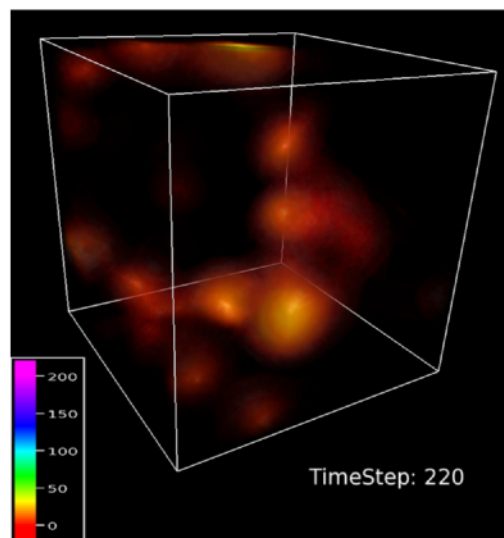
$$K \sim -\frac{\alpha_s m_{\frac{1}{2}}^2}{8\pi m_{\tilde{l}}^2} \sim (-0.1, -0.01)$$



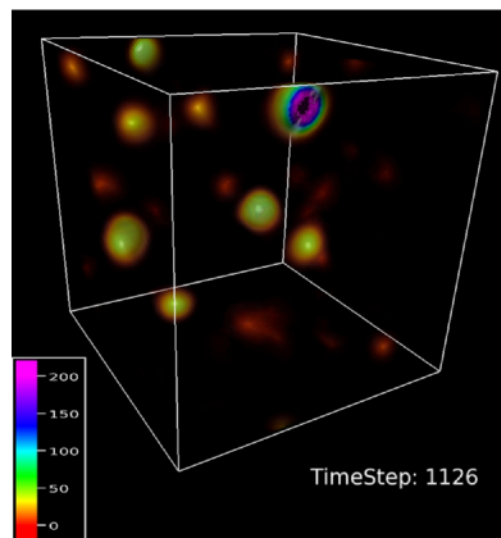
(a) Linear perturbation



(b) Fragmentation



(c) Emerging Q-balls



(d) Properly formed Q-balls

[SYZ, 1501.01217](#)

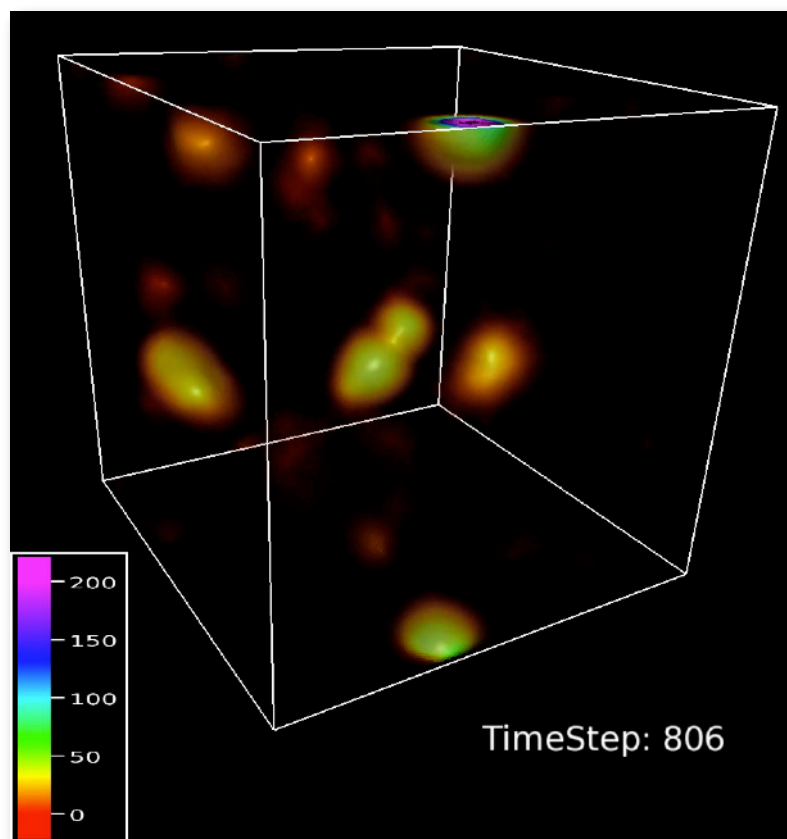
- Many flat directions in MSSM
- AD condensate formed during inflation
- After inflation, when  $m \sim H$ , AD condensate fragments to Q-balls
- At late time, AD field gets U(1) symmetry

# CSQs from Affleck-Dine condensate

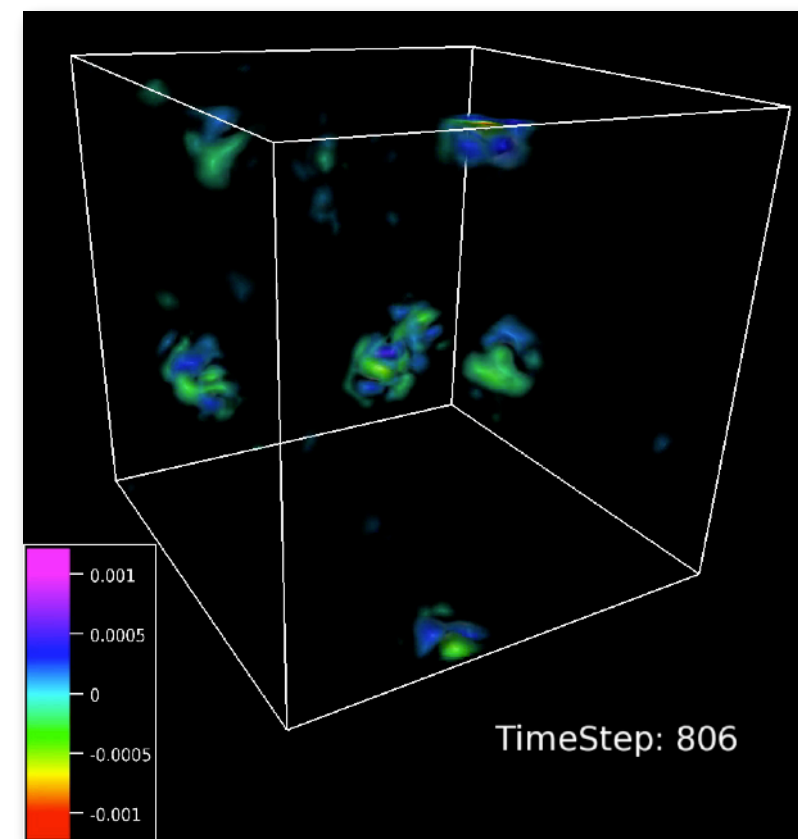
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SYZ, 1501.01217

Energy density



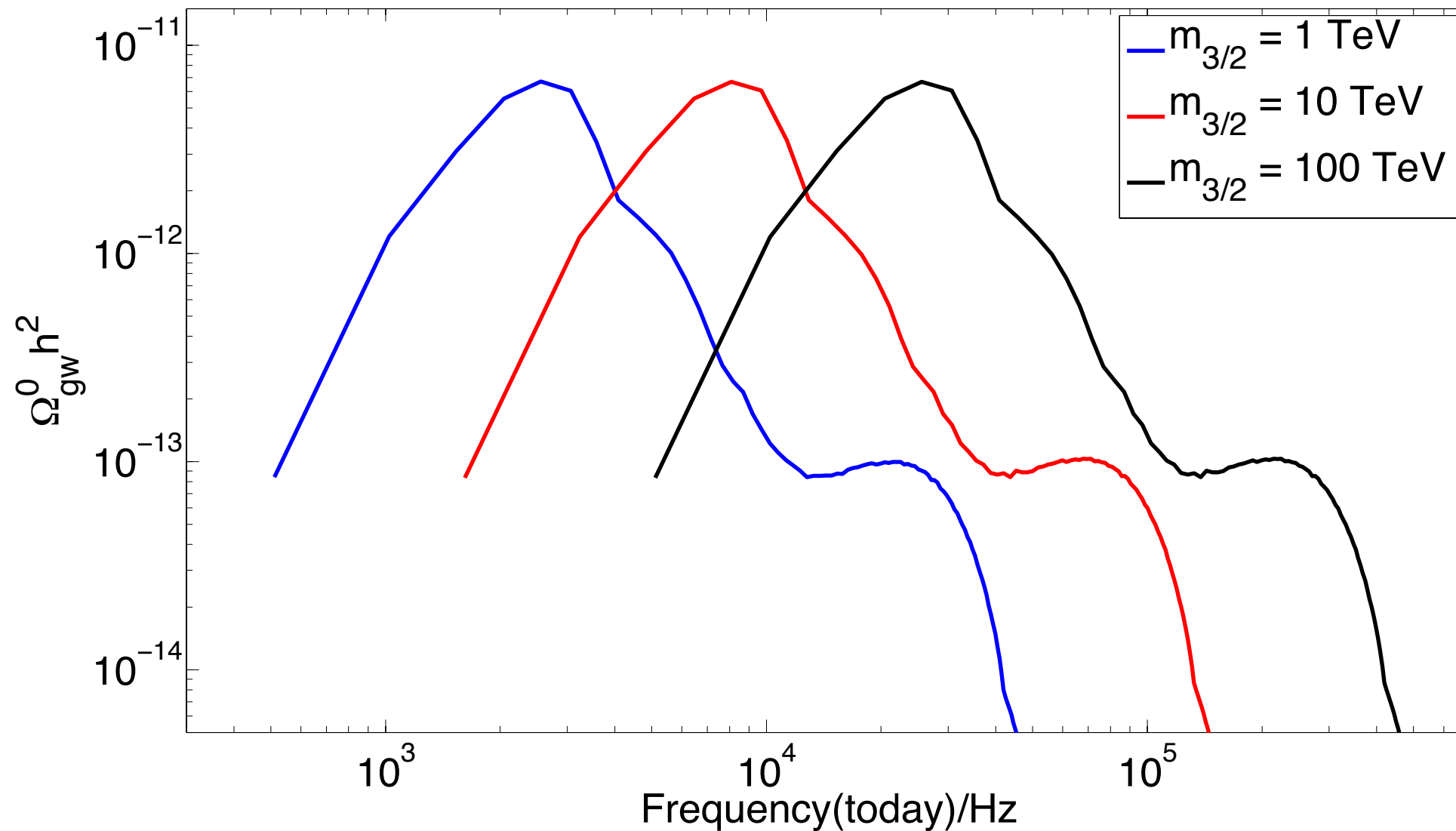
Charge density



CSQs with many multipoles

# Peaks of GW power spectra

SYZ, 1501.01217



Peaks of GWs are correlated with the SUSY breaking scale!

# Summary

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- Q-balls: time-dependent non-topological solitons
- Oscillons: like Q-balls but quasi-stable  
shallow potentials lead to Q-balls and oscillons
- Charge-Swapping Q-balls:  
generic existence of composite Q-balls
- Formation of oscillons and Q-balls in the early universe
- Multi-peak stochastic GWs from oscillons and Q-balls
- Oscillons can collapse to BHs

Thank you!