

EPOS.LHC-R :

A global approach to solve the muon puzzle

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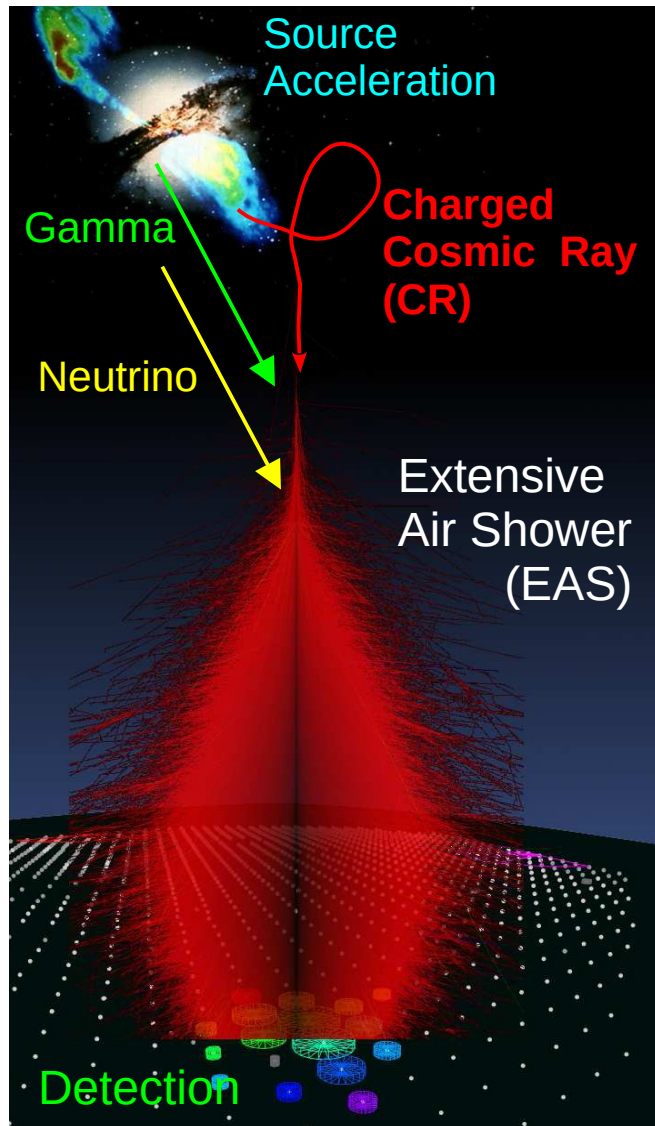
With K.Werner, SUBATECH, Nantes, France



**Hadronic Interactions with Cosmic-ray Workshop,
TDLI, Shanghai, China**

April the 16th 2026

Outline

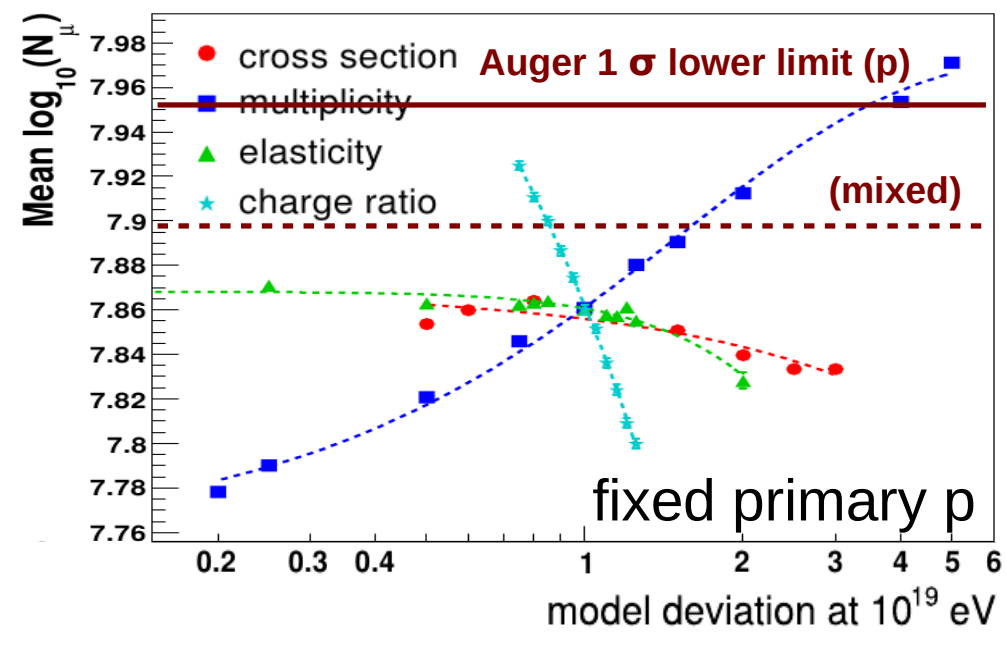
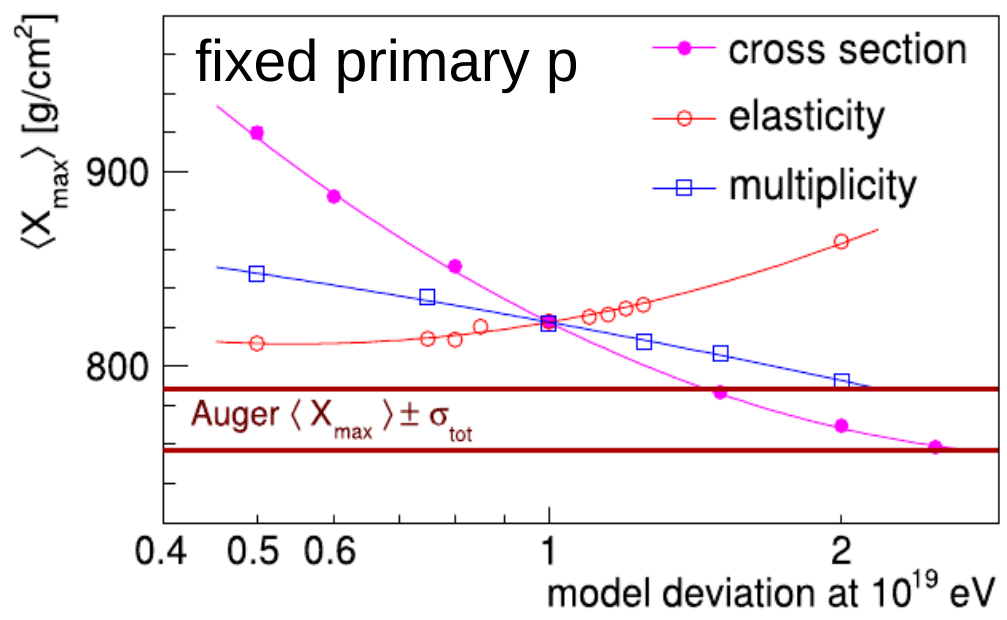


From R. Ulrich (KIT)

- Introduction
- Muon puzzle
- Predictions for air showers (EAS) of the new models
 - ➔ Model comparison
 - ➔ X_{\max} and μ
- A global approach to do hadronic interactions
 - ➔ Impact of Hadronic Rescattering (HS)
- Remaining uncertainties and pO run

Recent **LHC** data provide new constraints on models changing X_{\max} and the muon production, if a **global approach** is used.

Sensitivity to Hadronic Interactions



- Air shower development dominated by few parameters
 - ➔ mass and energy of primary CR
 - ➔ cross-sections (p-Air and (π -K)-Air)
 - ➔ (in)elasticity
 - ➔ multiplicity
 - ➔ charge ratio and baryon production
- Change of primary = change of hadronic interaction parameters
 - ➔ cross-section, elasticity, mult. ...
- Model tuned to accelerator data

Theory AND data are important to constrain the hadronic model parameters.

From R. Ulrich (KIT)

Cosmic Ray Analysis from Air Showers

- **EAS simulations necessary to study high energy cosmic rays**

- ➔ complex problem: identification of the primary particle from the secondaries



- **Hadronic models are the key ingredient !**

- ➔ follow the standard model (QCD)

- ➔ but mostly non-perturbative regime (phenomenology needed)

- ➔ main source of uncertainties

- **Which model for CR ?** (alphabetical order)

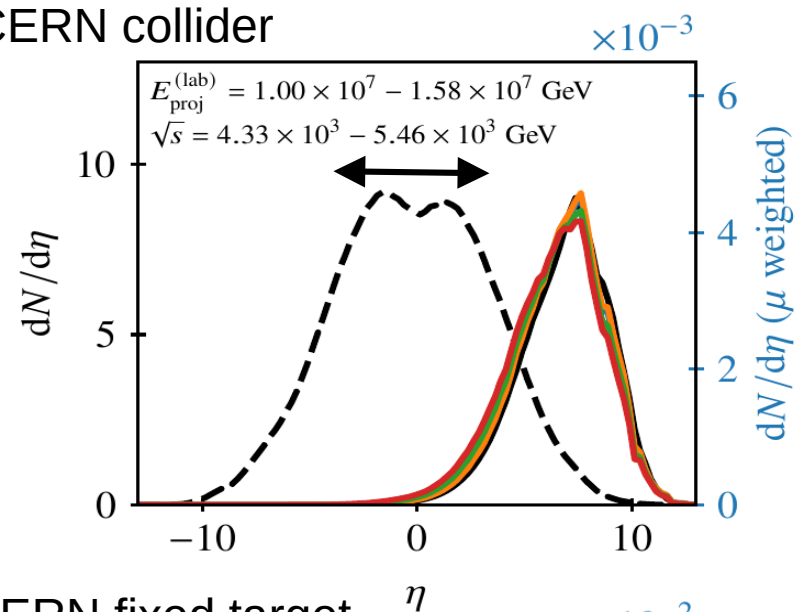
- ➔ **EPOS** (1.99/**LHC**/4/**LHC-R**) (from VENUS/NEXUS before) by K. Werner, T. Pierog and al.

- ➔ **QGSJET** (01/II-03/II-04/III-01) by S. Ostapchenko (starting with N. Kalmykov)

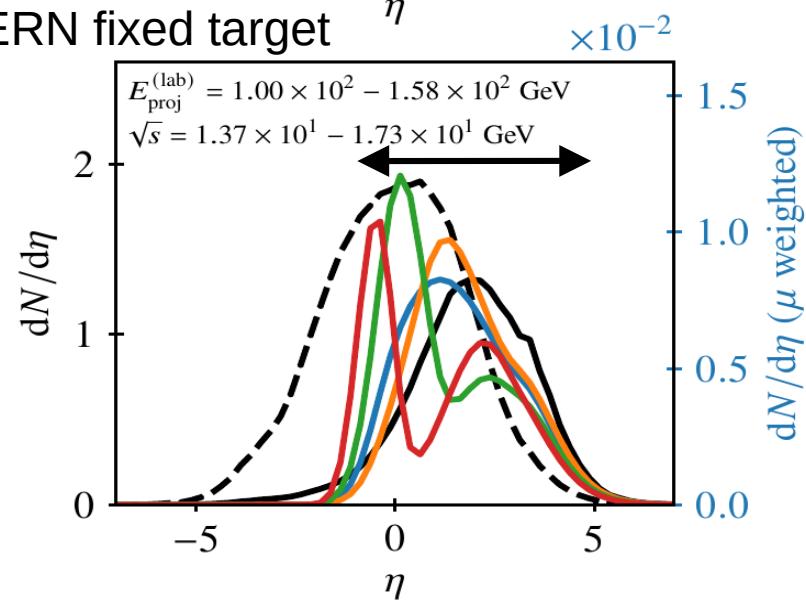
- ➔ **Sibyll** (2.1/(2.3c/2.3d)/2.3e) by E-J Ahn, R. Engel, R.S. Fletcher, T.K. Gaisser, P. Lipari, F. Riehn, T. Stanev

Phase Space

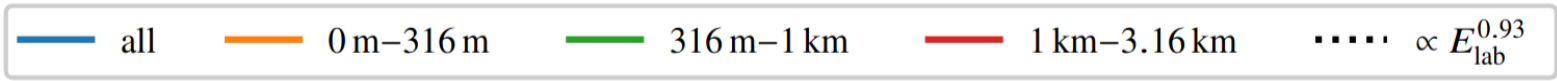
CERN collider



CERN fixed target



- **Definition** (θ =emission angle)
 - ➔ pseudorapidity $\eta = -\ln(\tan(\theta/2))$
 - ➔ $\eta=0$ is midrapidity
 - ➔ $\eta \gg 1$ is forward (same direction than beam)
 - ➔ $\eta \ll -1$ is backward
- **First interaction (high energy)**
 - ➔ Muons production lead by forward particles
 - ➔ EM shower driven by most energetic π^0
- **Last generations (low energy)**
 - ➔ Muon produced from $\pi^{+/-}$ at all angles
 - ➔ EM particles impacted only at large distances
- **Most accelerator data measured in different phase space**
 - ➔ **Extrapolation needed from $\eta=0$ to $\eta \gg 1$**



M. Reininghaus (ICRC2021)

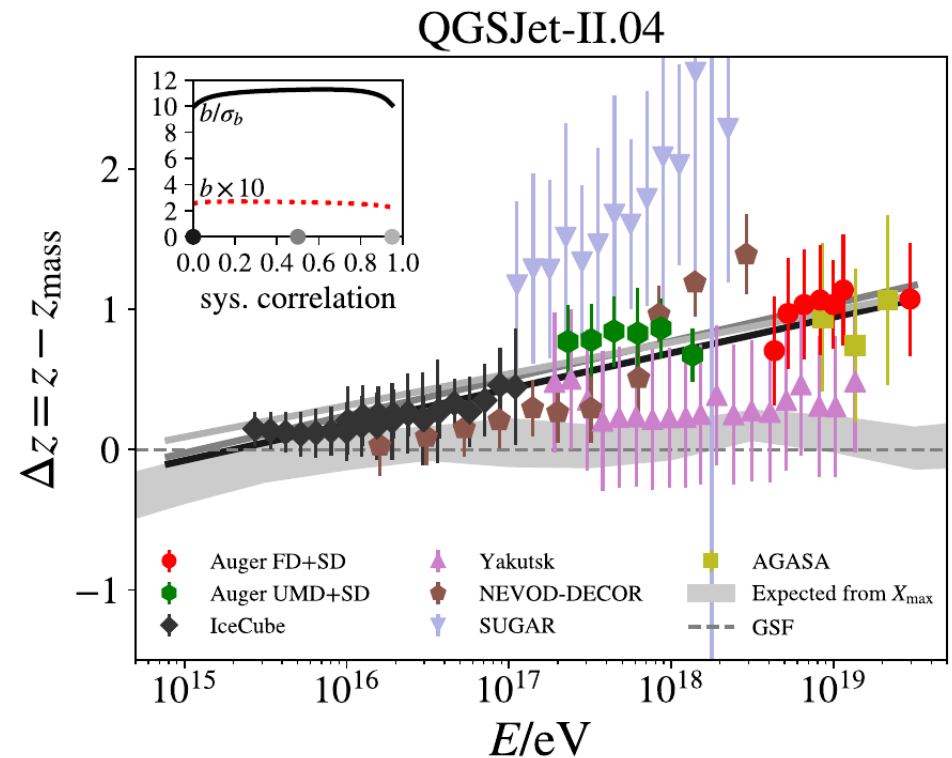
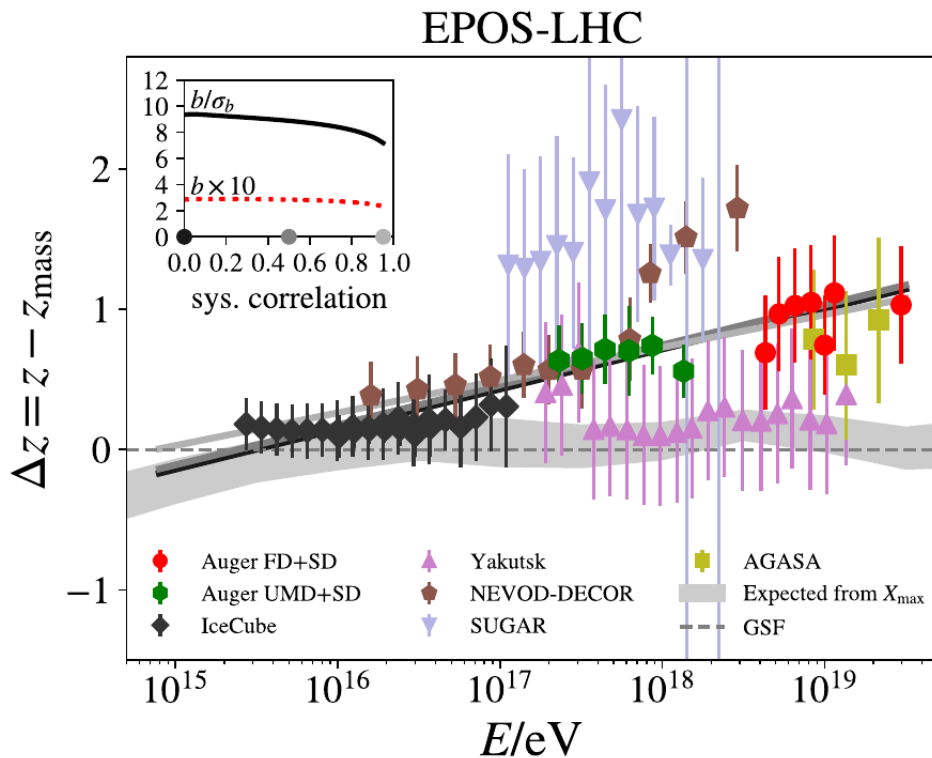
WHISP Meta-Analysis (2021)

- Clear muon excess in data compared to simulation
- ➔ Different energy evolution between data and simulations

➔ Significant non-zero slope ($>8\sigma$)

$$z = \frac{\ln N_{\mu}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}{\ln N_{\mu,\text{Fe}}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}$$

$$z_{\text{mass}} = \frac{\langle \ln A \rangle}{\ln 56}$$

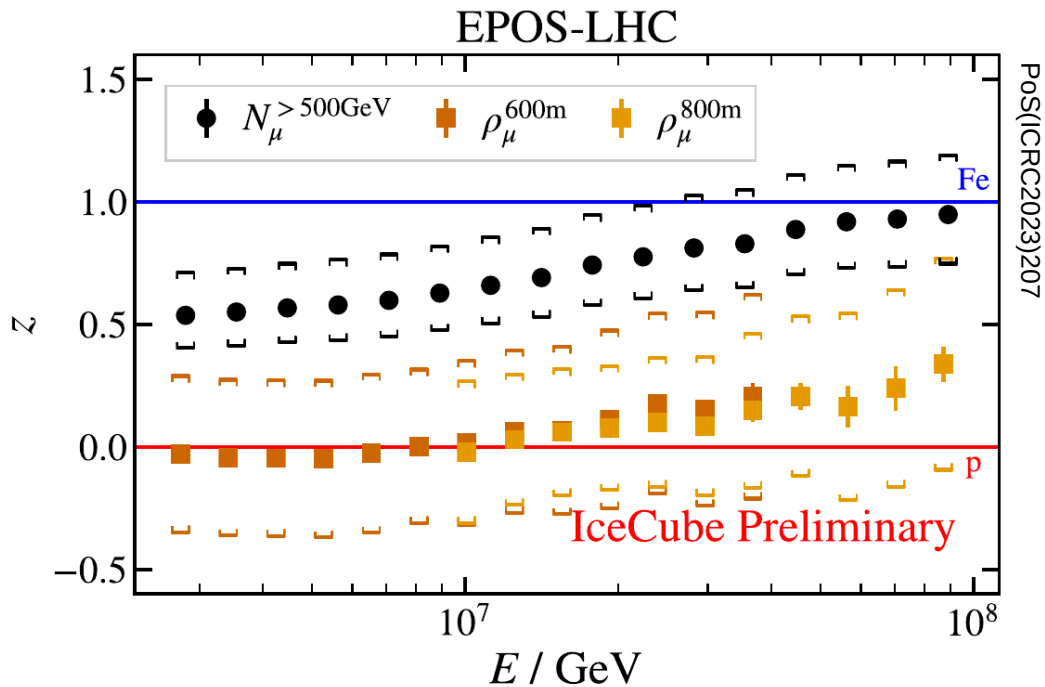


Plots by H. Dembinski

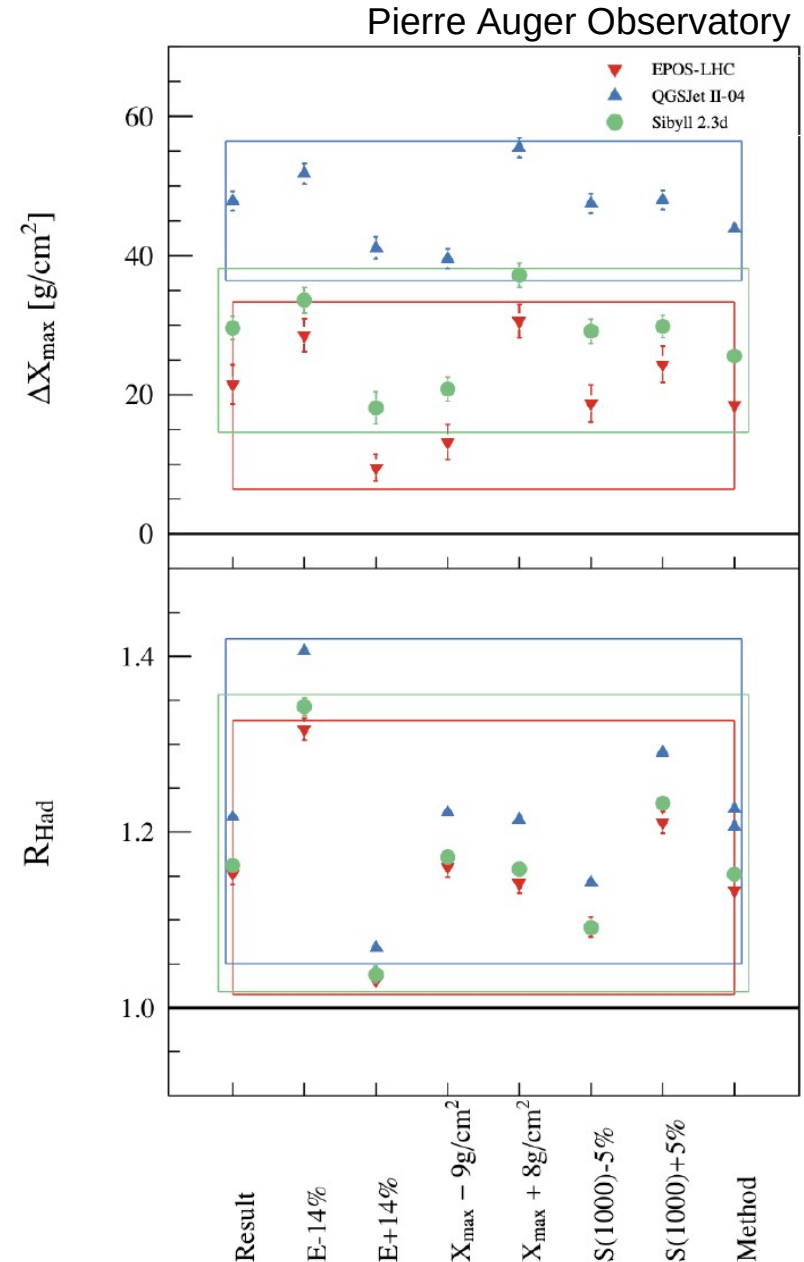
- Different energy scale cannot change the slope
- ➔ Different property of hadronic interactions at least above 10^{17} eV

And more evidences

- Air shower measurement suffer from large energy scale uncertainties
 - ➔ But discrepancy remains within errors
- Different muon energies are not equally reproduced



- Other variables not well reproduced
 - ➔ Zenith angle dependence, muon production height, ...

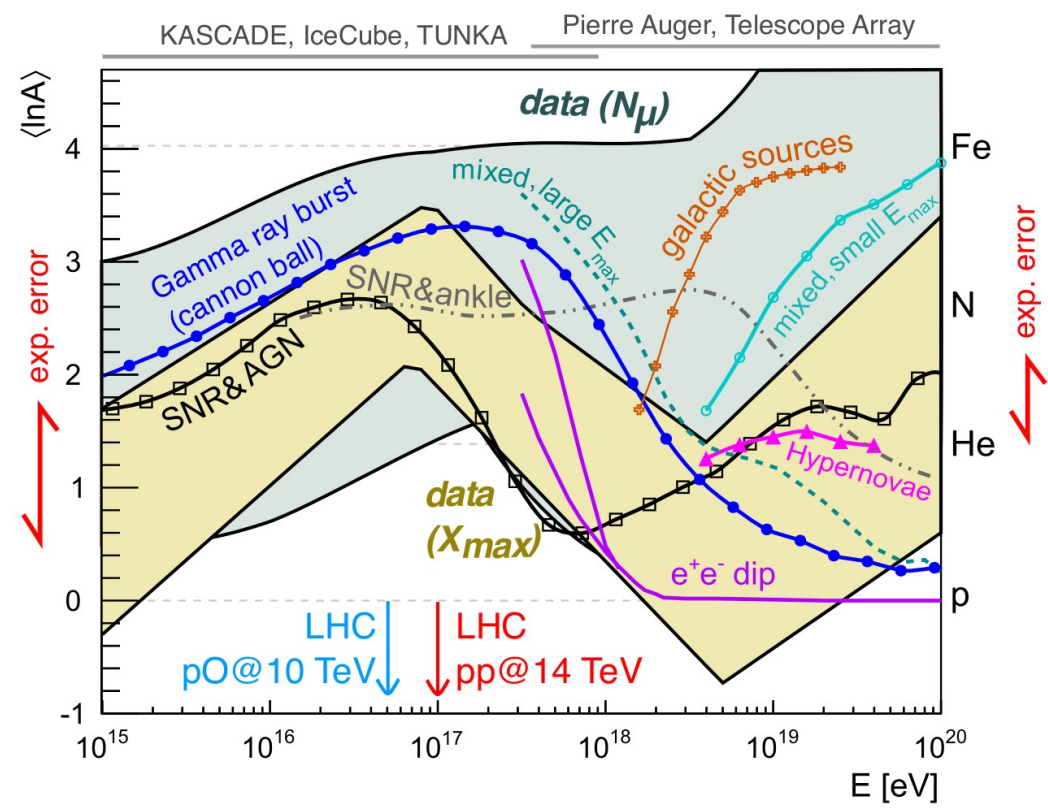


UHECR Composition

With current models, CR data are impossible to interpret

- ➔ Very large uncertainties in model predictions
- ➔ Mass from muon data incompatible with mass from X_{max}

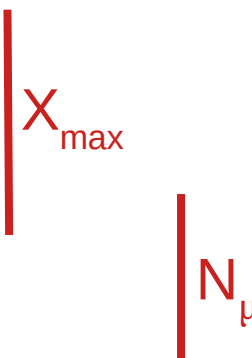
➔ Need better hadronic interaction models



Based on Kampert & Unger, Astropart. Phys. 35 (2012) 660

Model Improvements

- **First LHC data lead to reduced differences between models**
- **But a number of new data since model release could be use to further improve the models :**
 - ➔ Update of the p-p cross sections (ALFA)
 - ➔ Data at 13 TeV (CMS, ATLAS, LHCf)
 - ➔ More detailed p-Pb measurements (fluctuations) (ALICE)
 - ➔ Particle yields as a function of multiplicity (ALICE, LHCb)
- **Update of EPOS LHC → EPOS.LHC-R**
 - ➔ Modify EPOS LHC to take into account new data and new knowledge accumulated with (and code from) EPOS 4
 - ➔ **Global approach taking into account collective effects**
- **Update of QGSJETII-04 → QGSJETIII-01**
 - ➔ Higher twist calculation in hard component to avoid low p_t cut-off
 - ➔ Retune to LHC and NA61 (ρ) data but nothing new in hadronization.



Improvements in EPOS LHC-R

- Number of limitations identified in EPOS LHC

- Problem with nuclear fragments

- ➔ Double counting for single nucleons

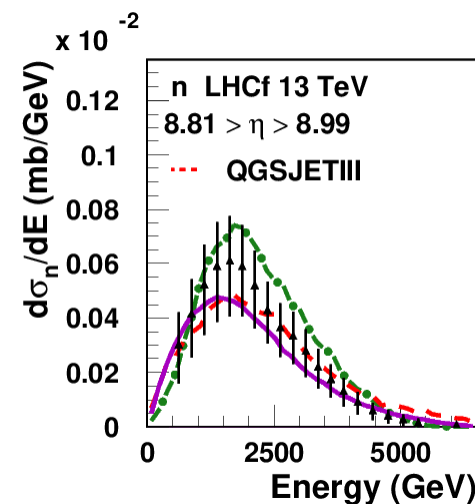
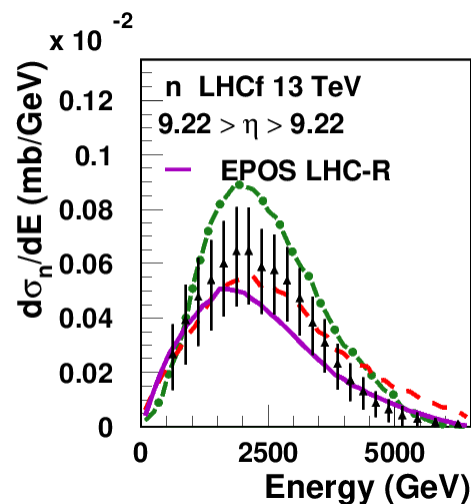
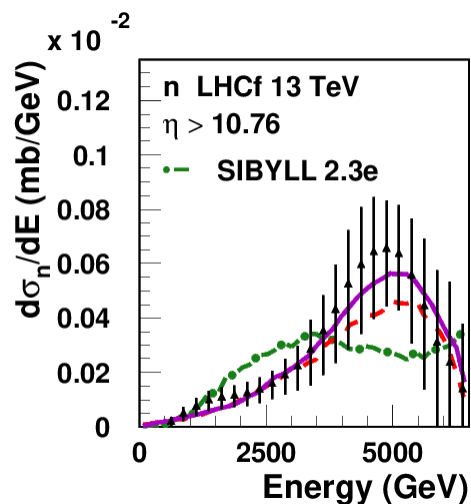
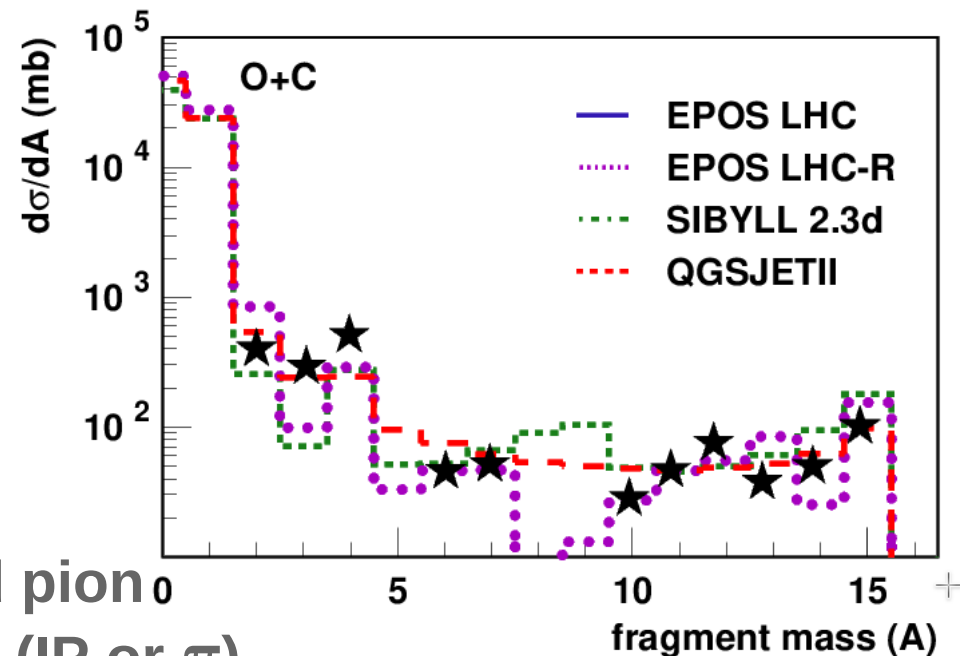
- ➔ Missing multifragment production

- Now similar to other models

- Significant impact on X_{\max}

fluctuations for nuclei

- Simplified high mass diffraction and pion exchange replaced by real emission (IP or π)



Basic Observables

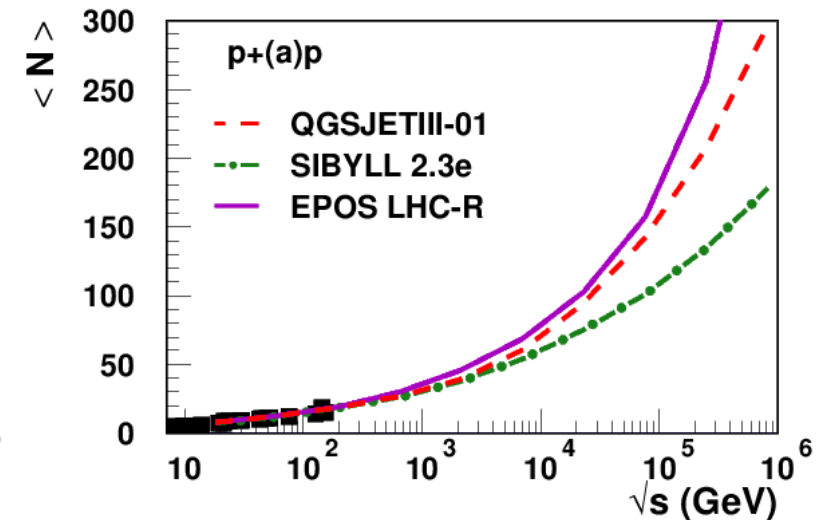
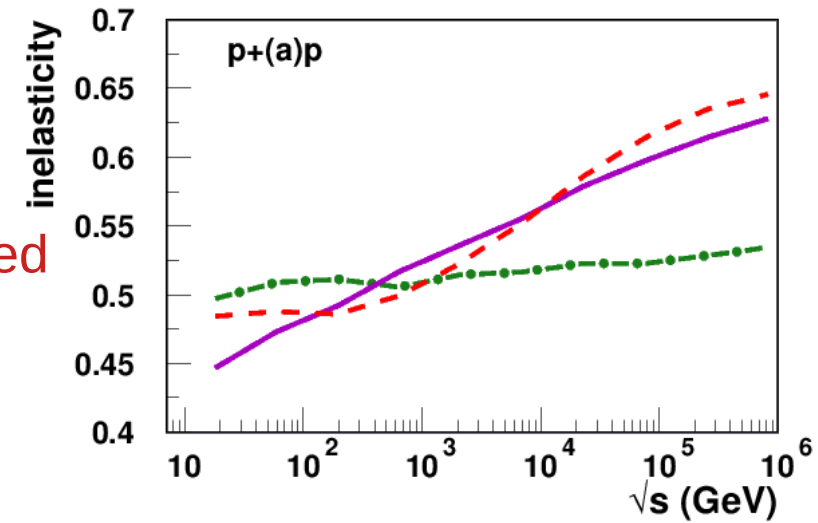
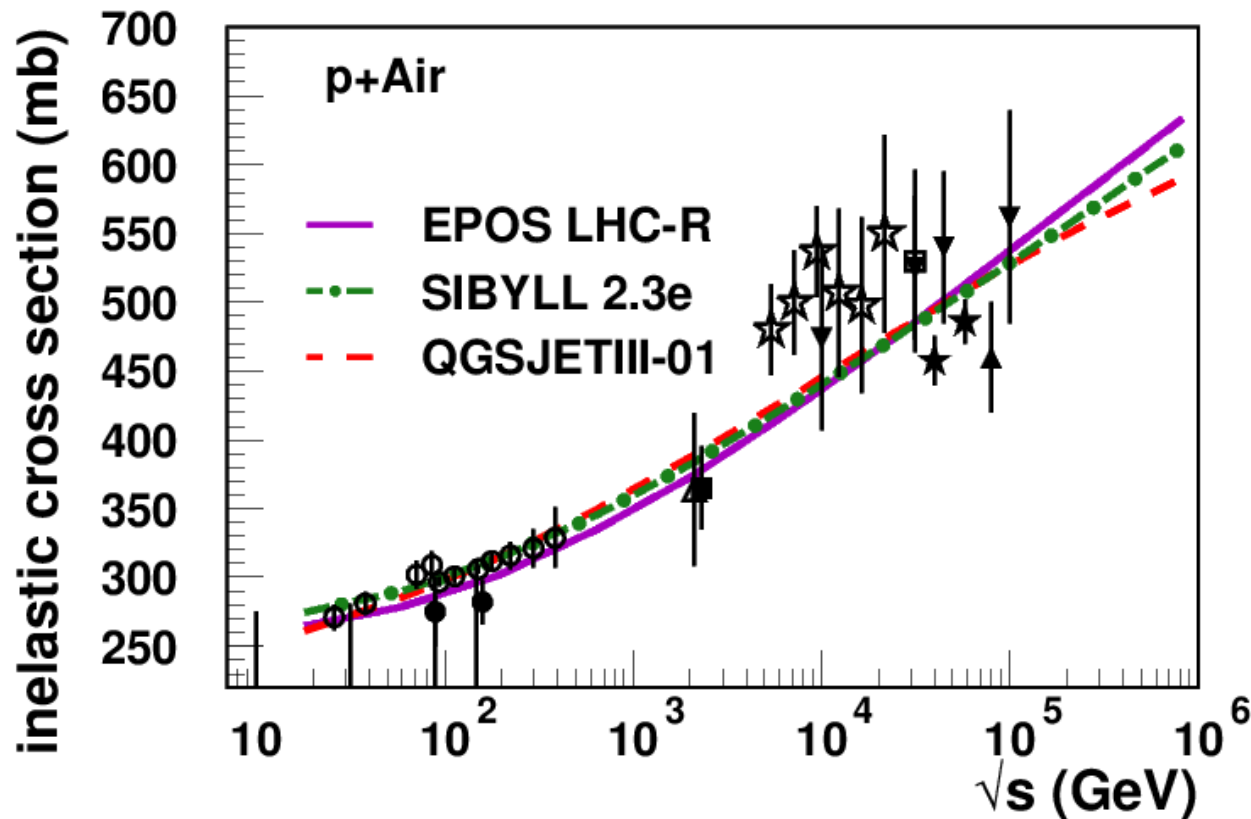
Key measurements : directly related to X_{\max}

→ Cross-sections updated to most recent measurements

■ p-p and p-Air cross-sections very similar

→ Still large differences where there is no data

■ Elasticity and total multiplicity poorly constrained



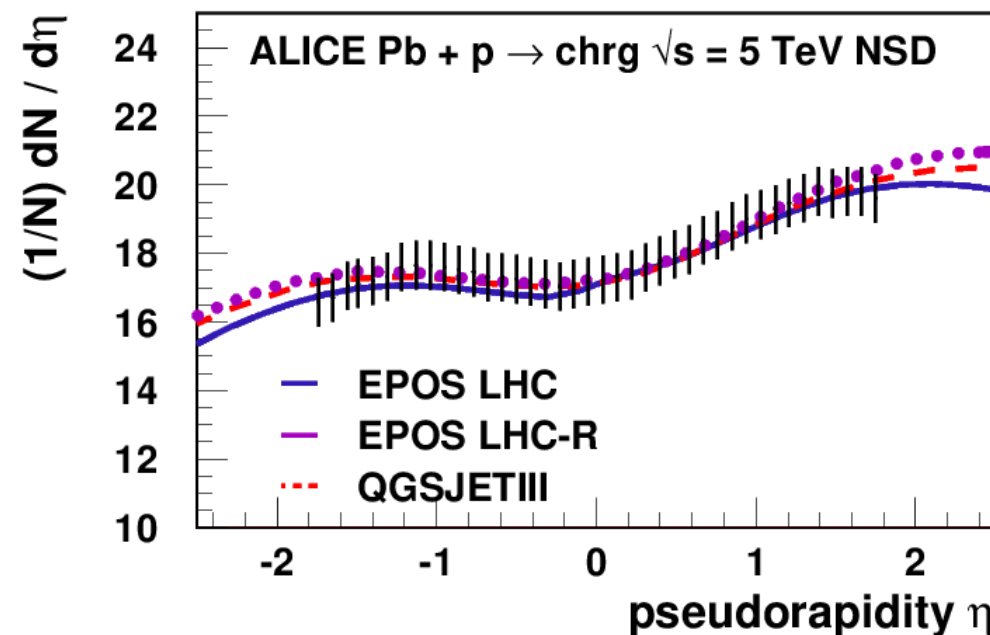
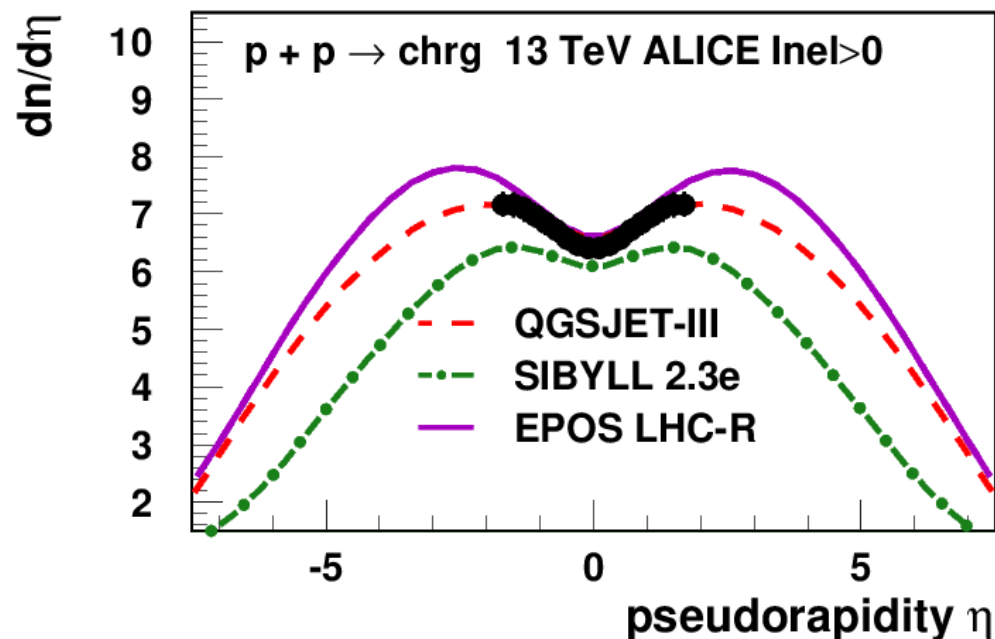
Pseudorapidity

Simple (basic) measurement still important !

→ New data at 13 TeV in p-p

- Test extrapolation with different triggers
- Sibyll has a clear difference with other models (and data) : **too narrow !**

→ Detailed data at 5 TeV for p-Pb



Pseudorapidity

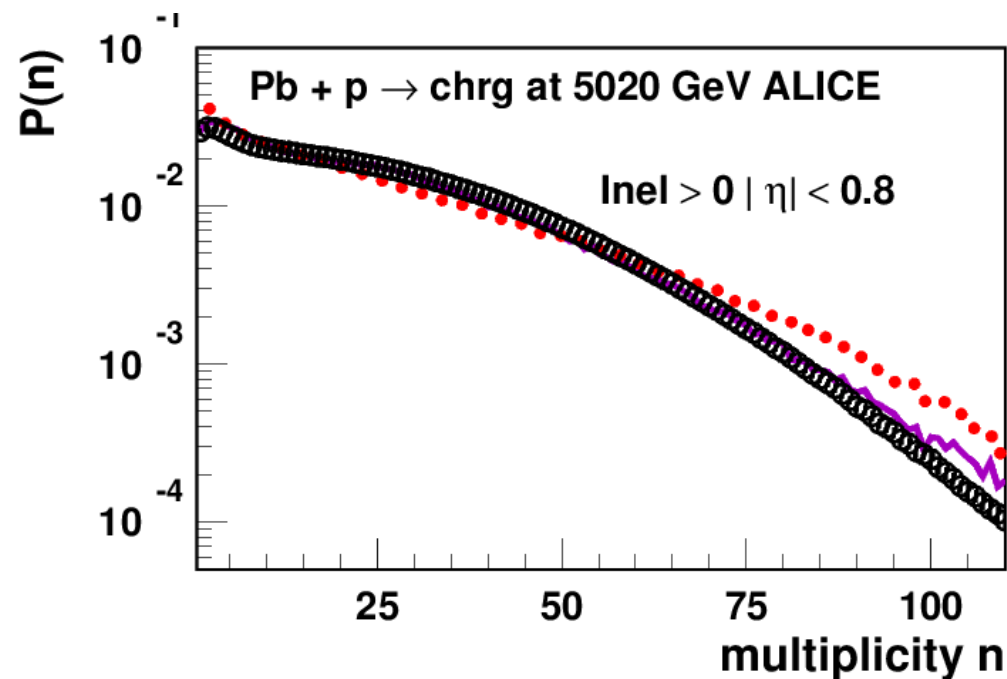
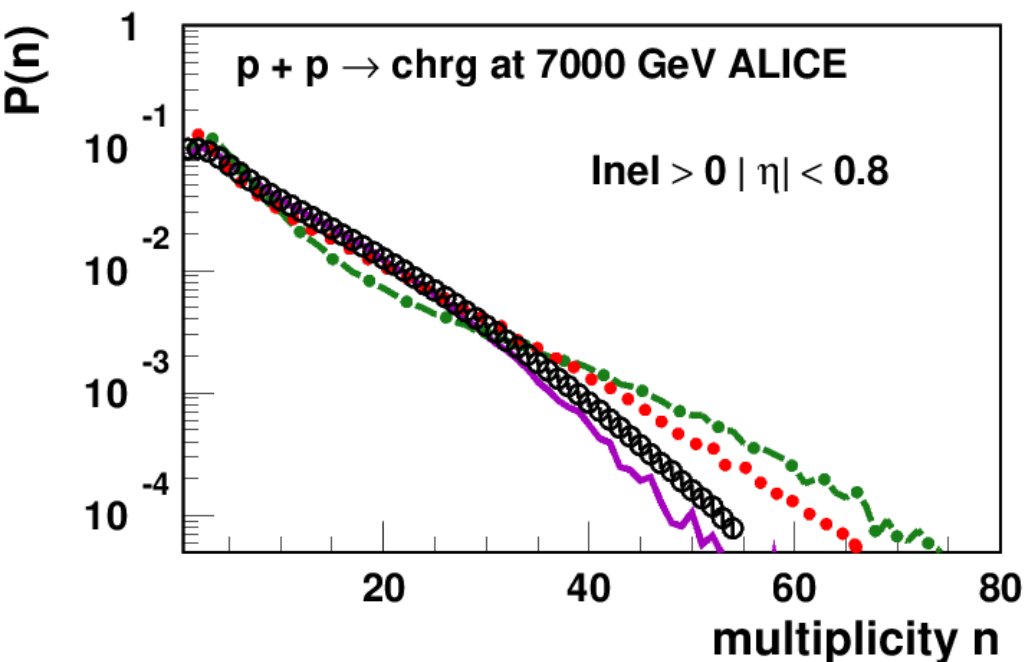
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- Problems at both high and low multiplicities



Pseudorapidity

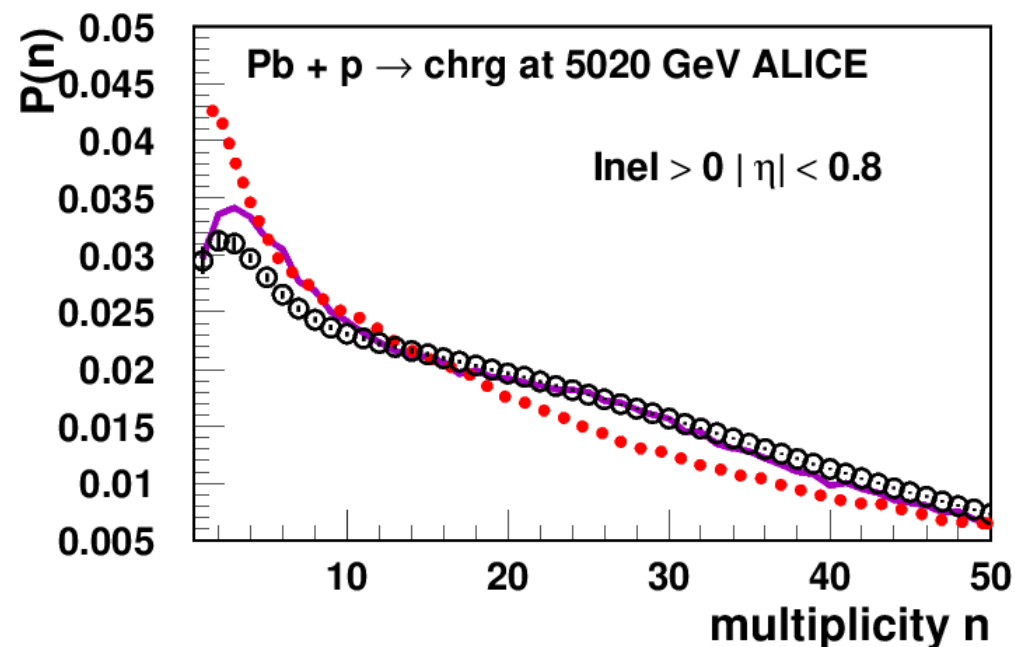
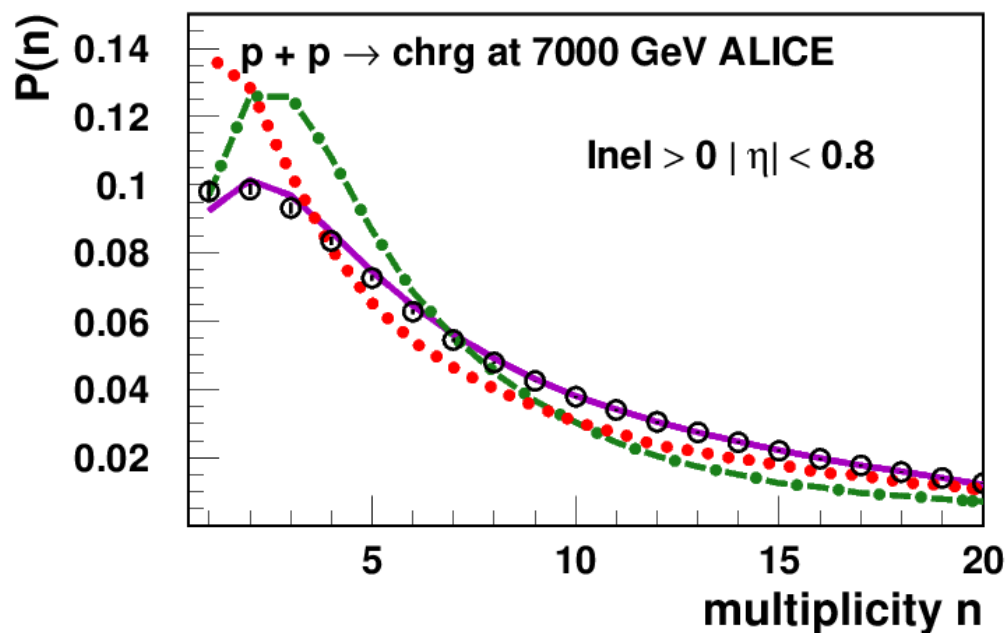
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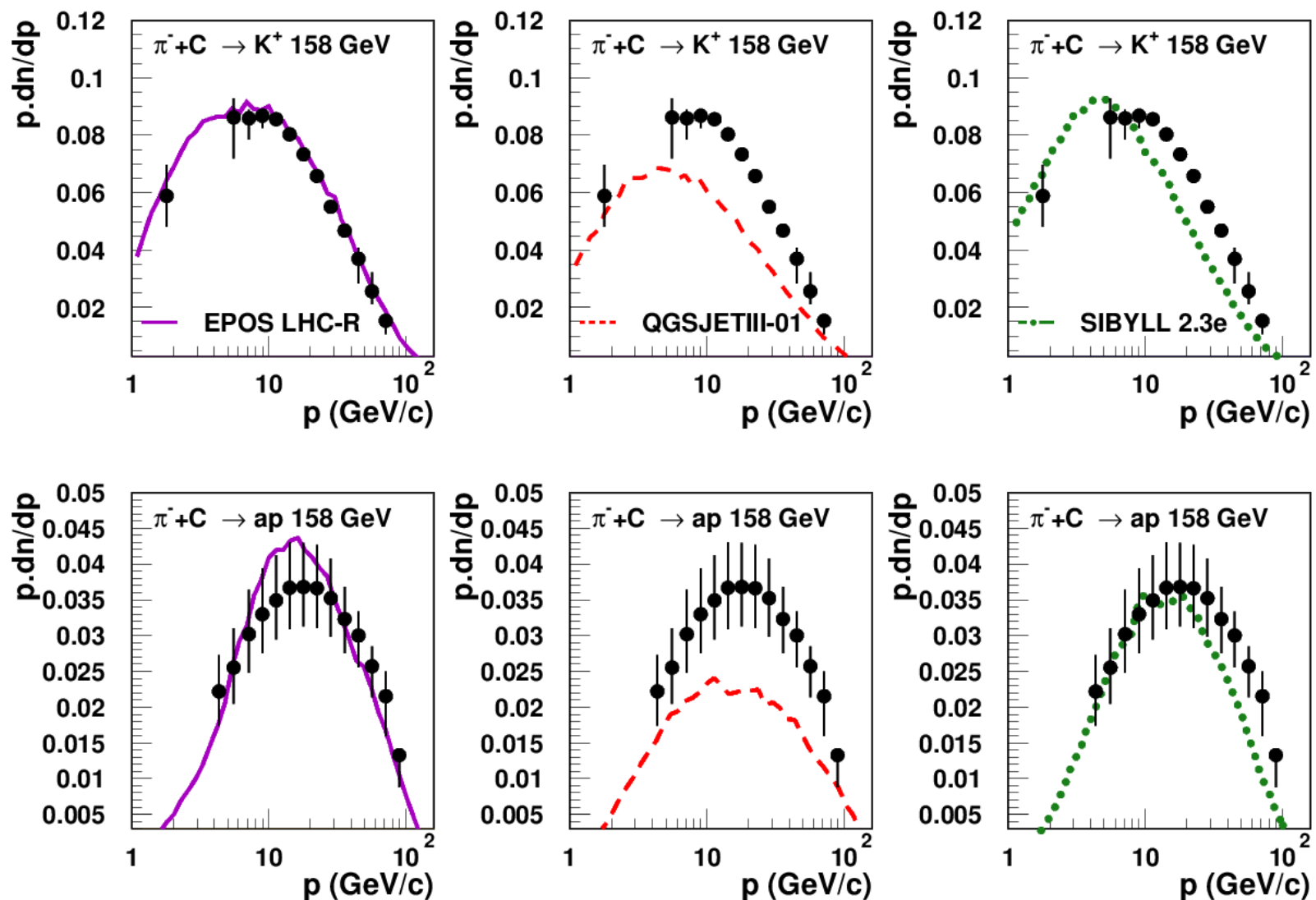
- Problems at both high and low multiplicities
- **Correction of multiplicity distribution in EPOS (impact on X_{\max})**



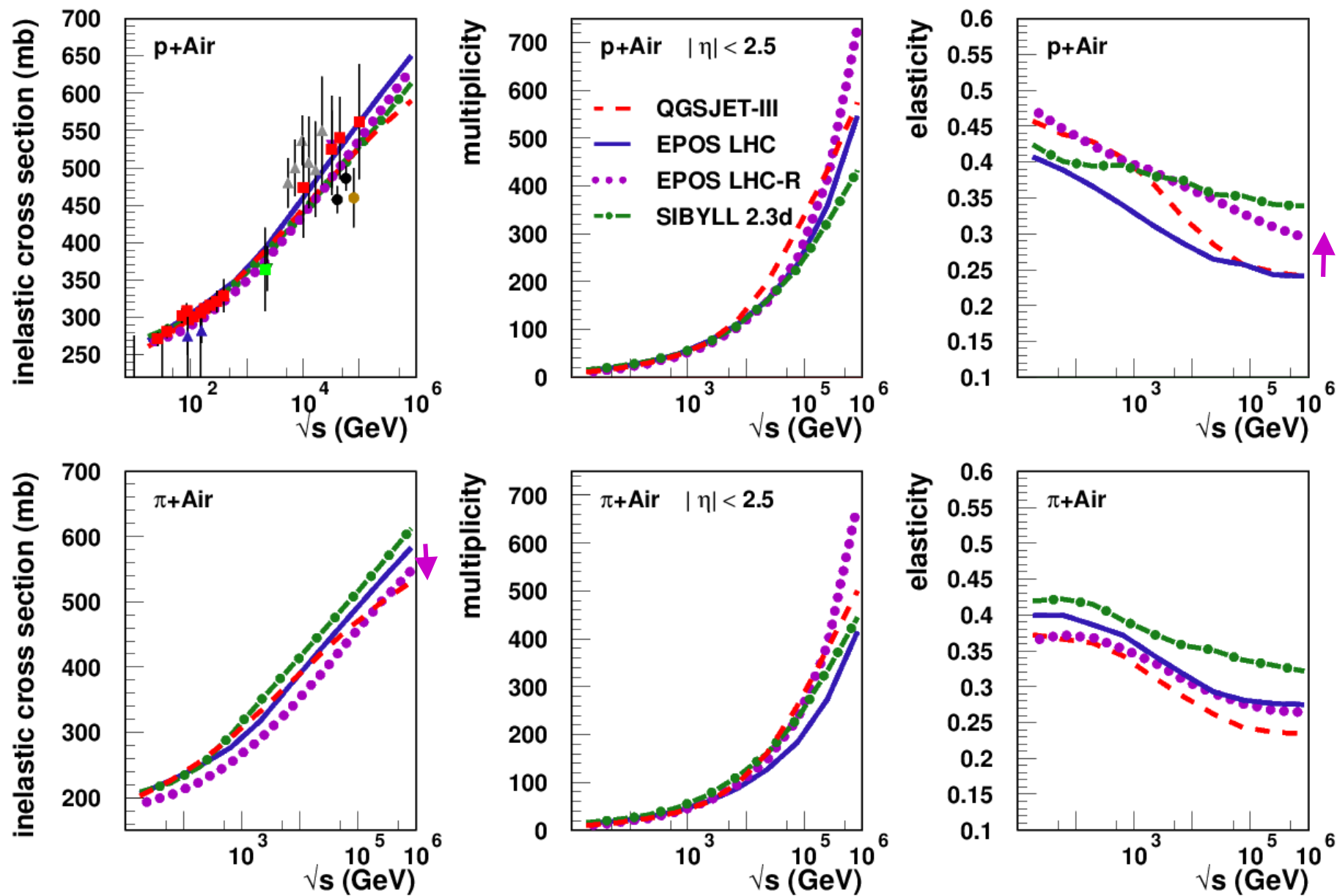
Kaons and Baryons

Only EPOS properly reproduce NA61 data (and many others)

→ QGSJETIII not flexible enough !



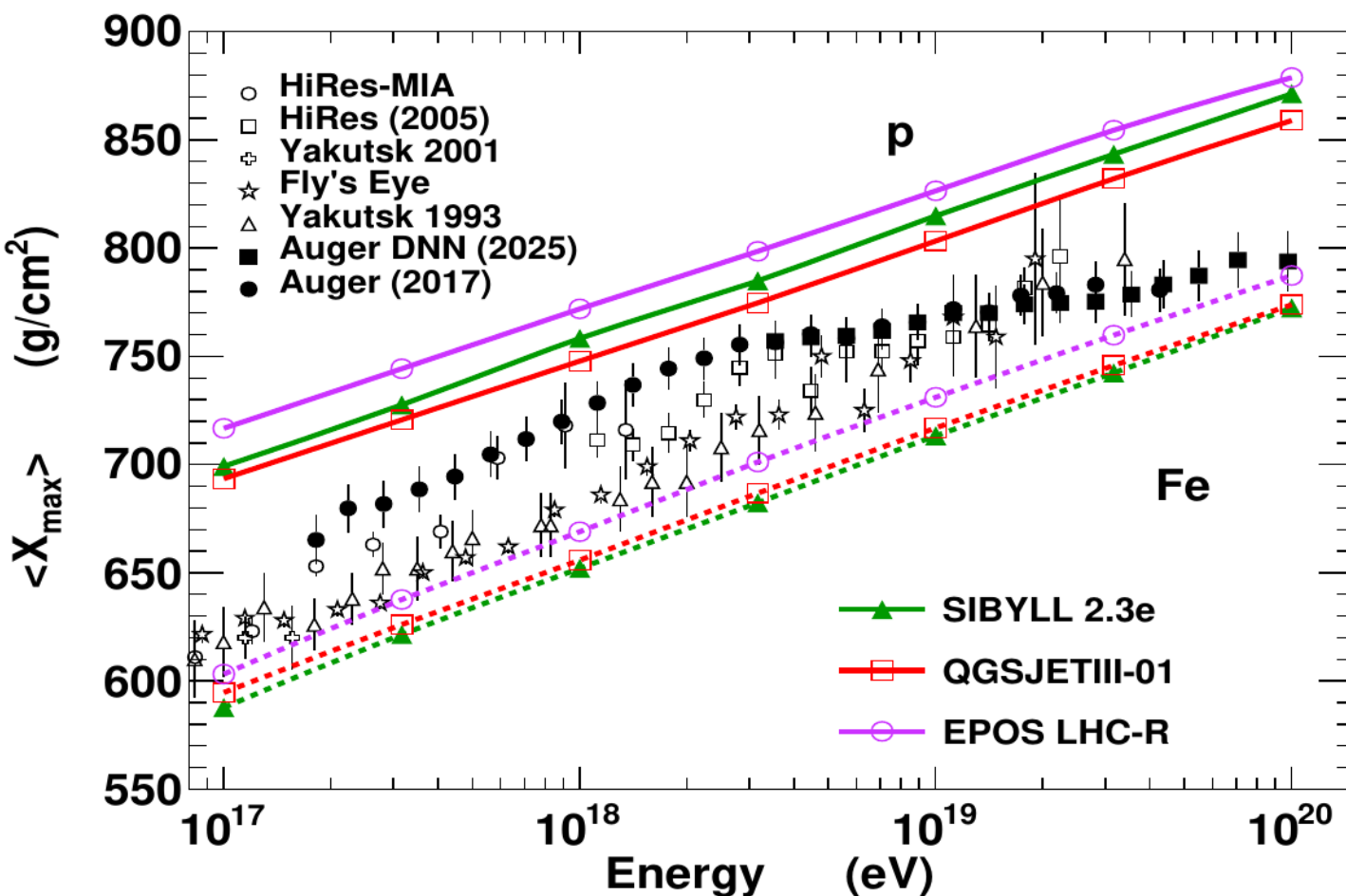
Interaction with Air



X_{\max}

Global changes

- ➔ Taking into account new data, new EPOS shifted by $+20\text{g/cm}^2$ ($\pm 5\text{g/cm}^2$)
- ➔ QGSJETIII-01 shifted by $+15\text{g/cm}^2$ (=EPOS LHC)



Heavier composition
compared to previous
generation of models

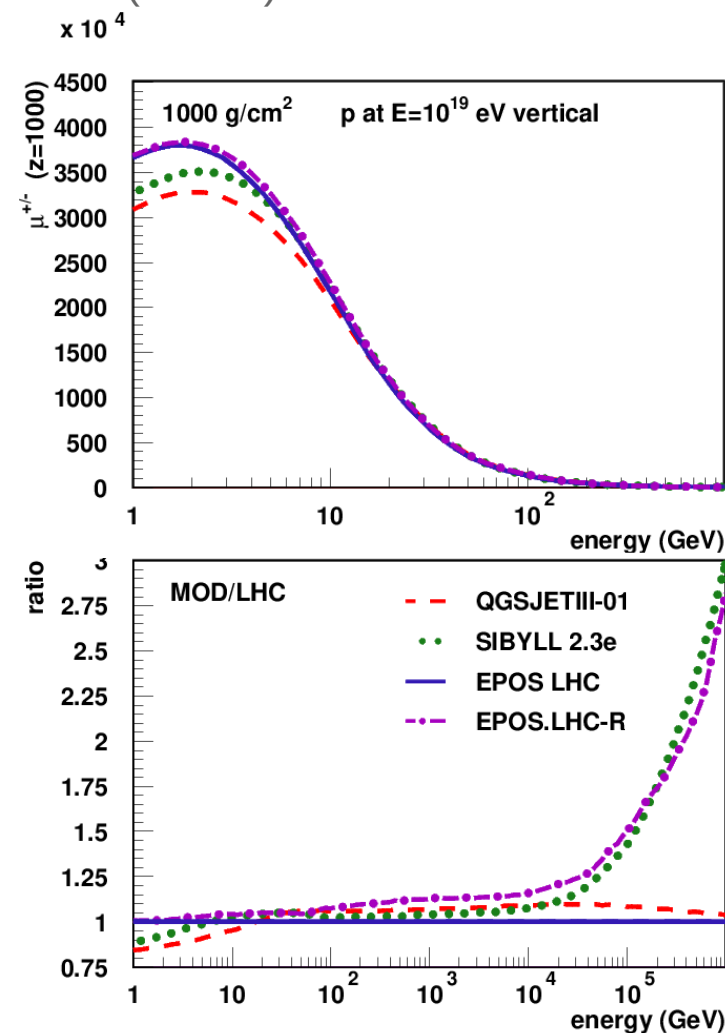
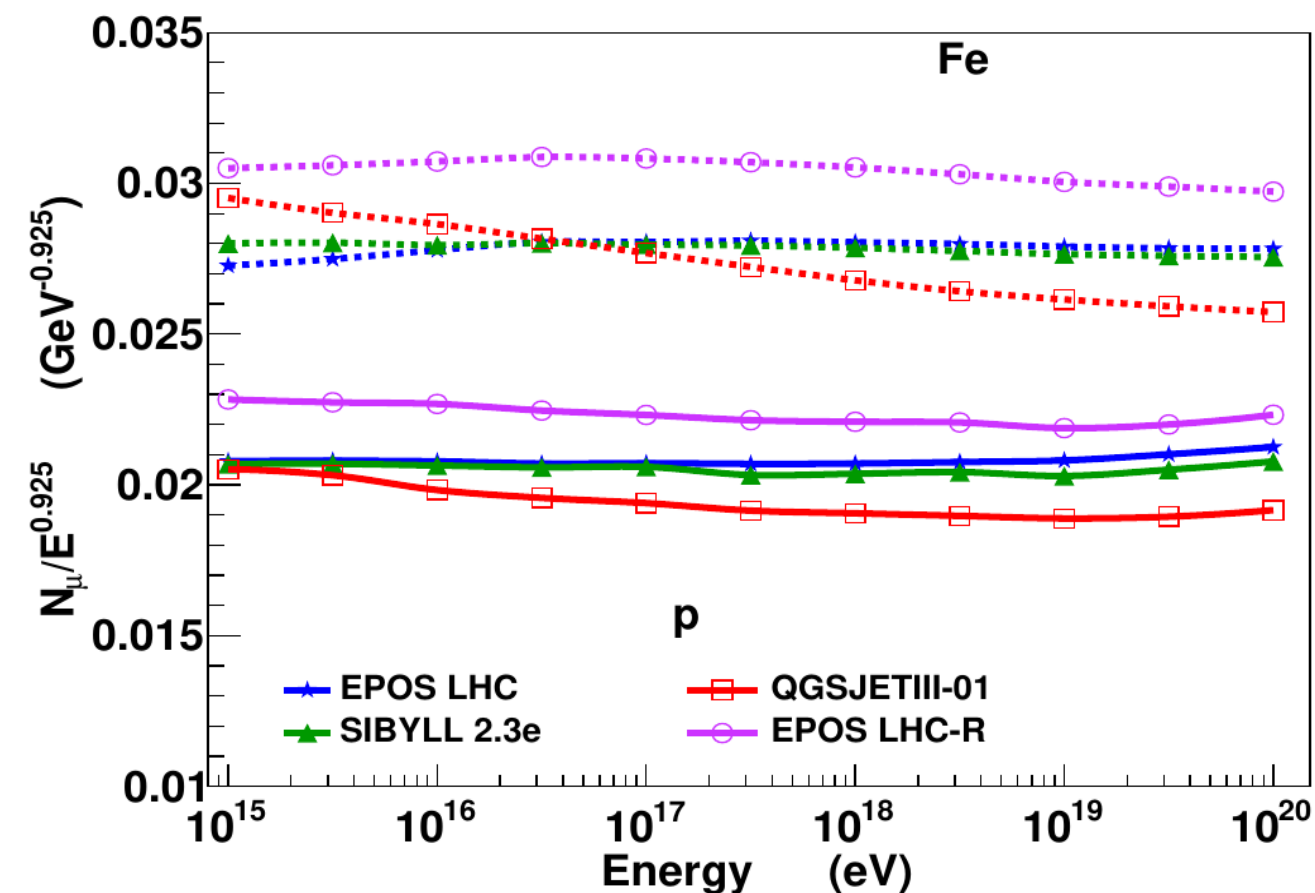
**Iron at the highest
energy ?**

Note: Technical problem in
QGSJETIII-01 leading to wrong
 X_{\max} predictions for Iron at high
energy

$$N_{\mu}$$

Global changes

- ➔ Consequence of retuning, now EPOS shifted by +20 to 30 g/cm²
- ➔ Increase of the total number of muons by about 10% (+/- 5%) for EPOS.LHC-R
- ➔ Change in muon spectrum !

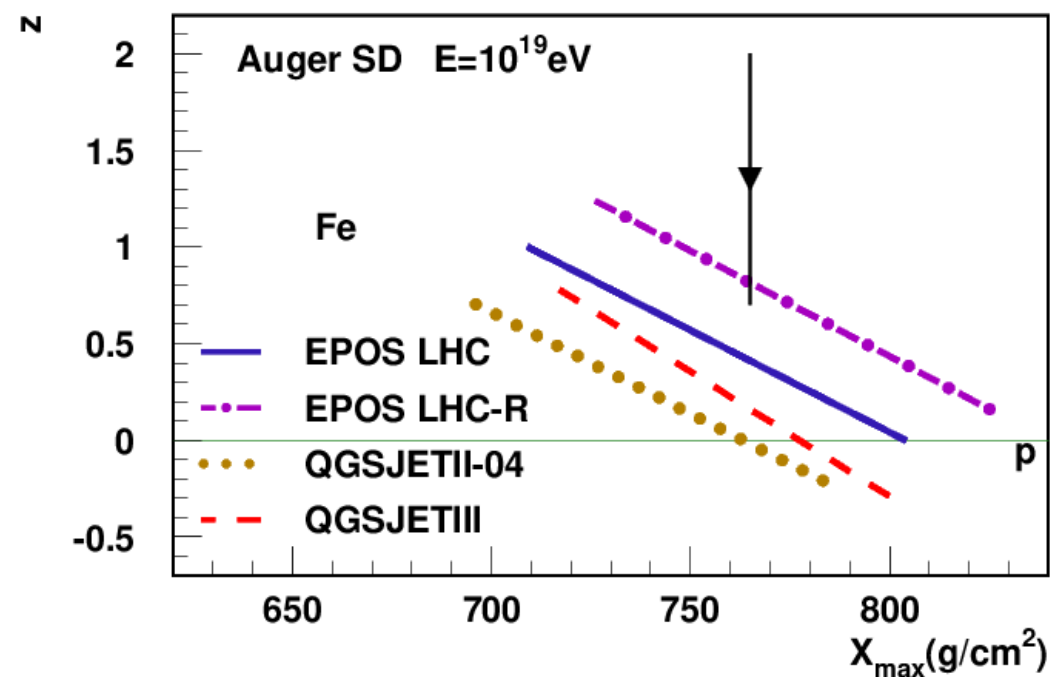
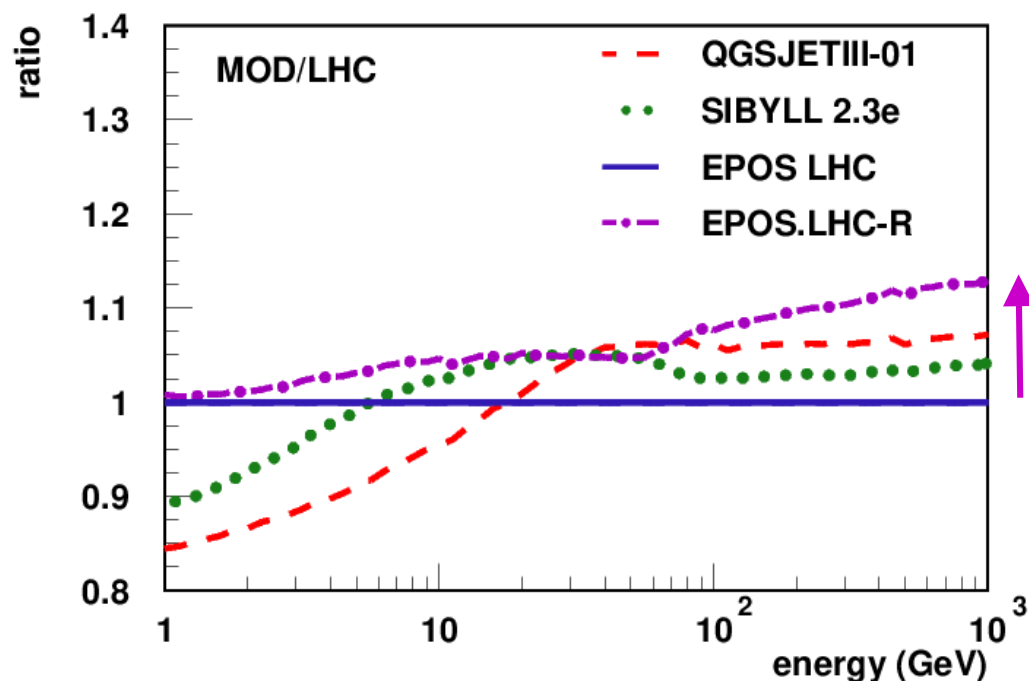


Muon Puzzle Solved ?

EPOS.LHC-R, first model producing a deeper X_{\max} and more muons and being compatible with all measured accelerator data :

- ➔ Deeper X_{\max} give larger $\langle \ln A \rangle$ reducing the gap with measured muon content
- ➔ Increase of muons further decrease the gap to reach Auger systematics
- ➔ Increased number of high energy muons
- ➔ Change not large enough for QGSJET

$$z = \frac{\ln N_{\mu}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}{\ln N_{\mu,\text{Fe}}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}$$

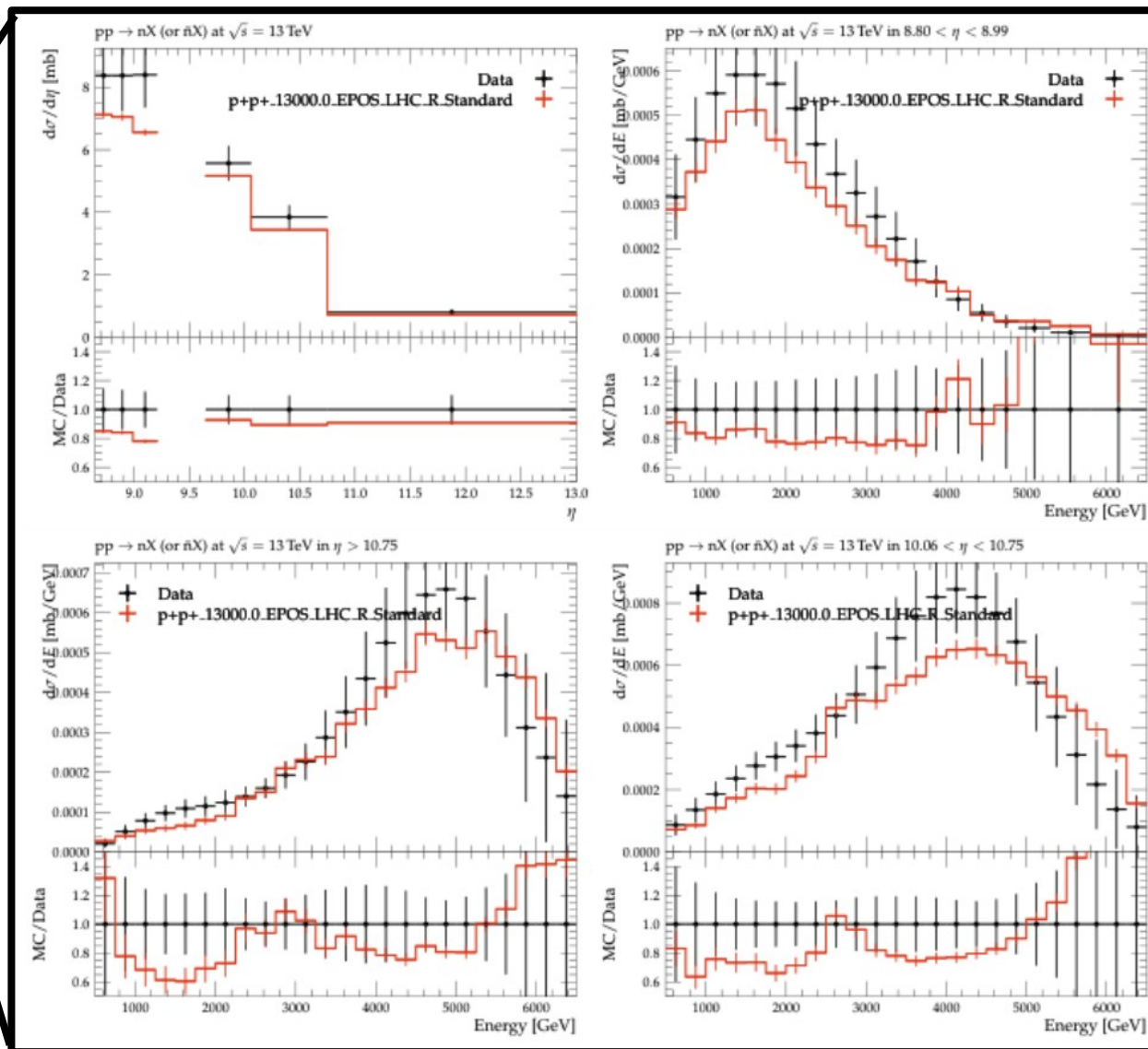
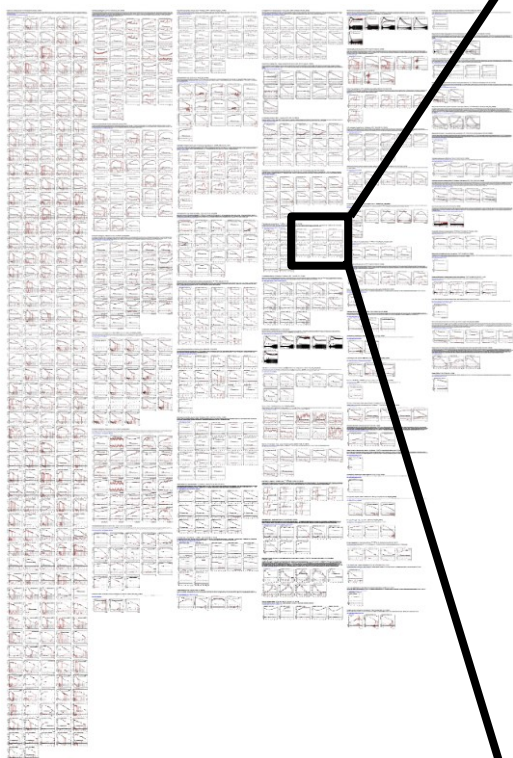


Model Quality

➔ Use all RIVET analysis (~800 plots) that EPOS, QGSJET and Sibyll can run

[Inspire](#) | [HepData](#) | [Analysis reference](#) | [arXiv:2003.02192](#)

LHCf

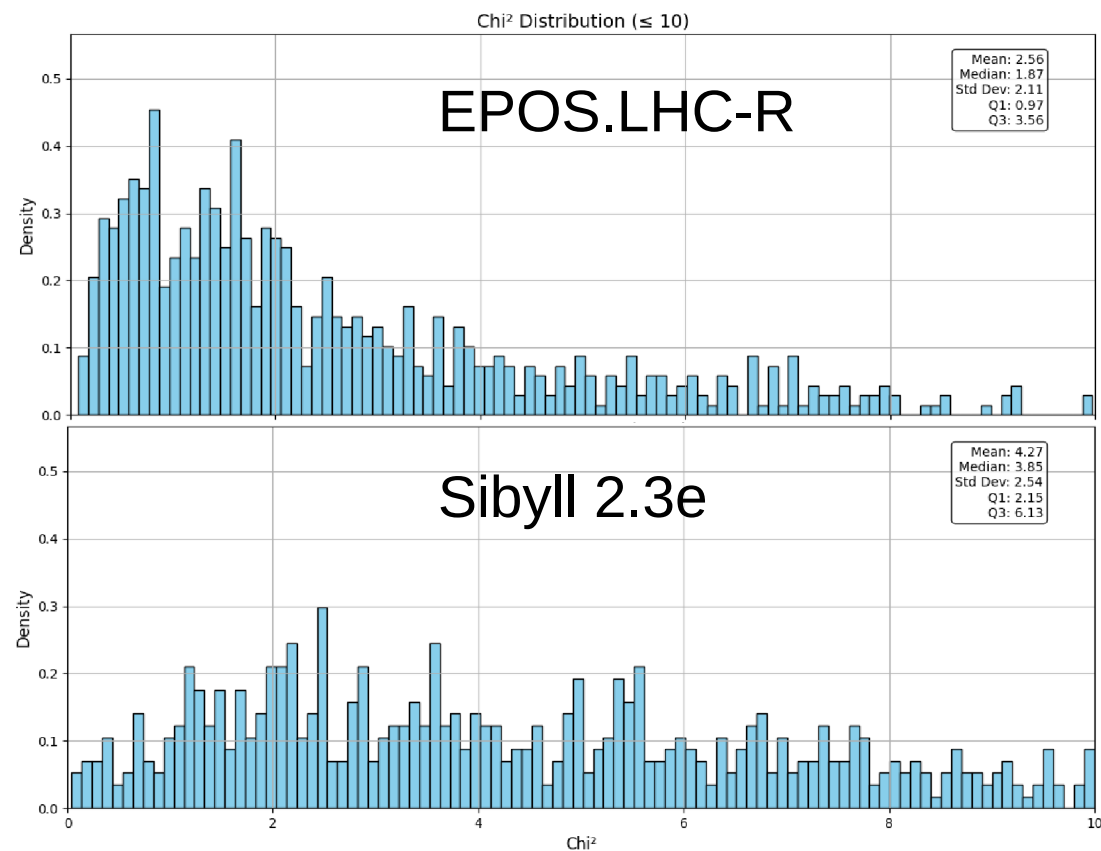


Plots by Kamil Delassoud

Still Large Differences

- ➔ Can the different predictions converge with simply more data ?
- ➔ Is there something missing in some models ?

➔ The models do not reproduce existing data equally well



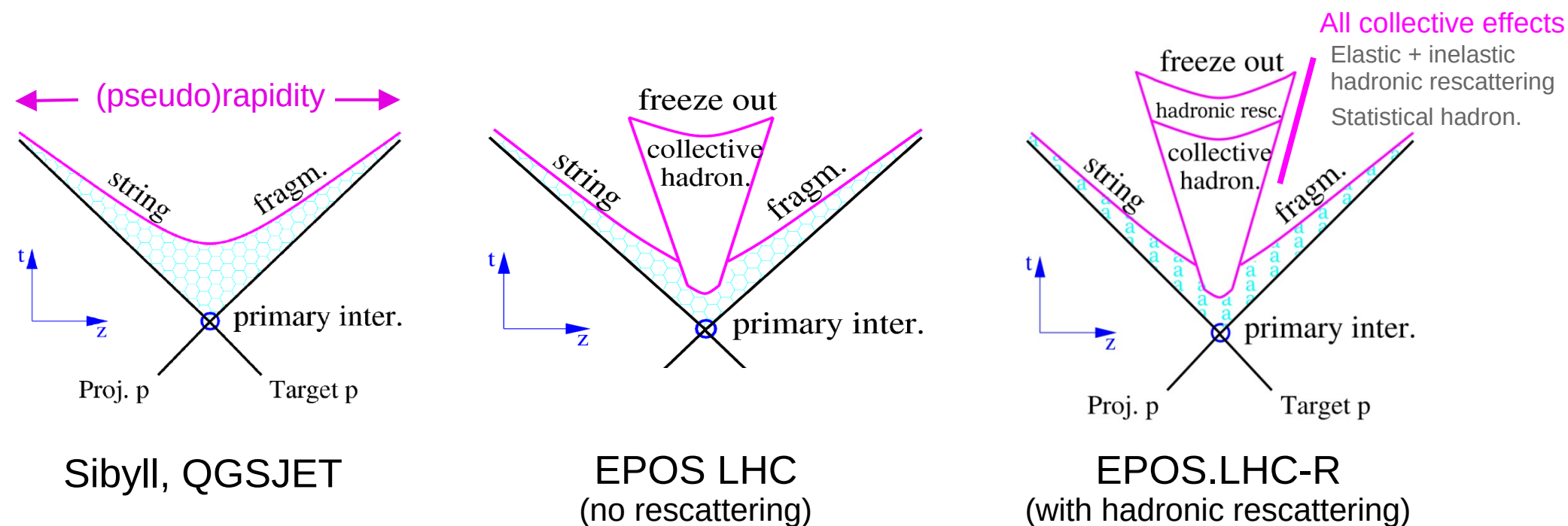
Plots by Kamil Delassoud

- ➔ Why is EPOS having more muons in EAS now while it doesn't over-produce baryons anymore (less baryons=less muons) ?

What means global approach ?

Global approach is the key !

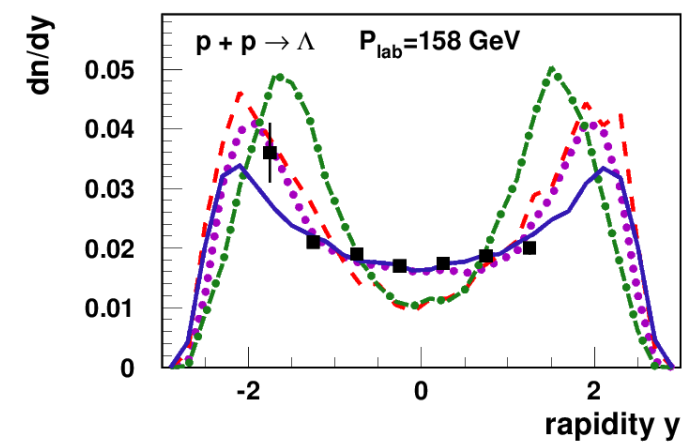
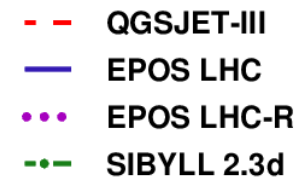
- ➔ Tuning models neglecting some physics process lead to wrong parameters !
- ➔ Proper tune possible to do, only if everything is taken into account
 - ➔ All collective effects considered for the first time in EPOS.LHC-R !
- ➔ Either with a direct impact on the shower development (new elasticity)
- ➔ Or no direct impact on the shower development but change model parameters... leading to different shower properties.



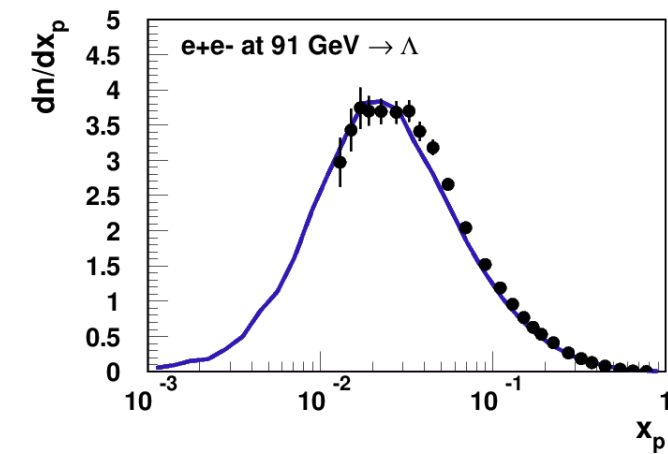
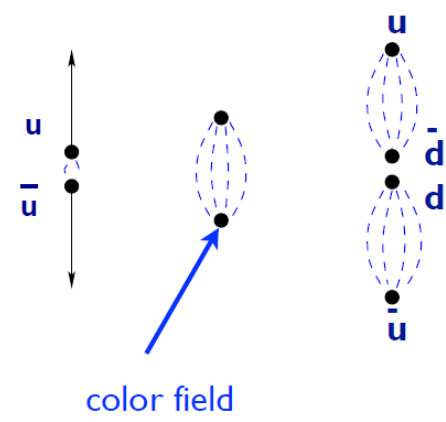
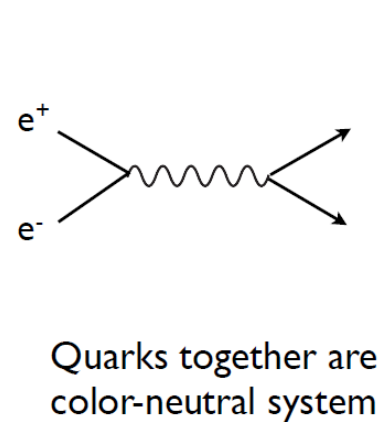
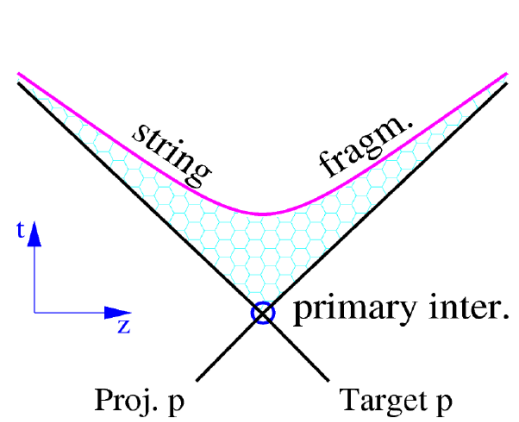
String Fragmentation

Global approach is the key !

- ➔ Common hadronization in all the models
- ➔ Parameters fixed on e⁺-e⁻ only possible in EPOS
 - Other CR models tuned on p-p data
 - ➔ "Contamination" by beam remnant
- ➔ Very important for forward particle production (EAS)
 - ➔ Used for beam remnant hadronization



Annihilation at high energy



Used in dilute systems = CORONA



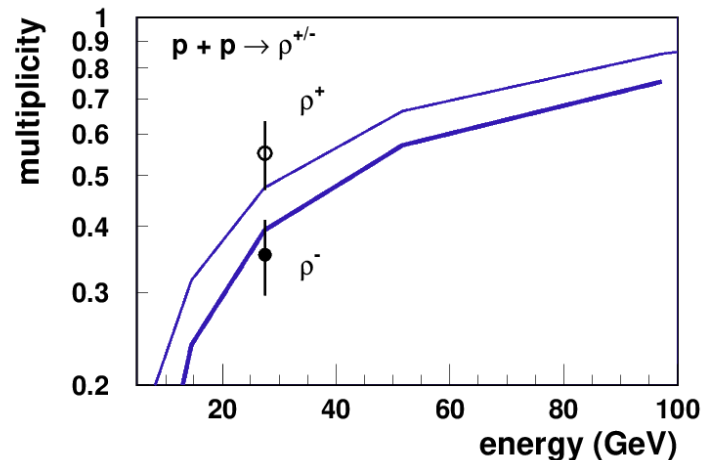
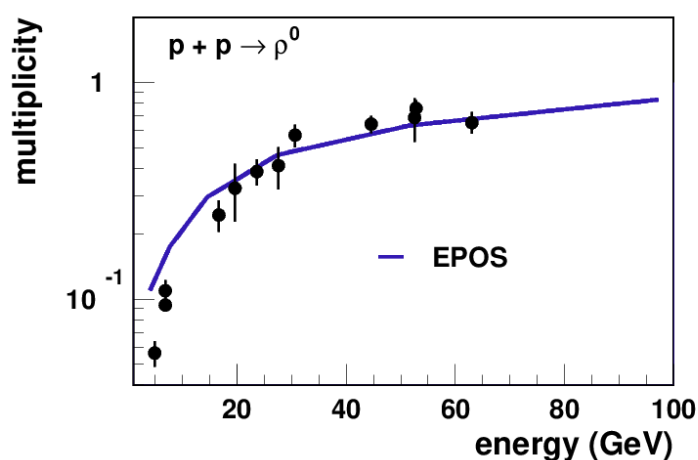
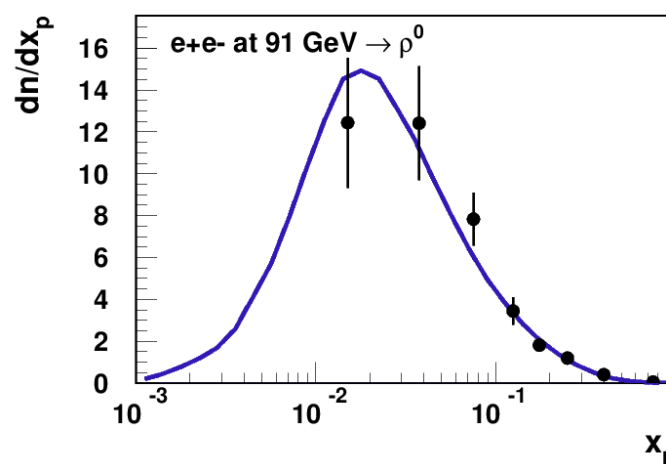
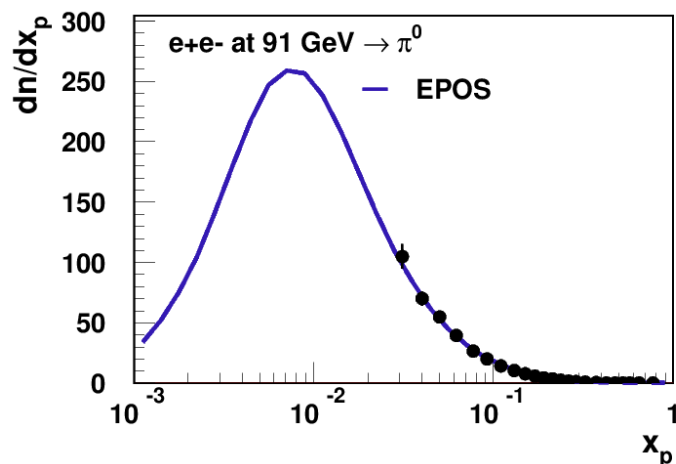
Generic “EPOS”

CR models usually tuned on hadronic interactions (not e+e- (LEP))

➔ Impose isospin symmetry ($u=d$) for pions, ρ s and nucleons **BEFORE** decay

➔ Produce only most common particles π , ρ and η and tuned to pp data

➔ LEP ~ OK but overestimate baryons and tension in h-A for ρ



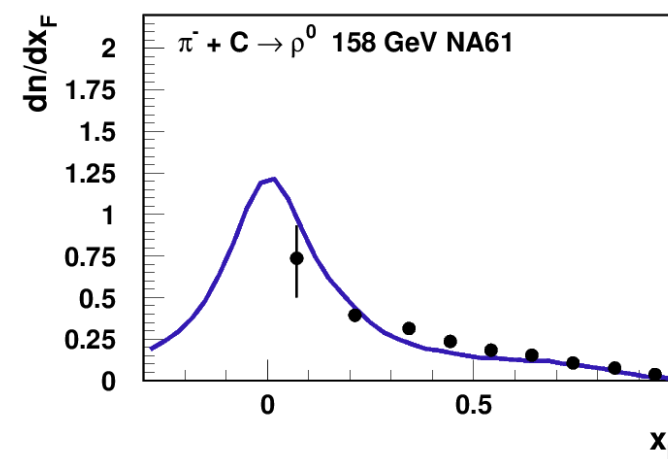
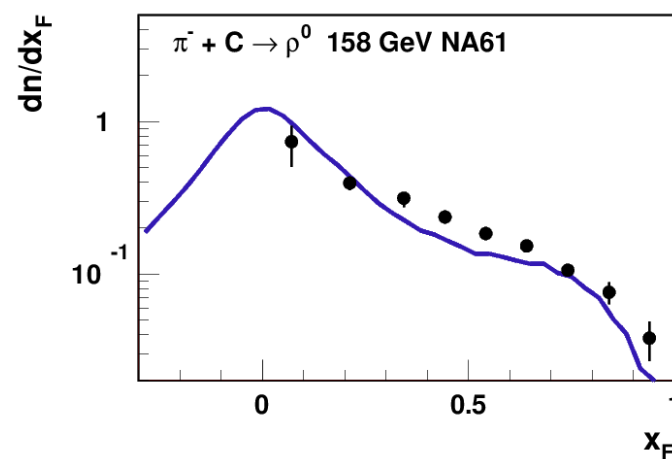
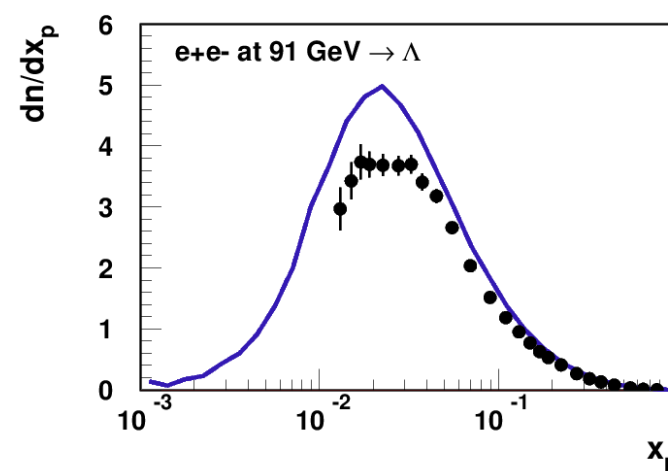
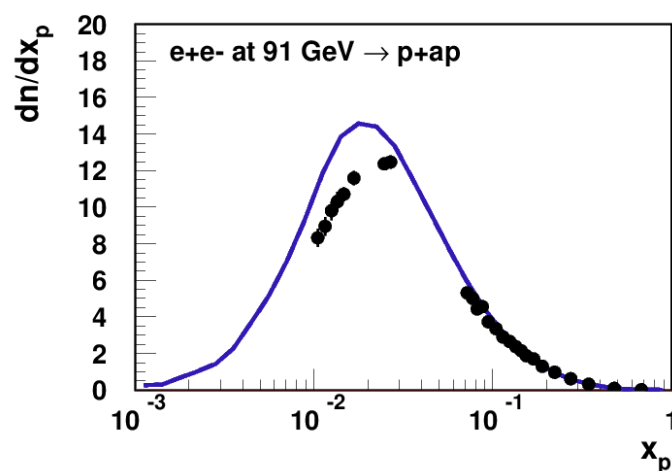
Generic CR tuning

CR models usually tuned on hadronic interactions (not e+e- (LEP))

➔ Impose isospin symmetry ($u=d$) for pions, ρ s and nucleons **BEFORE** decay

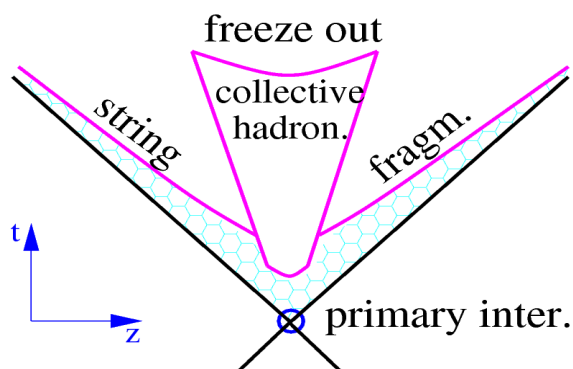
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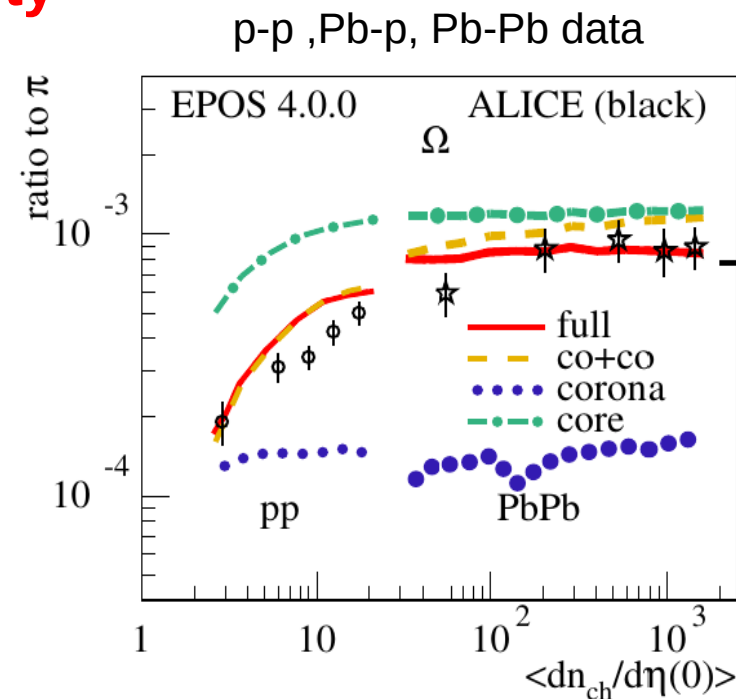
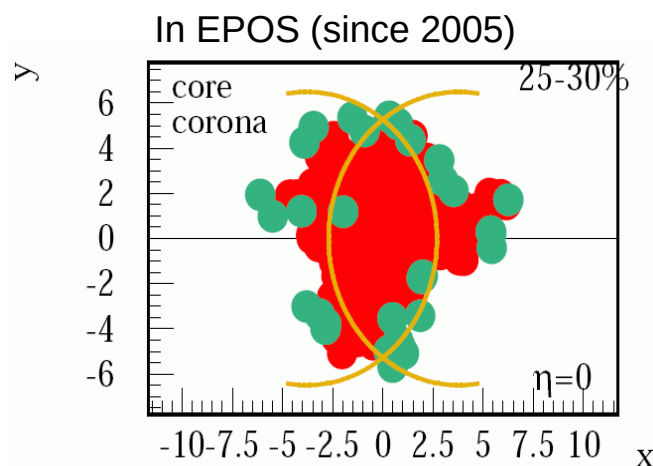
Core-Corona (co-co)

- **Core hadronization** = thermal hadronization of Quark Gluon Plasma
- Mixing of core and **corona hadronization (string)** needed to achieve detailed description of p-p data (K.Werner, Phys.Rev.C 109 (2024) 1, 014910)
 - ➔ Evolution of particle ratios from pp to PbPb
 - ➔ Particle correlations (ridge, Bose Einstein correlations)
 - ➔ Pt evolution, ...
- **Both hadronizations are universal but the fraction of each change with particle density**



Difference high/low multiplicity

- **2 simultaneous source of particles**

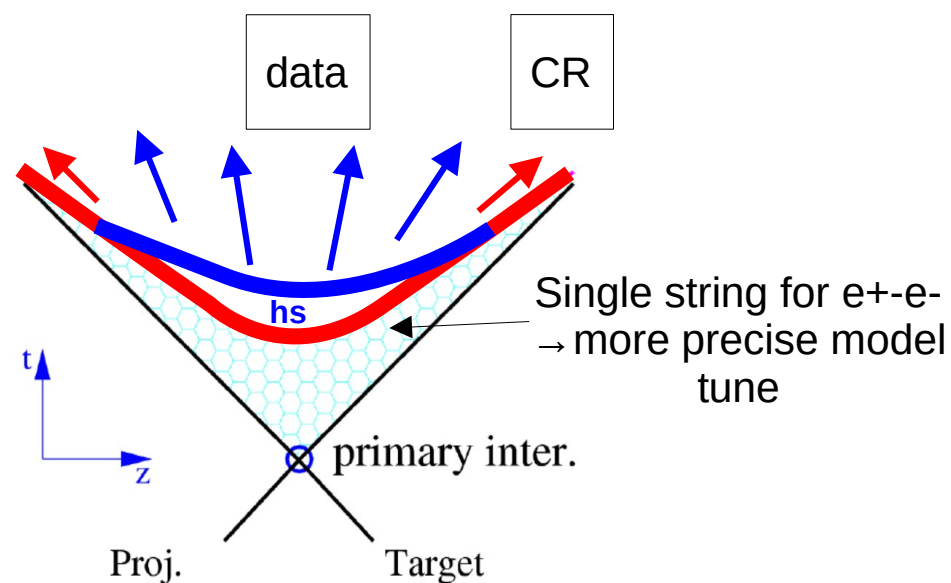
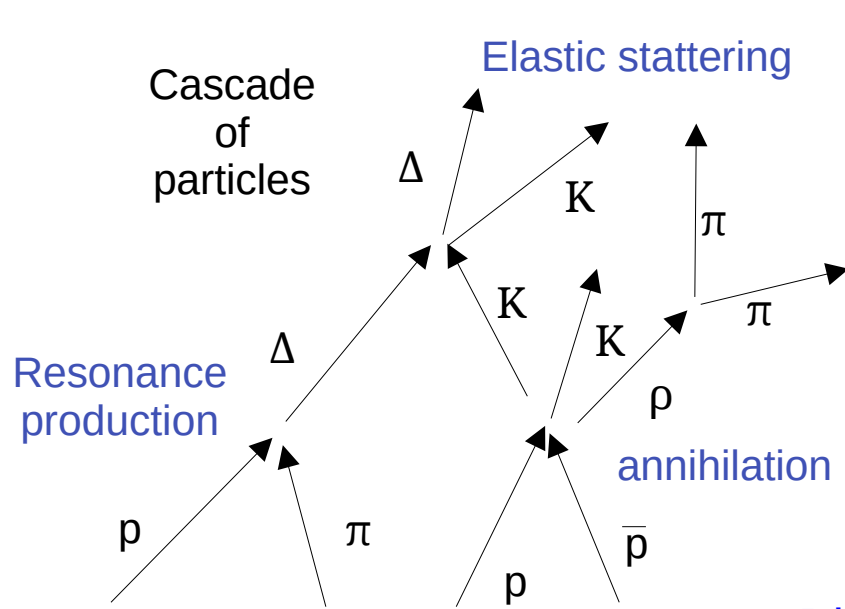


Hadronic reScattering (HS)

Important effect when particles are close to each others

- ➔ Re-interaction of hadrons* after parton hadronization (space-time evolution)
- ➔ “traditionally” used only for heavy ion collisions (even NOT in pp until recently)
- ➔ Impact “slow” particles at mid-rapidity more than “fast” forward particles
- ➔ Significant to large impact at midrapidity in heavy ion collisions !

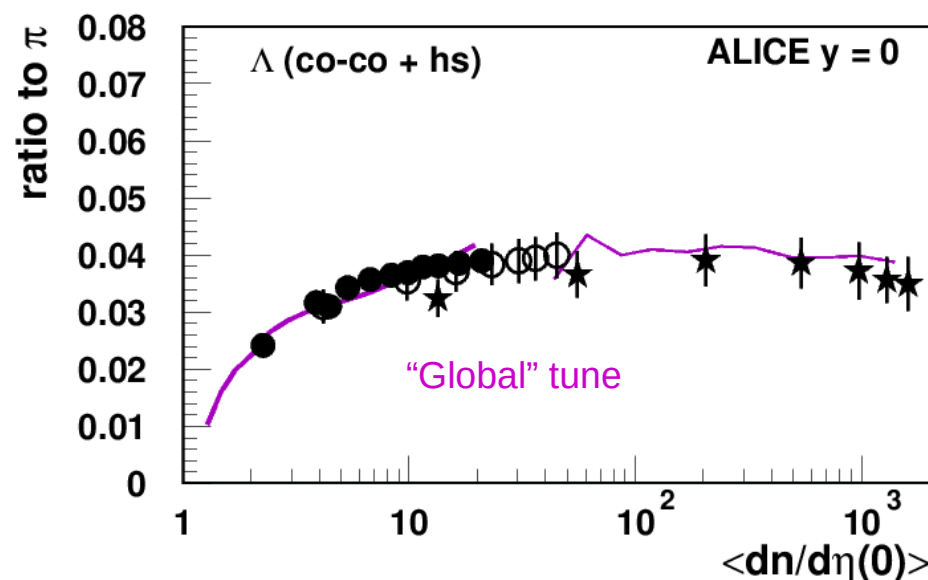
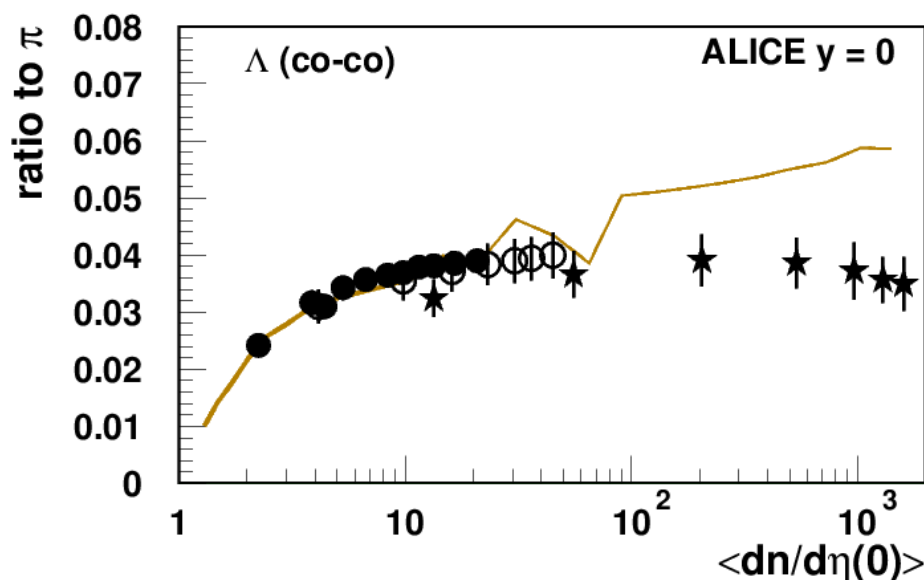
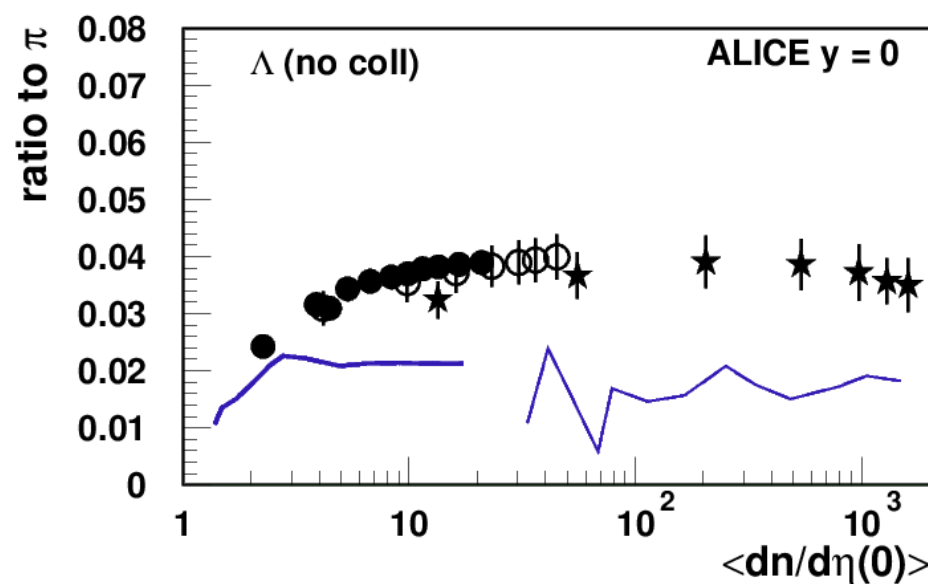
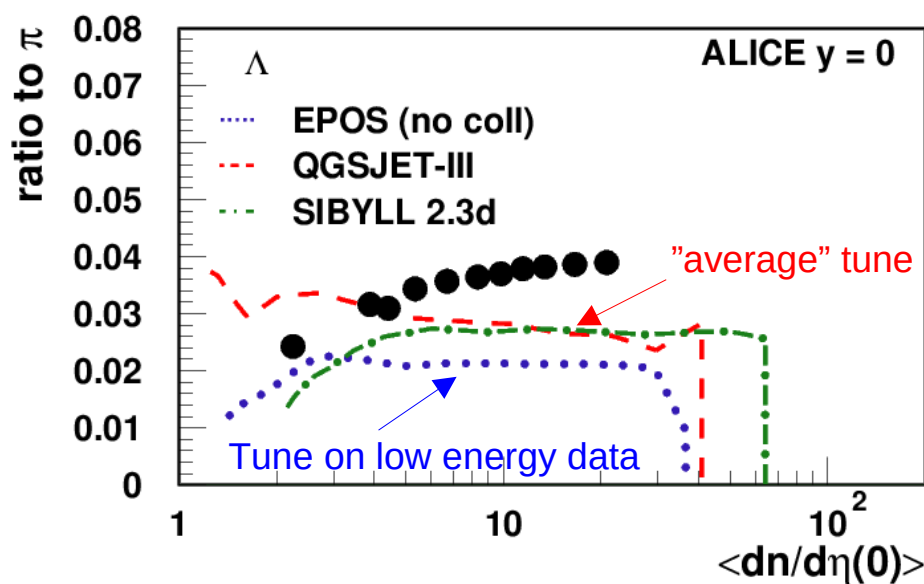
➔ Applied to all system (from e⁺-e⁻ to PbPb) now !



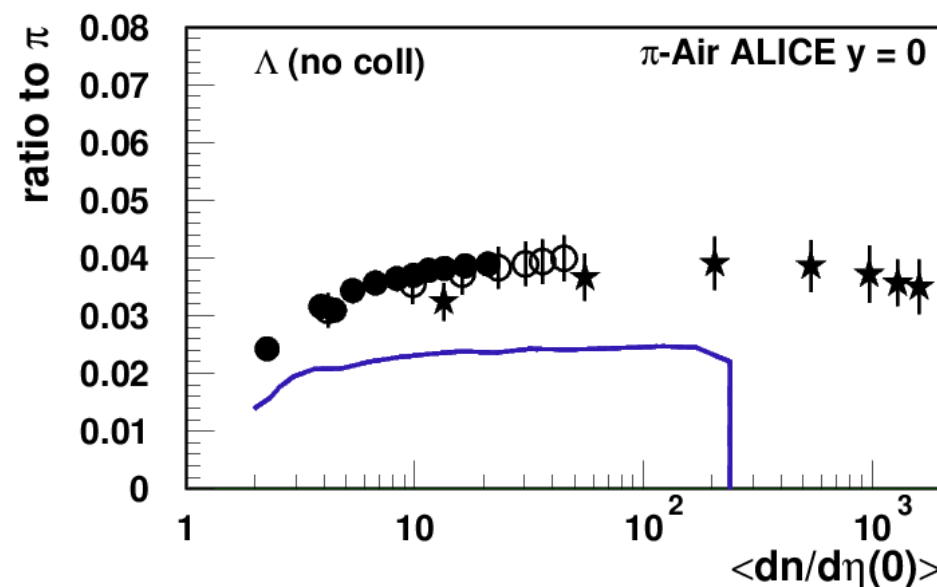
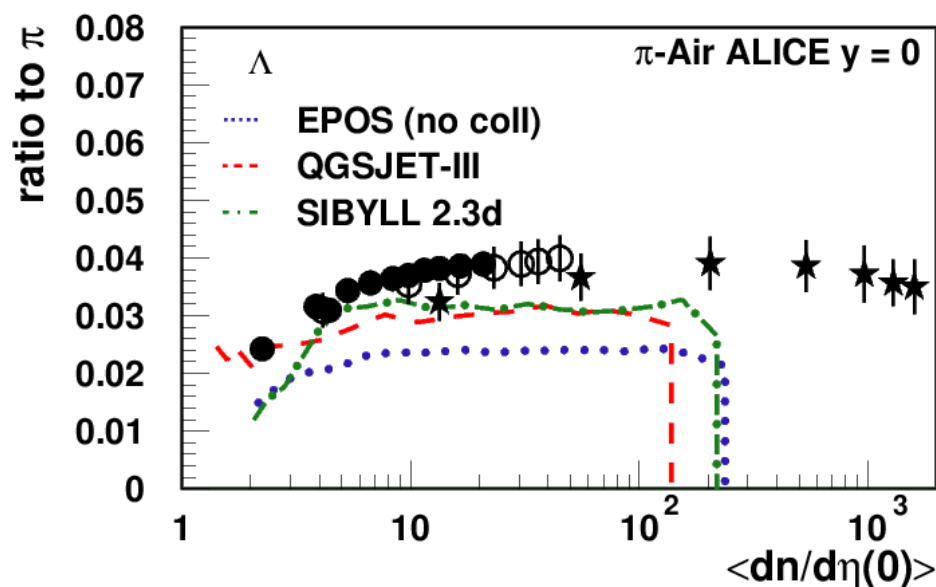
Difference small/large rapidity (emission angle)

* using UrQMD 3.4, Bleicher et al., J.Phys. G25 (1999) 1859

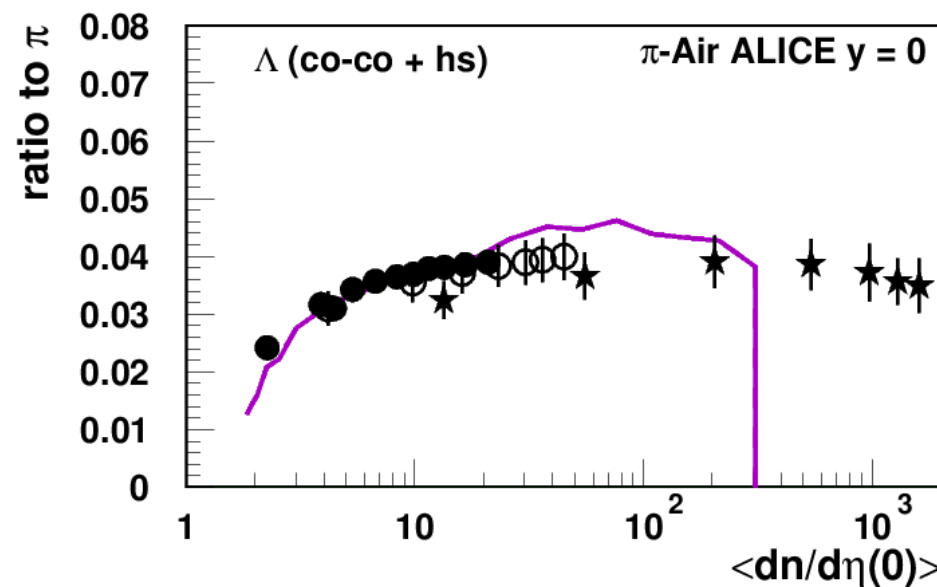
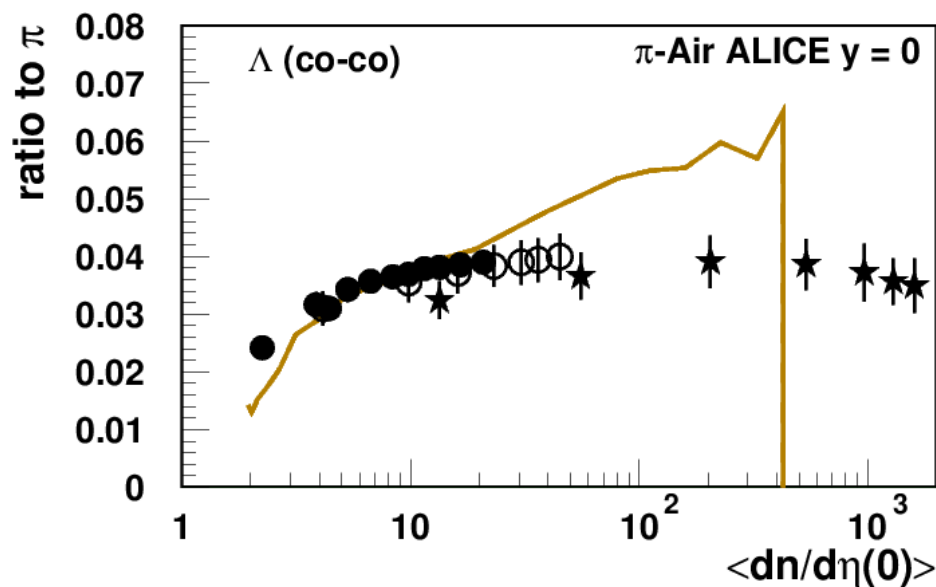
Example: Lambda particle in p-p and Pb-Pb @ LHC



Example: Lambda particle in π -Air @ all energies



These data can be used to constrain the model only if all effects are taken into account !

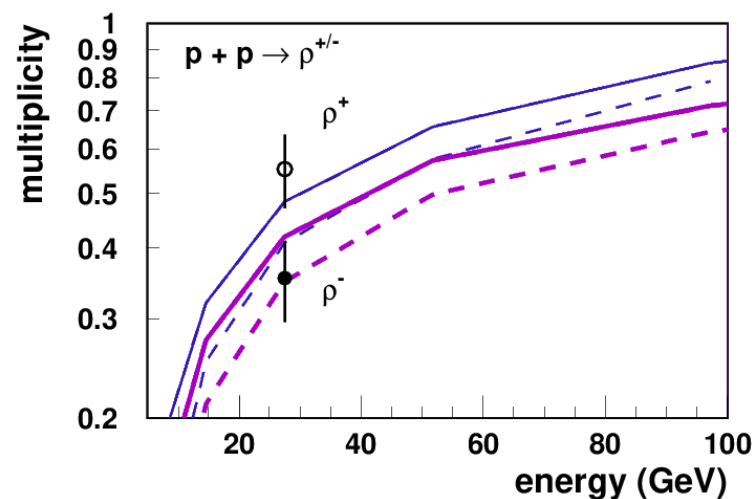
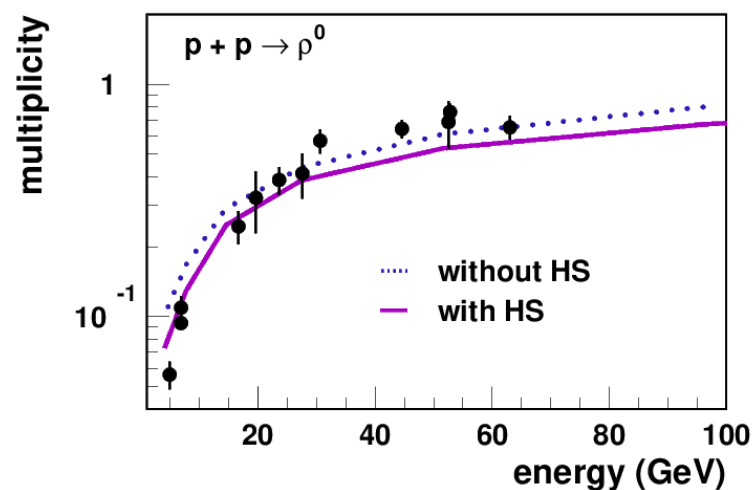
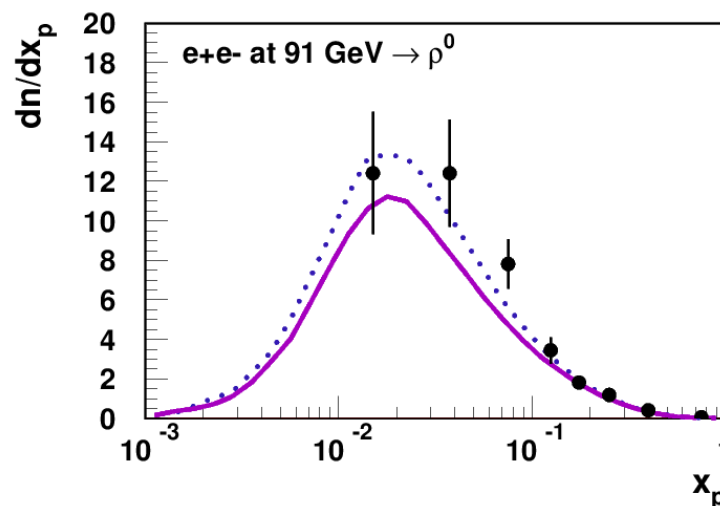
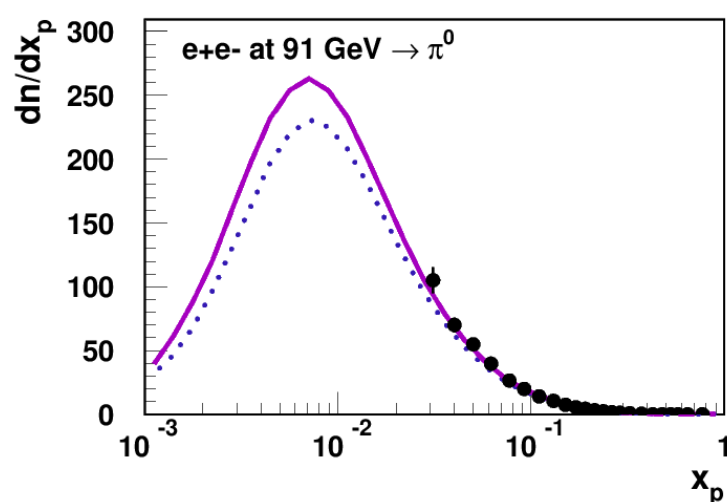


Impact of HS on light systems

If short hadronization time (~ 0.5 fm/c), particles close enough to interact

→ Small but significant effect even in e^+e^- interactions

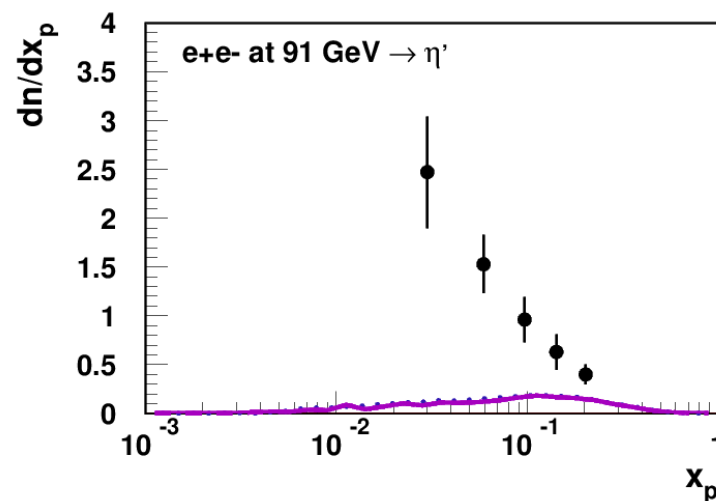
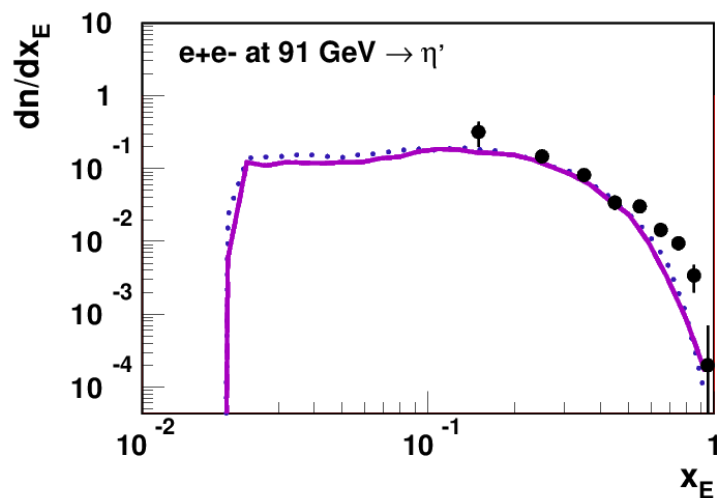
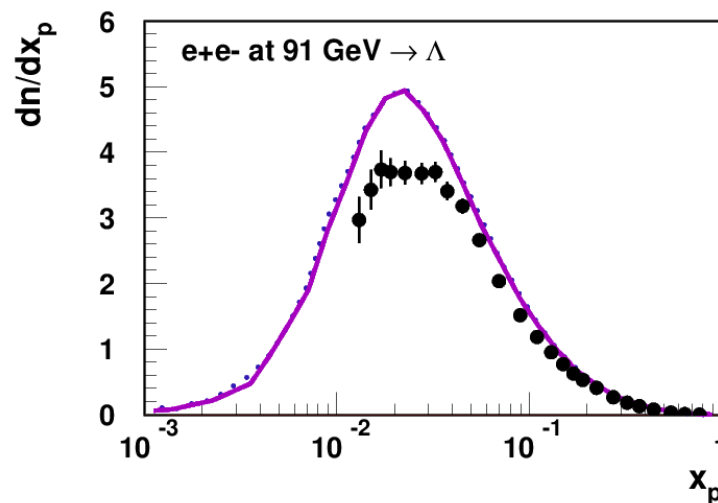
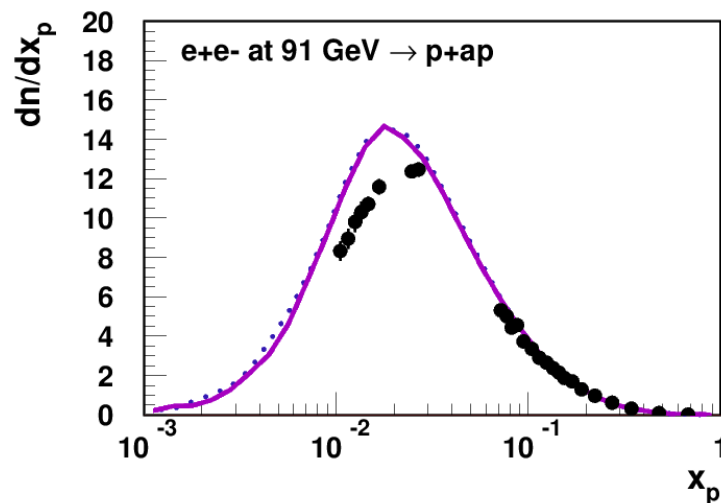
→ Reduce ρ resonances and increase pions



LEP data

If short hadronization time (~ 0.5 fm/c), particles close enough to interact

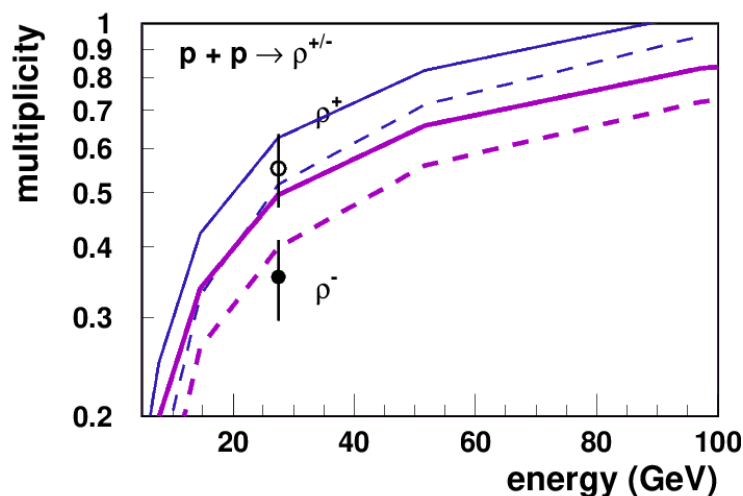
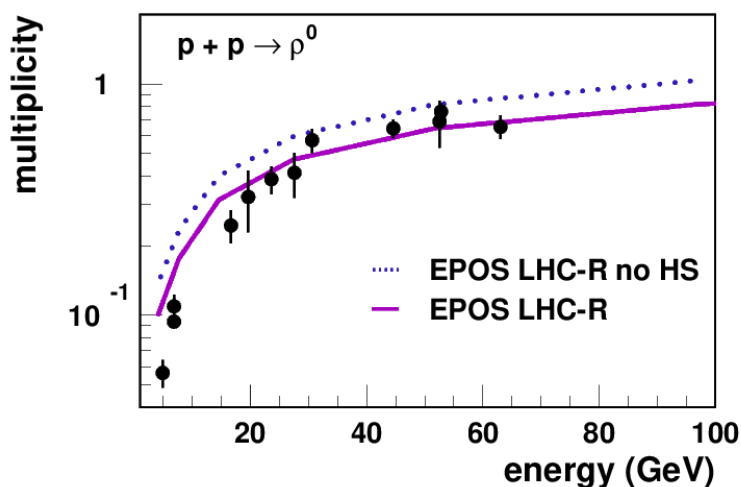
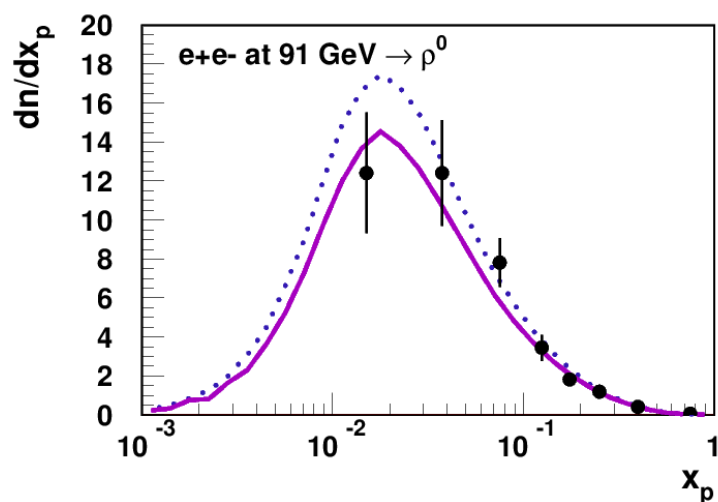
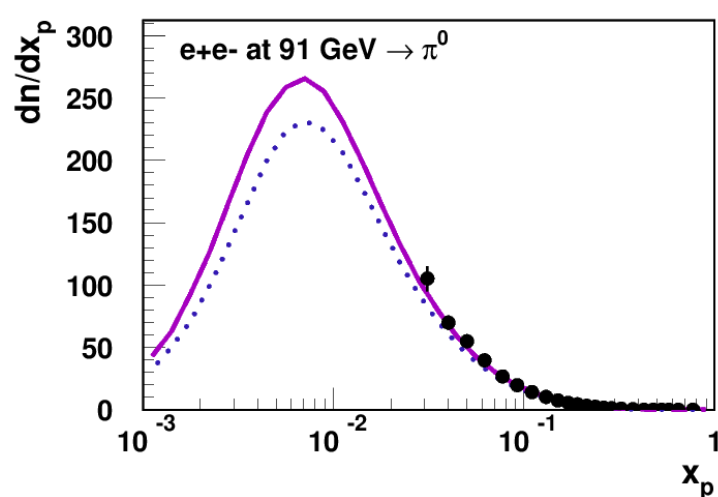
- ➔ Small but significant effect even in e^+e^- interactions
- ➔ More data could be considered if LEP data are used



Retune basic parameters with HS and LEP

EPOS.LHC-R uses experimental constraints from LEP

➔ Increase contribution of ρ s to compensate the effect of HS

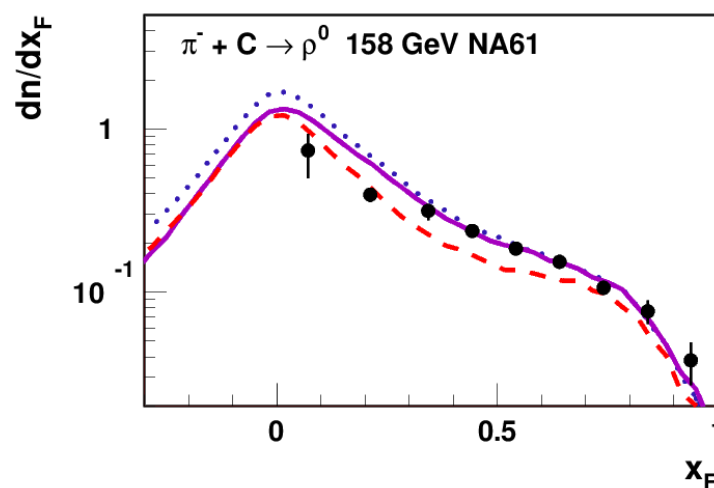
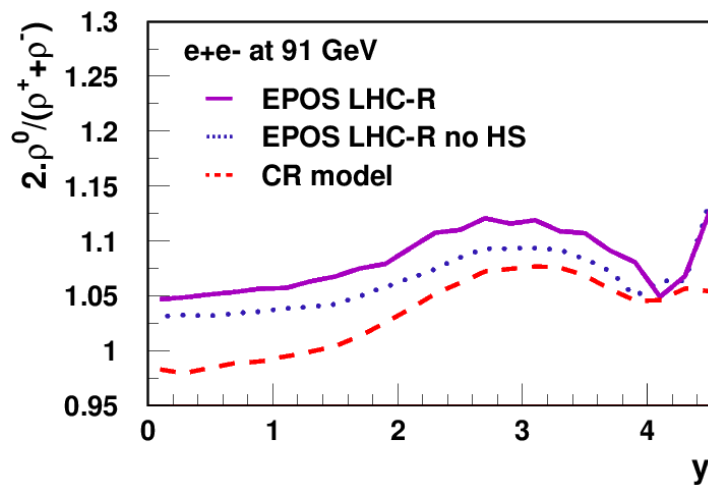
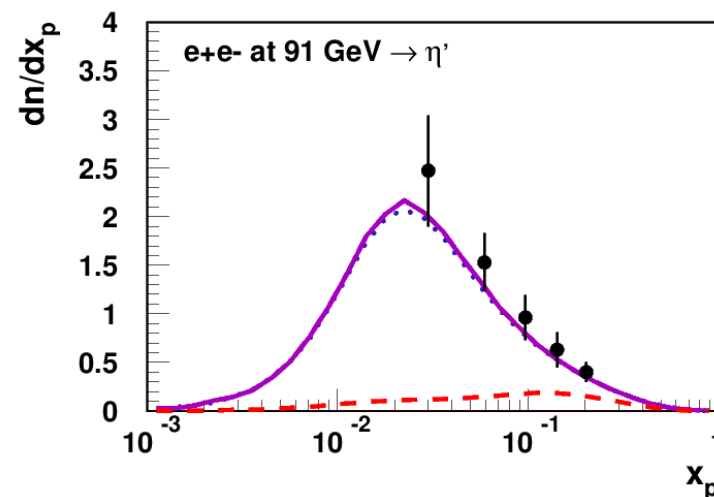
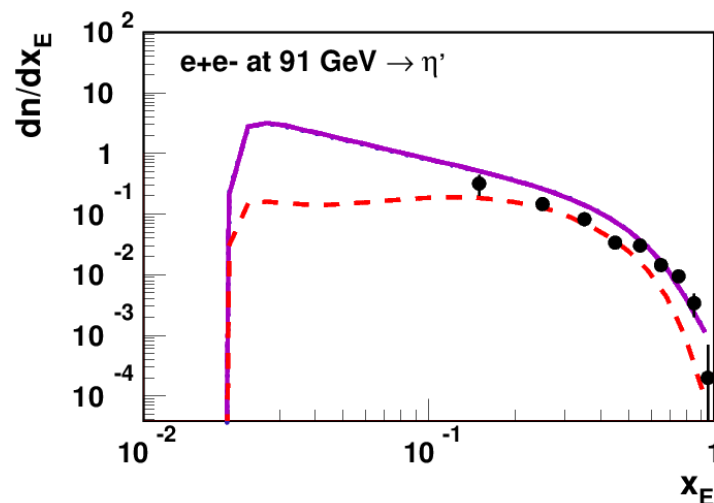


Retune basic parameters with HS and LEP

EPOS.LHC-R uses experimental constraints from LEP

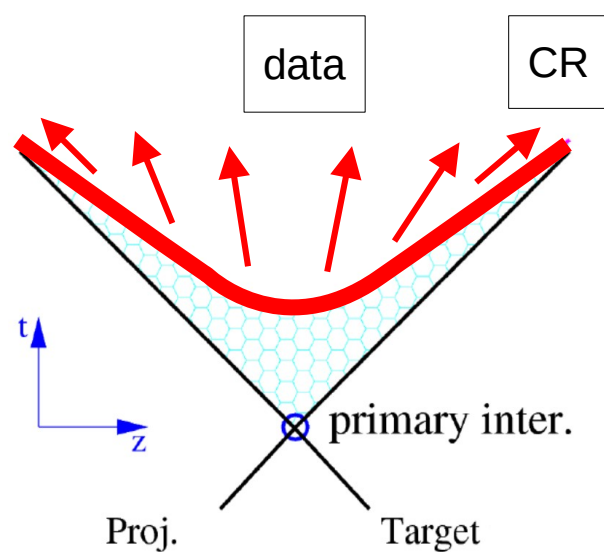
→ Produce η' and f_0 in addition to η : change asymmetry for ρ (and π)

→ Effect on muon production in air showers !

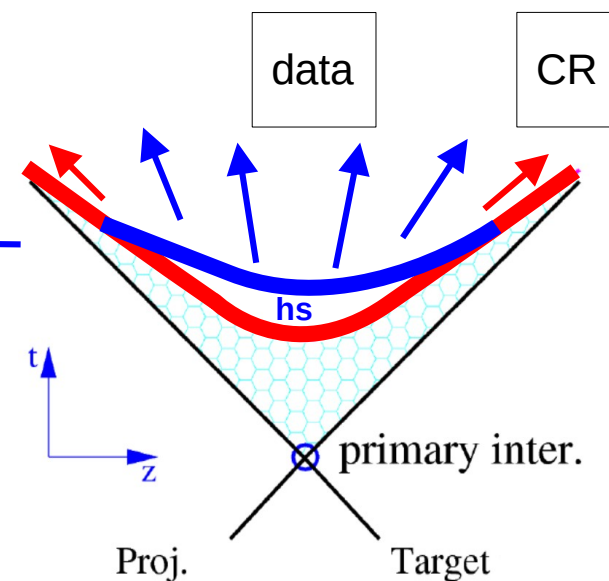
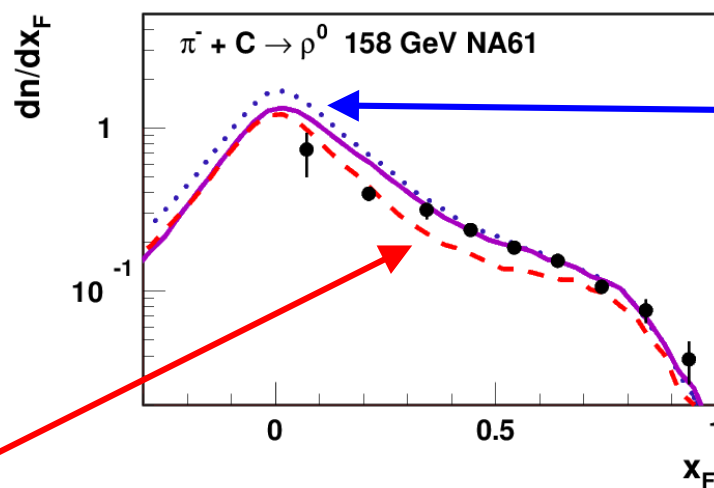


What is really new ?

- ➔ Changed ratio between π and ρ in string fragmentation depending on phase-space
 - ➔ Forward particle production not the same than at mid-rapidity
- ➔ If the effect is not taken into account
 - Either overestimate ρ production compared to data (“bad tune”)
 - Or underestimate forward production of ρ^0 to get it right for mid-rapidity data



No collective effects



Hadronic reScattering (hs)

Global Approach

Collective effects are important to tune properly the models !

➔ Change multiplicity/elasticity and hadronization depending on **particle density**

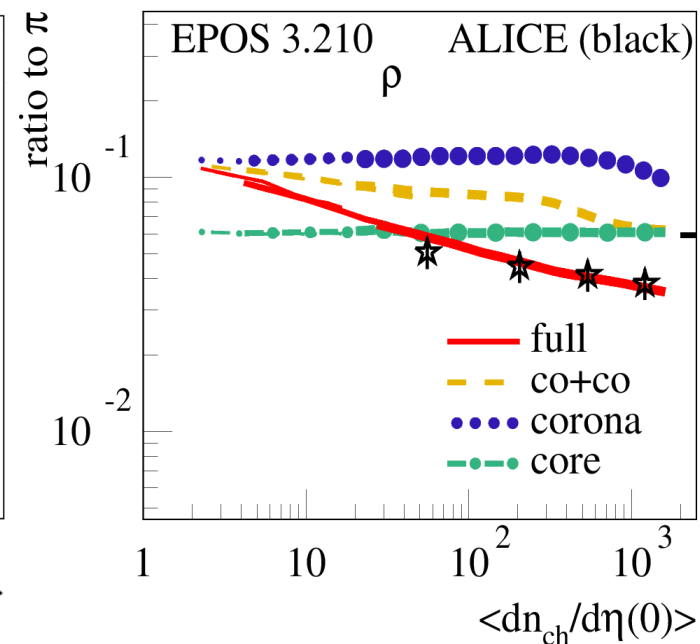
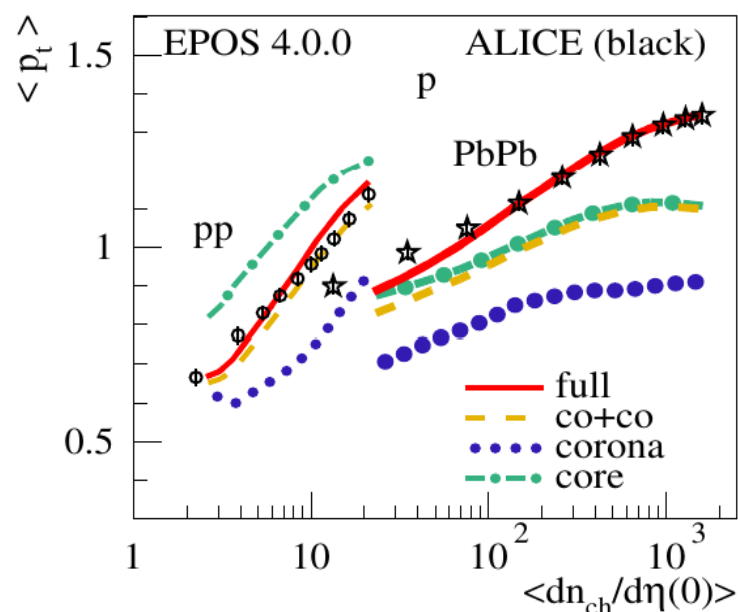
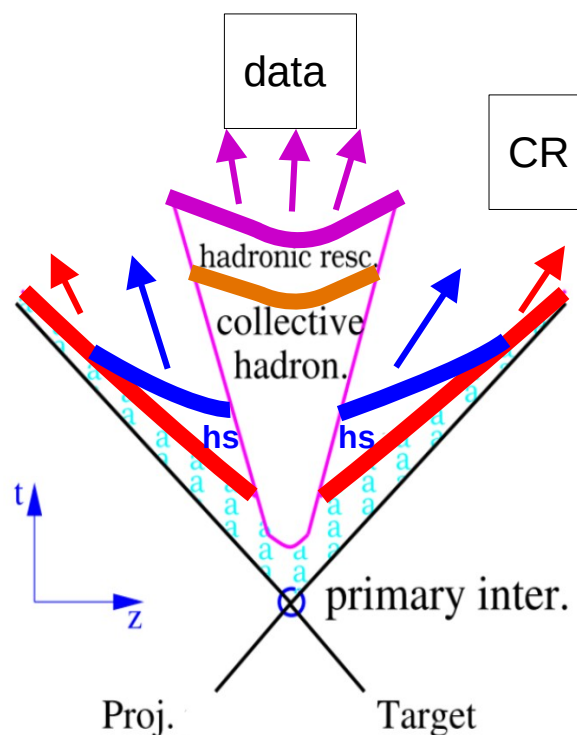
- Introduce energy + centrality dependence in accelerator data comparisons

- Modify model parameters

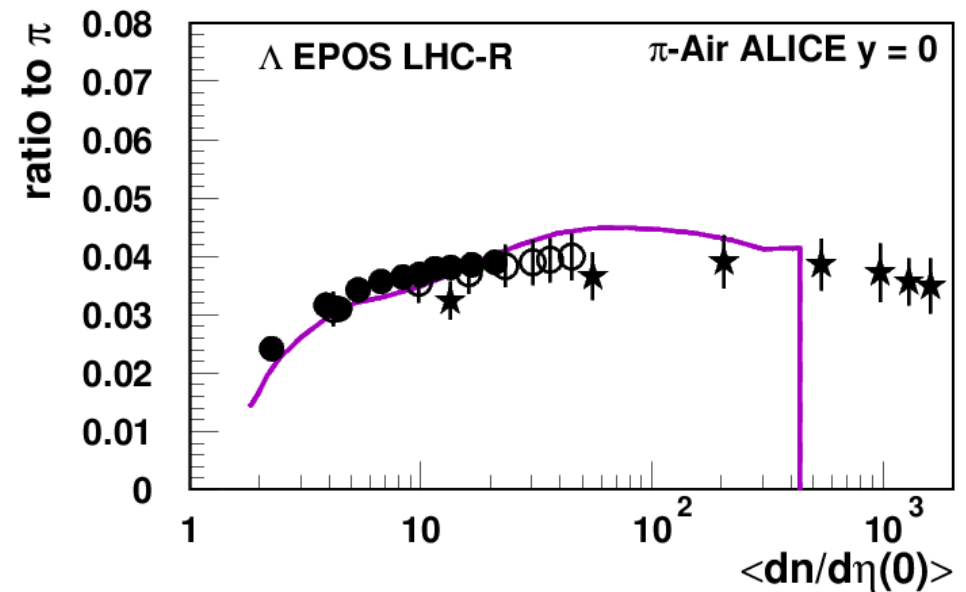
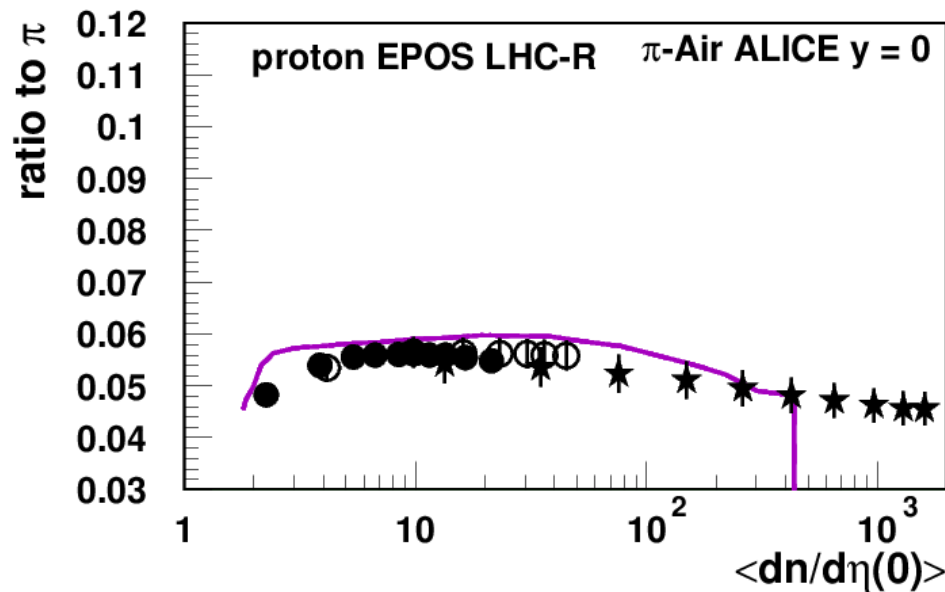
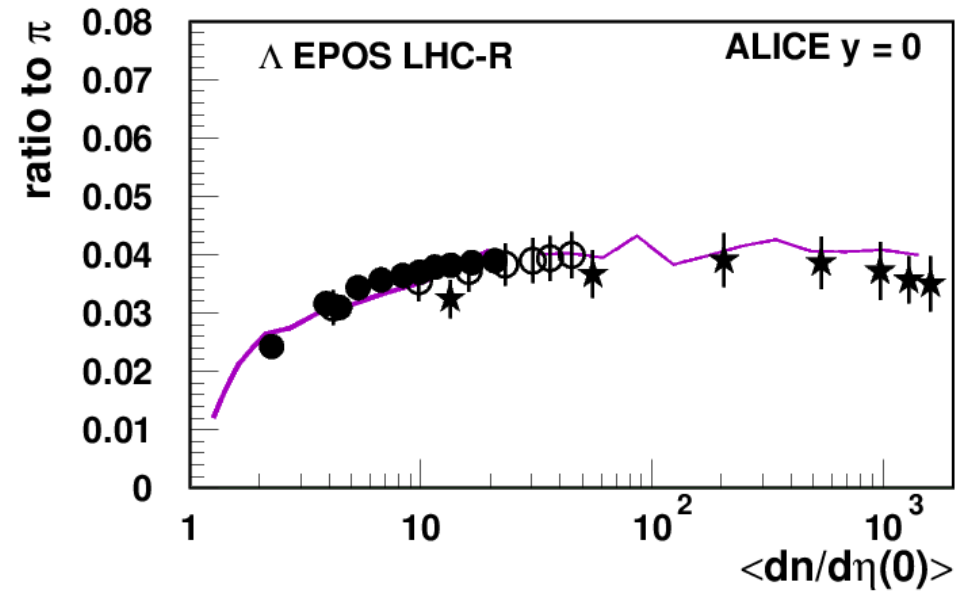
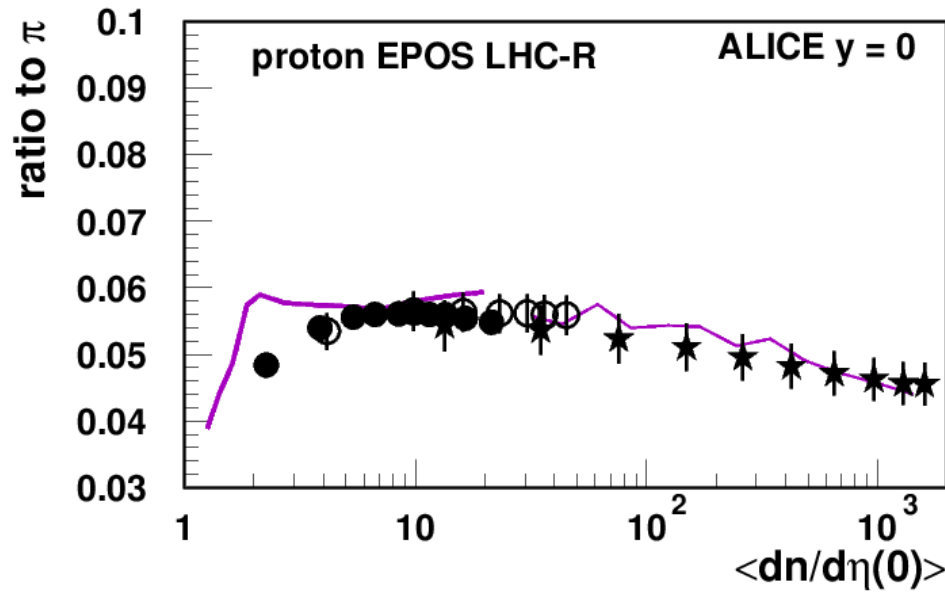
- ➔ **Multiple mid-rapidity particle production mechanisms**

➔ Change particle ratios in string fragmentation depending on **phase-space**

- ➔ **Forward particle production not the same than at mid-rapidity**



Check ALICE data



Impact on Air Showers

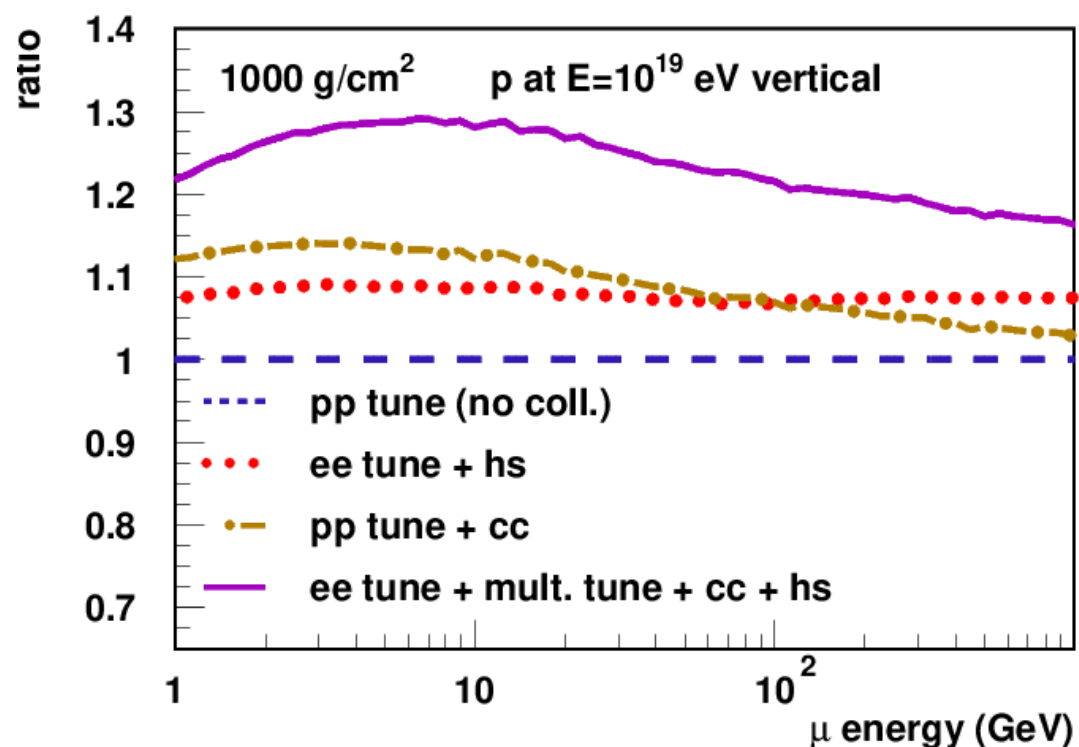
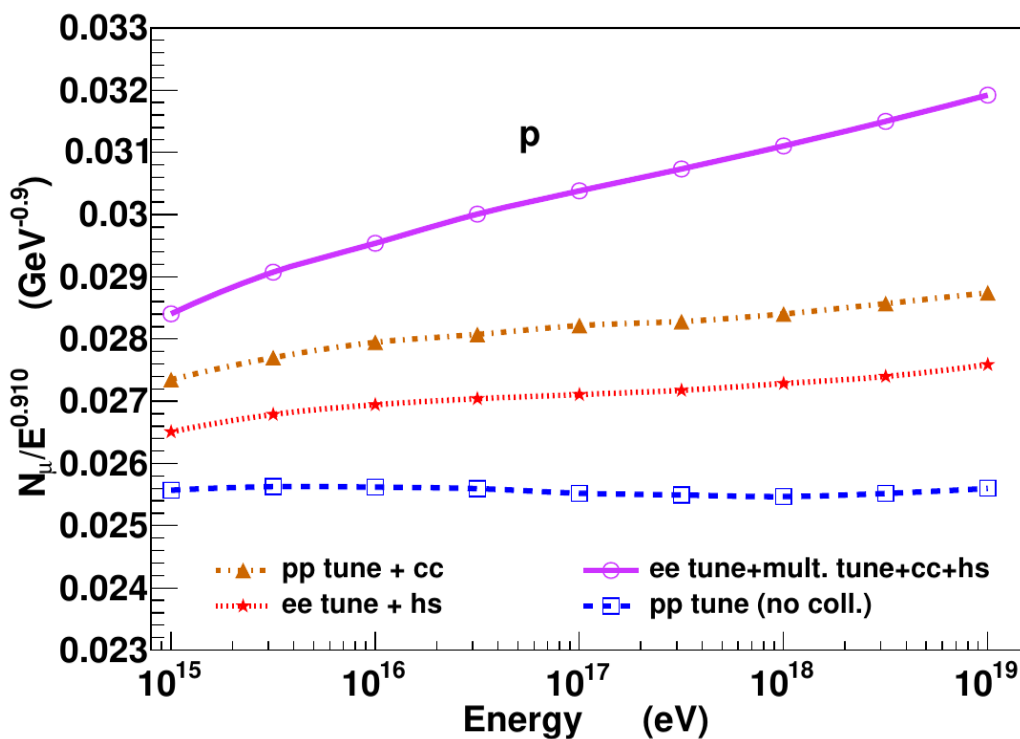
Changes with new tune taking into account collective effects (LHC)

➔ Increase the number of muons by ... 10 to 20% (different slope) !

- ➔ Impact of core-corona on baryon/strangeness prod. AND change in multiplicity/elasticity to accommodate hydrodynamical evolution (flow)
- ➔ Impact of tune based on full LEP data with hs instead of just p-p/p-A

➔ Change in muon energy spectrum !

- ➔ More baryon from core-corona (low energy μ) and more ρ^0 (all μ)



Everything Solved ?

Extensive analysis with EPOS.LHC-R on Auger data

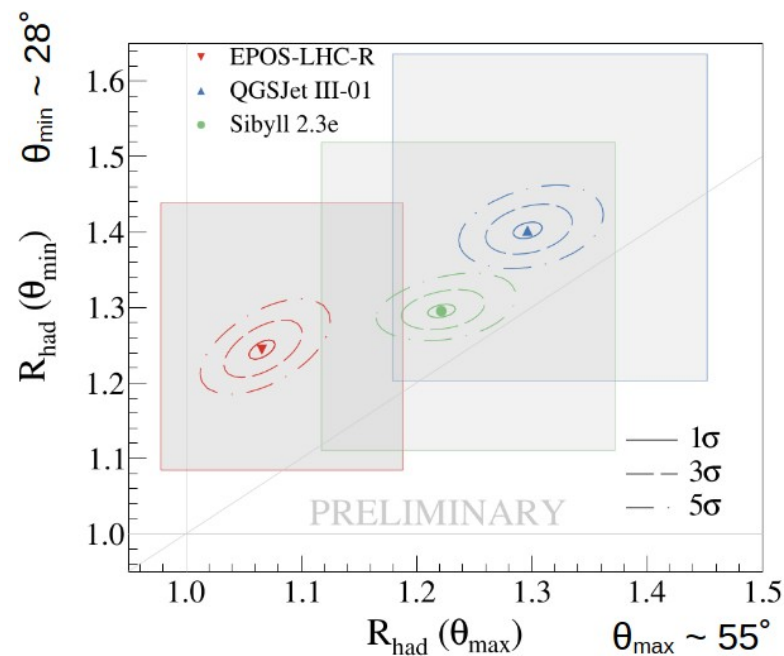
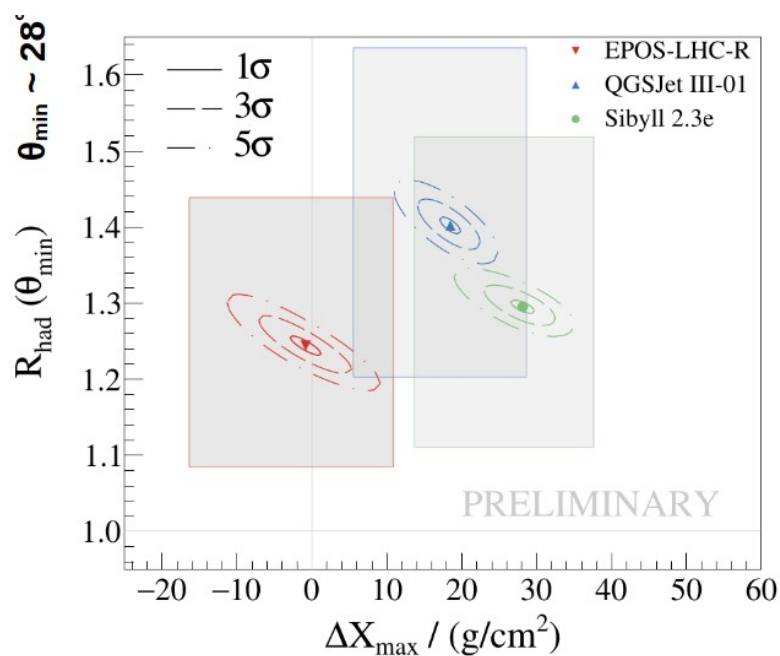
➔ Deep X_{\max} improve data description

- Better composition fit with X_{\max}
- Better correlation between X_{\max} and ground signal

➔ Increased number of muons OK for inclined showers but **not for vertical showers !**

- Changed zenith angle dependence compared to other models

➔ Pion cross-section too low !



New p+O and O+O Data

New data at 9.62 TeV for proton-Oxygen and 5.36 TeV for Oxygen-Oxygen collisions

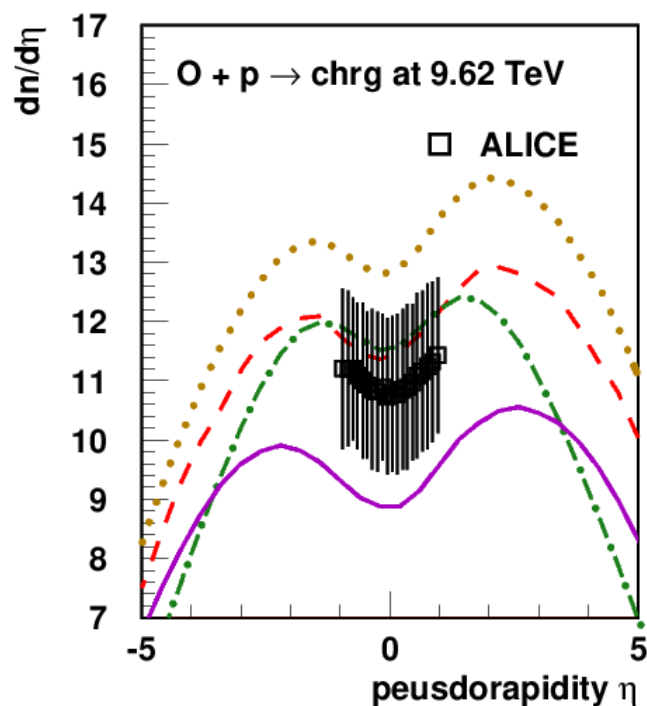
➔ Data taken last July ! Not much data/analysis on p+O yet

➔ Preliminary comparison : **tensions between data sets !**

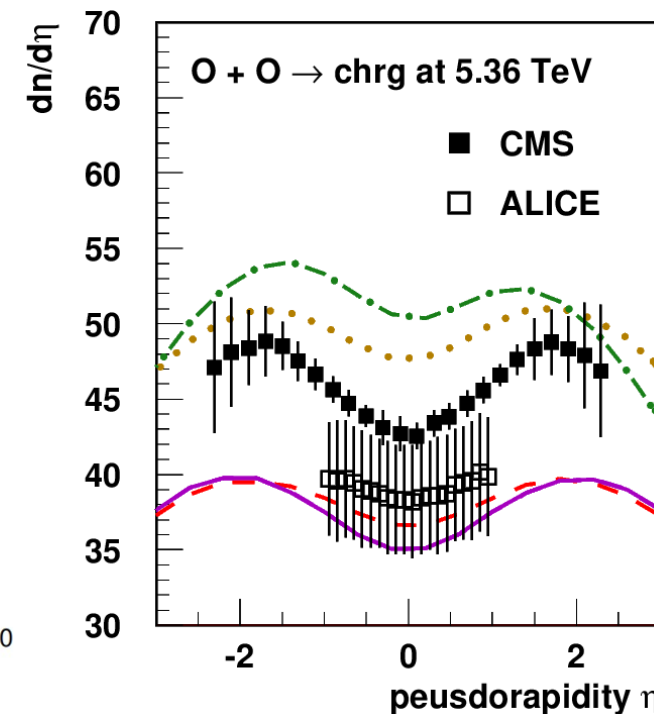
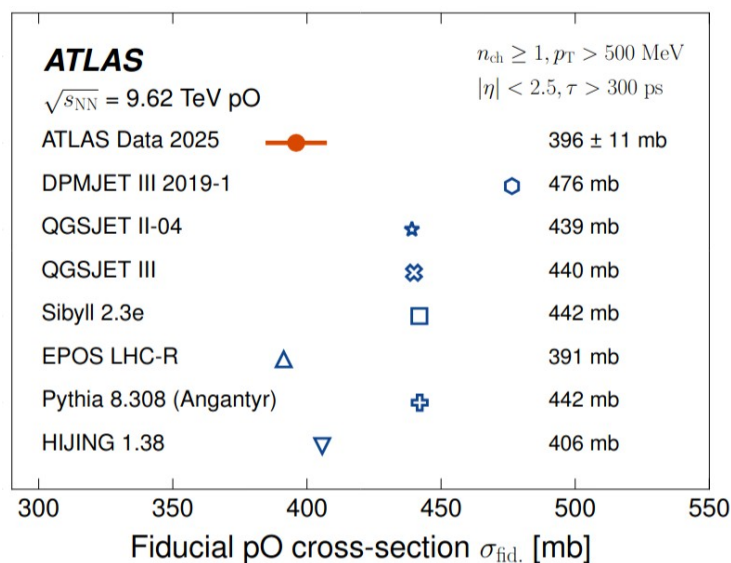
➔ **EPOS.LHC-R** a bit low for p-O, **Sibyll** too high for O-O

➔ **QGSJETIII** OK but bug for Iron simulations !

--- Sibyll 2.3e
 ... QGSJETII-04
 --- QGSJETIII
 --- EPOS.LHC-R



ATLAS p-O cross-section is low !



Last step toward solving the muon puzzle...

ATROPOS*, new model to do string fragmentation by Roman Nikolaenko

➔ Complete new model (first time since decades) taking into account angular momentum conservation (new !) introduced in EPOS: EPOS.LHC-A

- Tuned on same data (e+e-)

- Isospin symmetry broken by new constraint on angular momentum: $\rho^0/\rho^{+/-} > 0.6 !$

➔ Muon production further enhanced

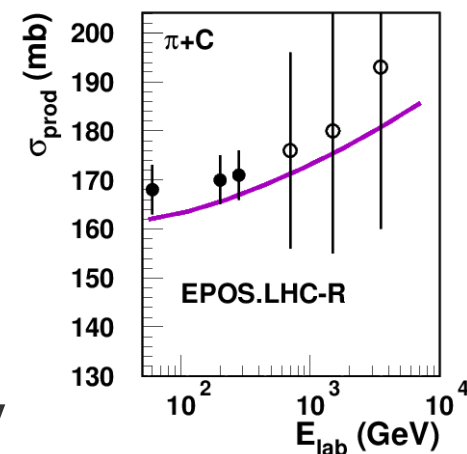
Retune using p-O and O-O data and other very recent data

➔ ATROPOS change string multiplicity and particle phase space

- ➔ Impact on basic parameters

- ➔ Impact on core-corona

➔ Better description of low mass systems



Increase pion cross-section and inelasticity at low energy

➔ Higher cross-section produce more low energy muons missing in current version

➔ No impact on X_{\max} if energy dependence can be changed (cross-section and/or elasticity)

Outlook

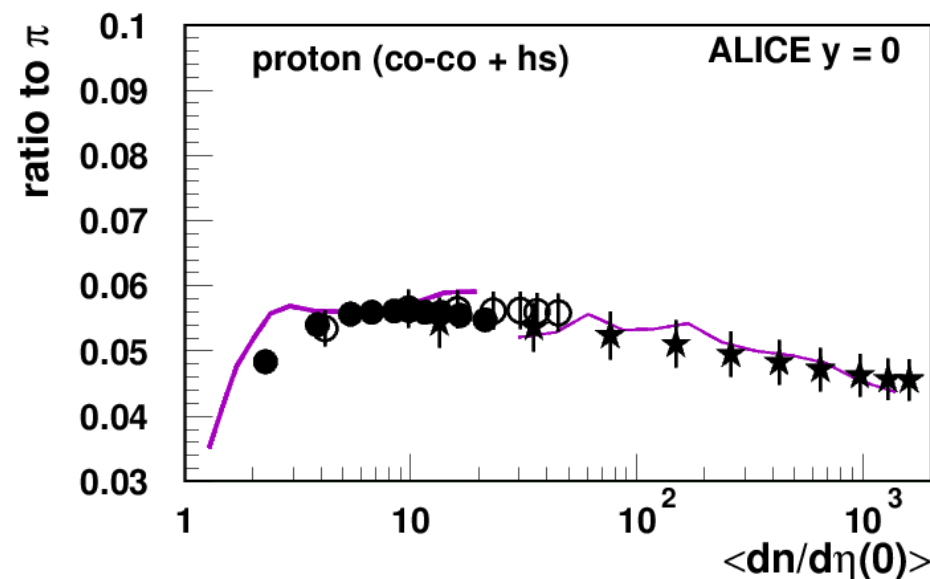
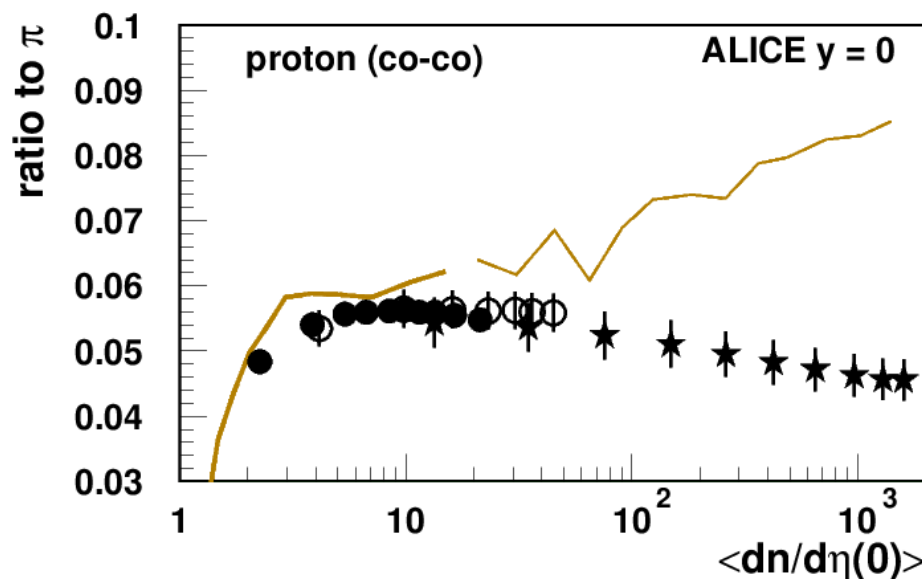
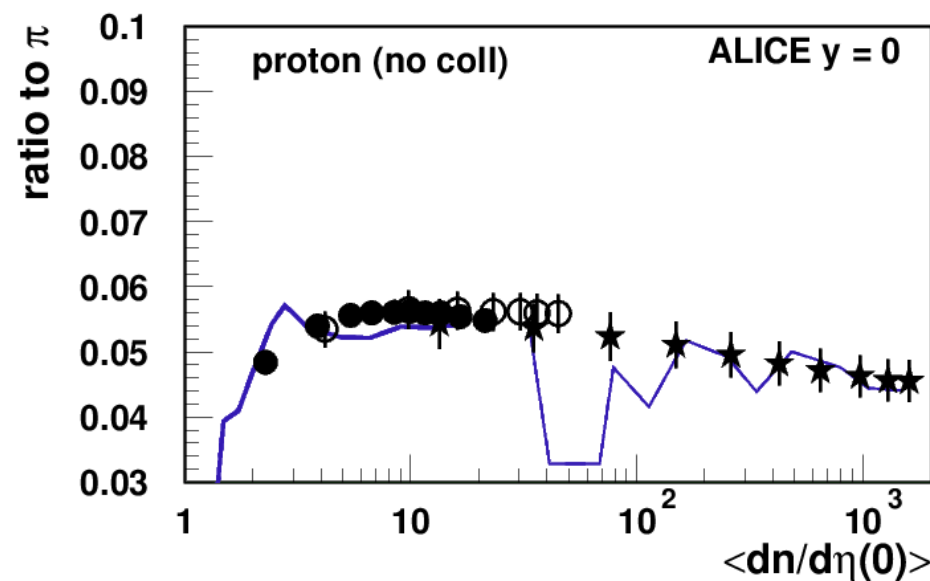
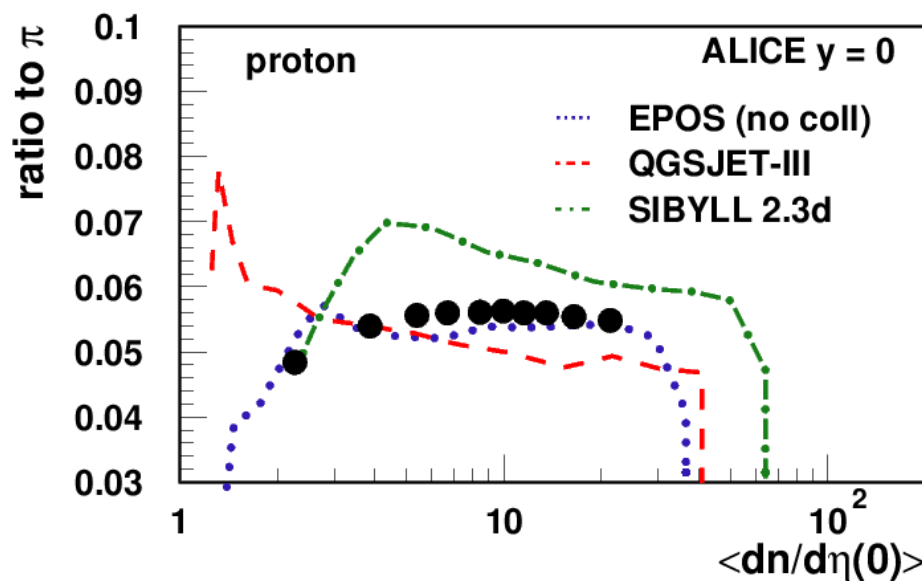
- Updated results of cross-sections, multiplicity and diffraction using a global approach in EPOS.LHC-R
 - ➔ Large impact on X_{\max}
 - ➔ Larger $\langle \ln A \rangle$ (heavier primary mass → reduce “muon puzzle”)
- Details of hadronization matters
 - ➔ Important role of resonances
 - ➔ $\rho^0/\rho^{+/-}$ impacted by hadronic rescattering and decay of higher mass res.
 - ➔ Evolution of strangeness with multiplicity
 - ➔ Different type of hadronization in core = more muons
 - ➔ **Combination of the 3 effects necessary to solve the muon puzzle !**
- Still uncertainties due to pion-Air interactions in EAS
 - ➔ Cross-section, multiplicity and elasticity unknown at high energy
 - Too low pion cross-section in EPOS.LHC-R reduced muons in vertical showers
 - ➔ Some constrains using pion exchange in p-O interaction (analysis on-going)

Recent **LHC** data provide new constraints on models, changing X_{max} and the muon production if a **global approach** is used.

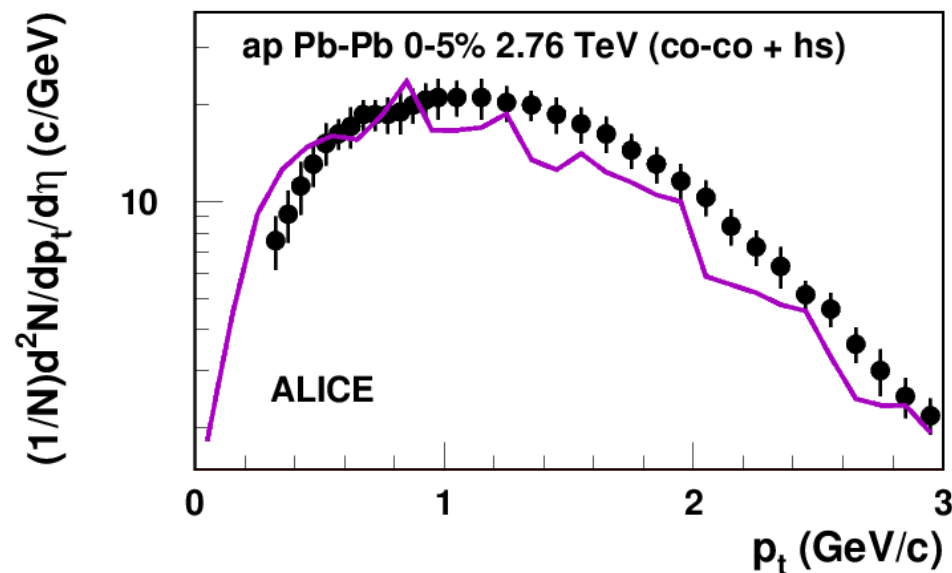
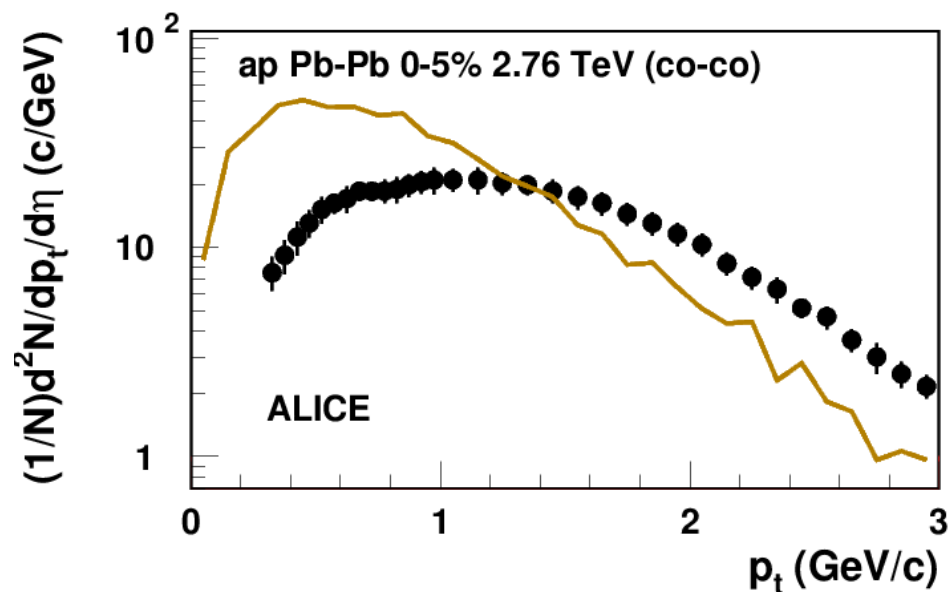
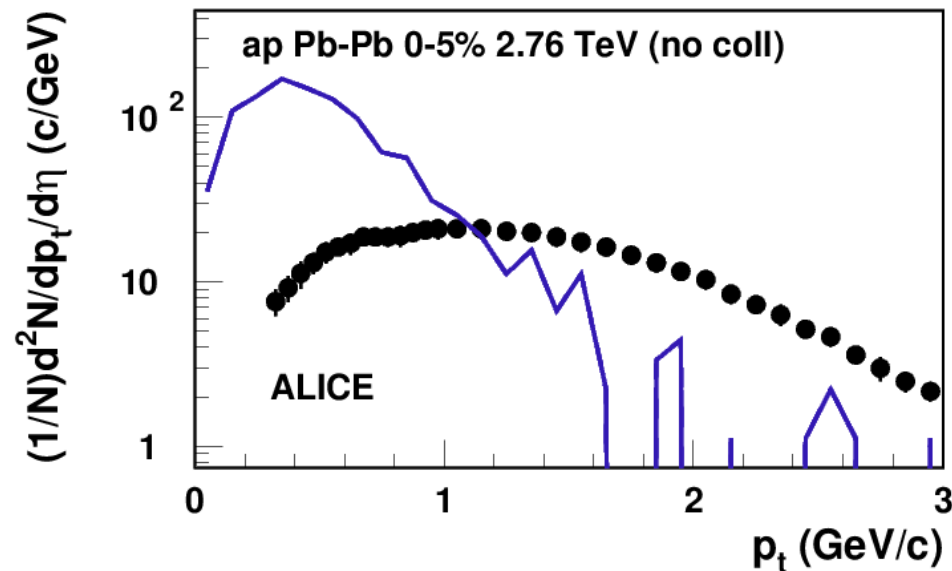
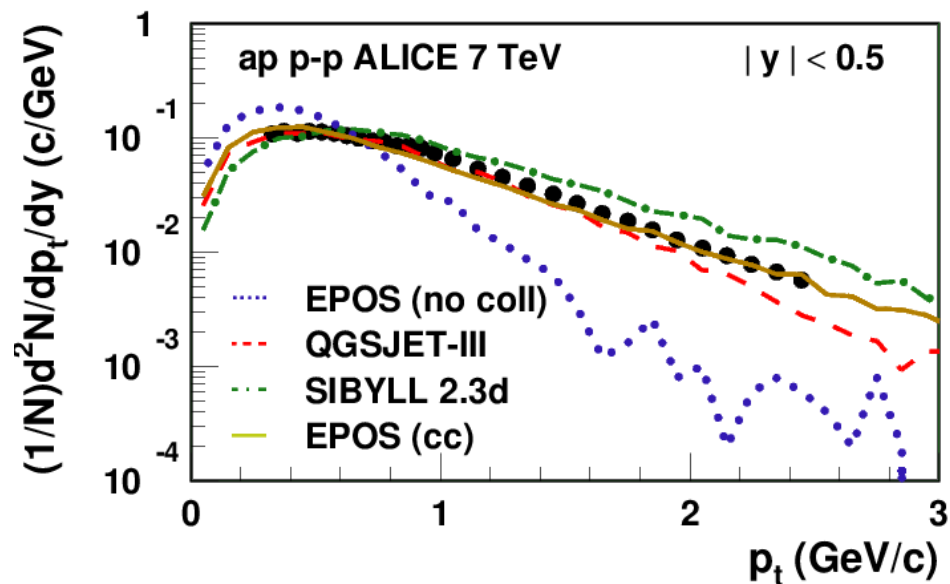
Providing a possible solution to the “muon puzzle” !

Thank you !

Example with protons in p-p and Pb-Pb @ LHC



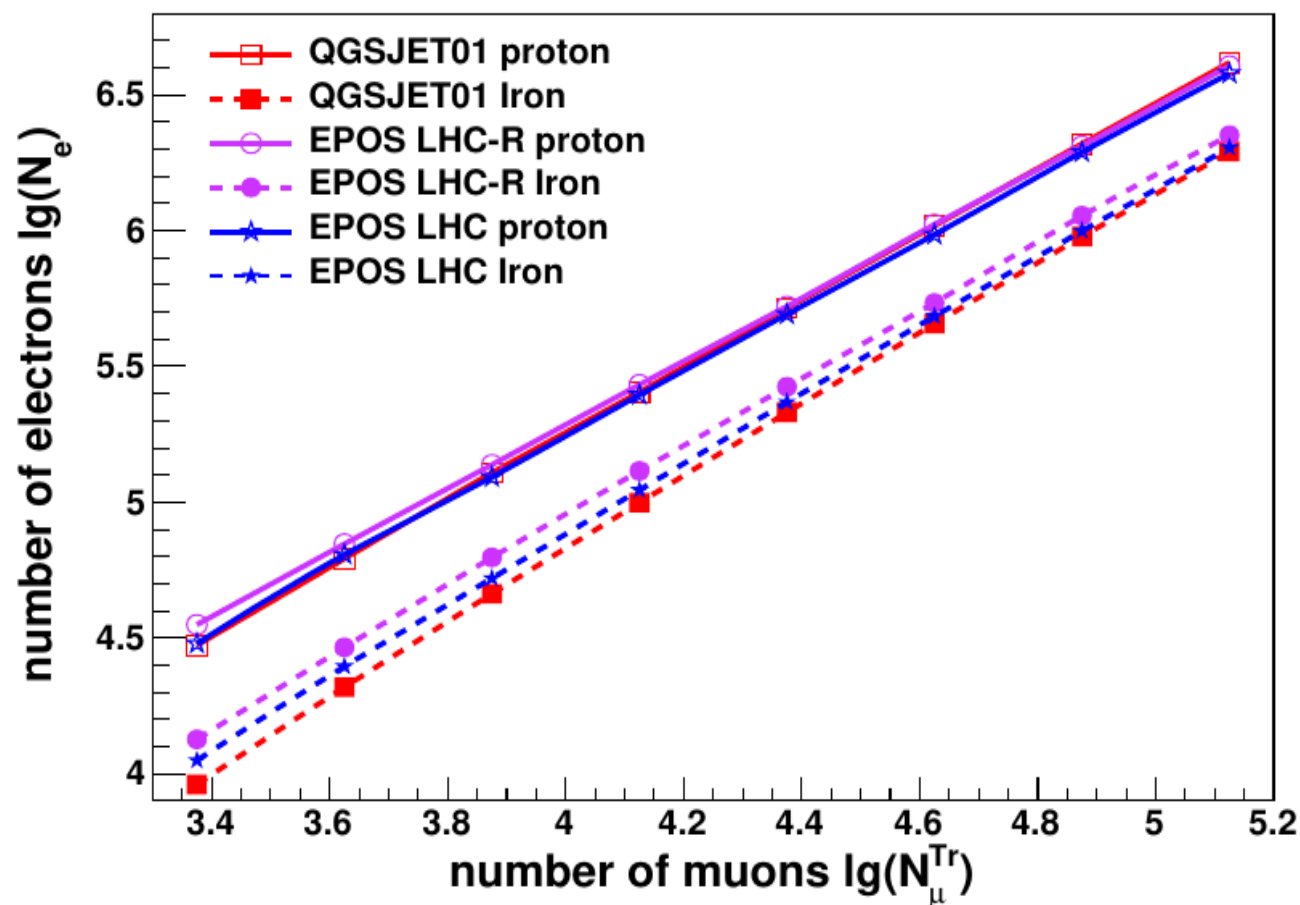
Example with protons in p-p and Pb-Pb @ LHC



KASCADE/LHAASO

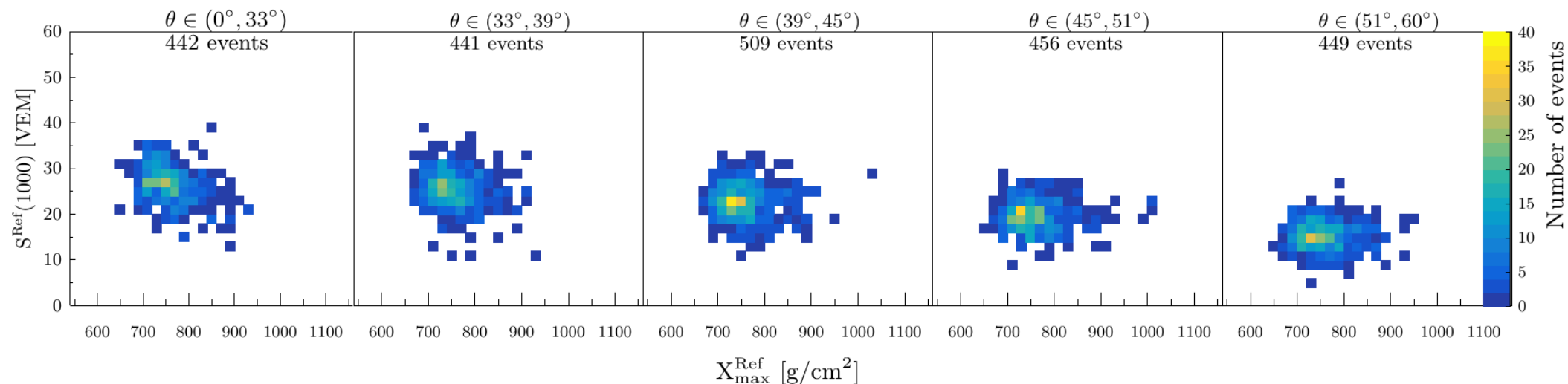
Correlation between N_e and N_μ

- ➔ Deeper shower development = larger N_e → compensate larger N_μ
- ➔ Very similar correlation compared to previous model
- ➔ But probably lower energy scale and larger predicted mass !



X_{\max} - $S(1000)$ correlation

Hybrid measurements allows to test model consistency in more details



$$X_{\max}^{\text{Ref}} \equiv \widehat{X_{\max}^{\text{Ref}}} + \Delta X_{\max},$$

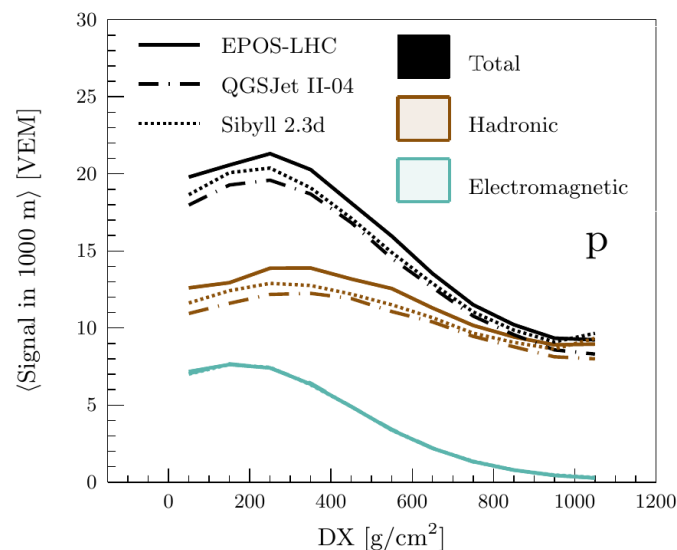
$$S^{\text{Ref}}(1000) \equiv \widehat{S^{\text{Ref}}(1000)} \cdot f_{\text{SD}}(\theta)$$

Parameters:

$$\Delta X_{\max}, R_{\text{Had}}, R_{\text{em}}, \xi_1, \xi_2, \xi_3$$

Describe the 4 mass fractions

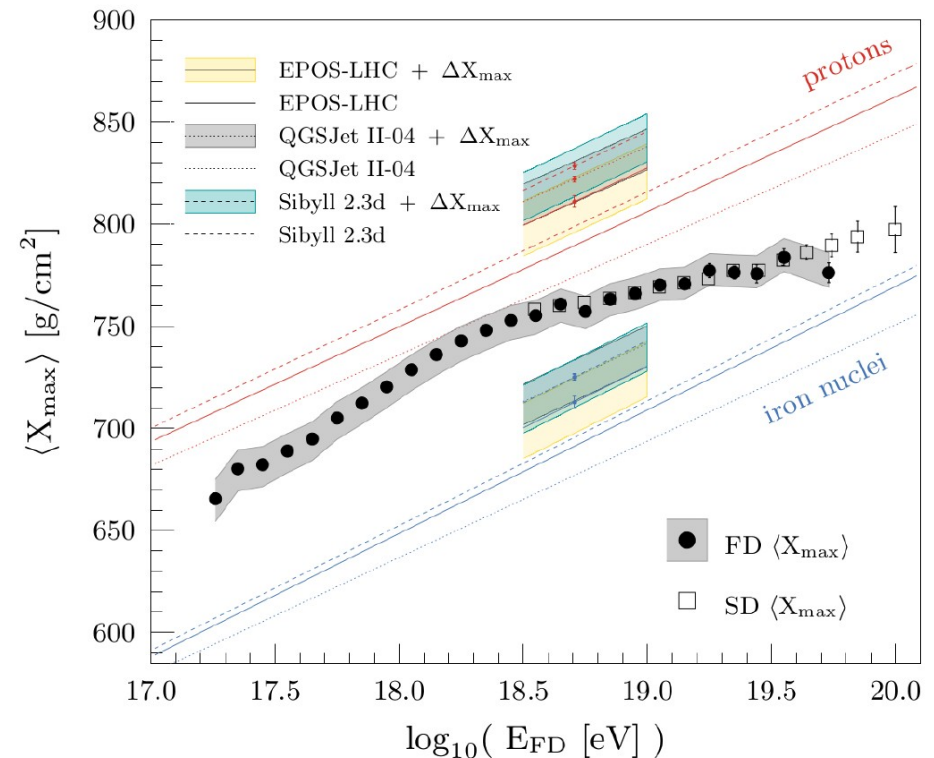
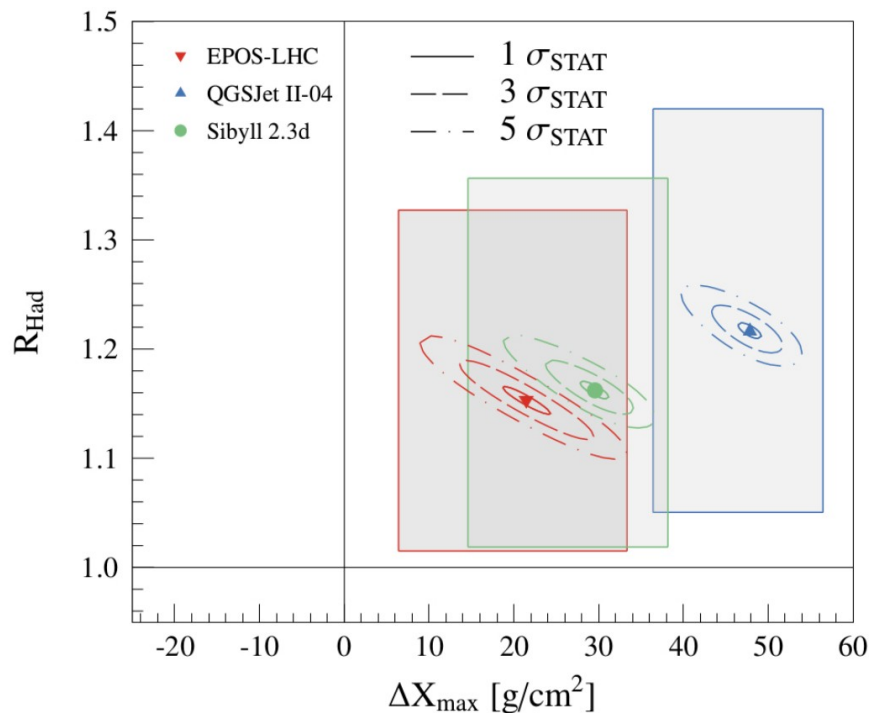
$$\phi = c \cdot f_{\text{Gumbel}}(X_{\max}^{\text{Ref}}) \cdot f_{\text{Gauss}}(X_{\max}^{\text{Ref}}, S^{\text{Ref}}(1000))$$



The final MC templates are a sum of templates of the form of Φ of individual primary species weighted by their relative fractions.

Modifications of X_{\max} and signal at ground

- Best fit of data require multiple changes in hadronic models
 - ➔ Rescaling (increase) of muons (hadronic component → confirmation)
 - ➔ Shift in X_{\max} toward higher mass (electromagnetic component → new)
- Might imply a change in mass composition
 - ➔ Importance of LHC data to improve models (pO and forward data to reduce X_{\max} and muon uncertainties)



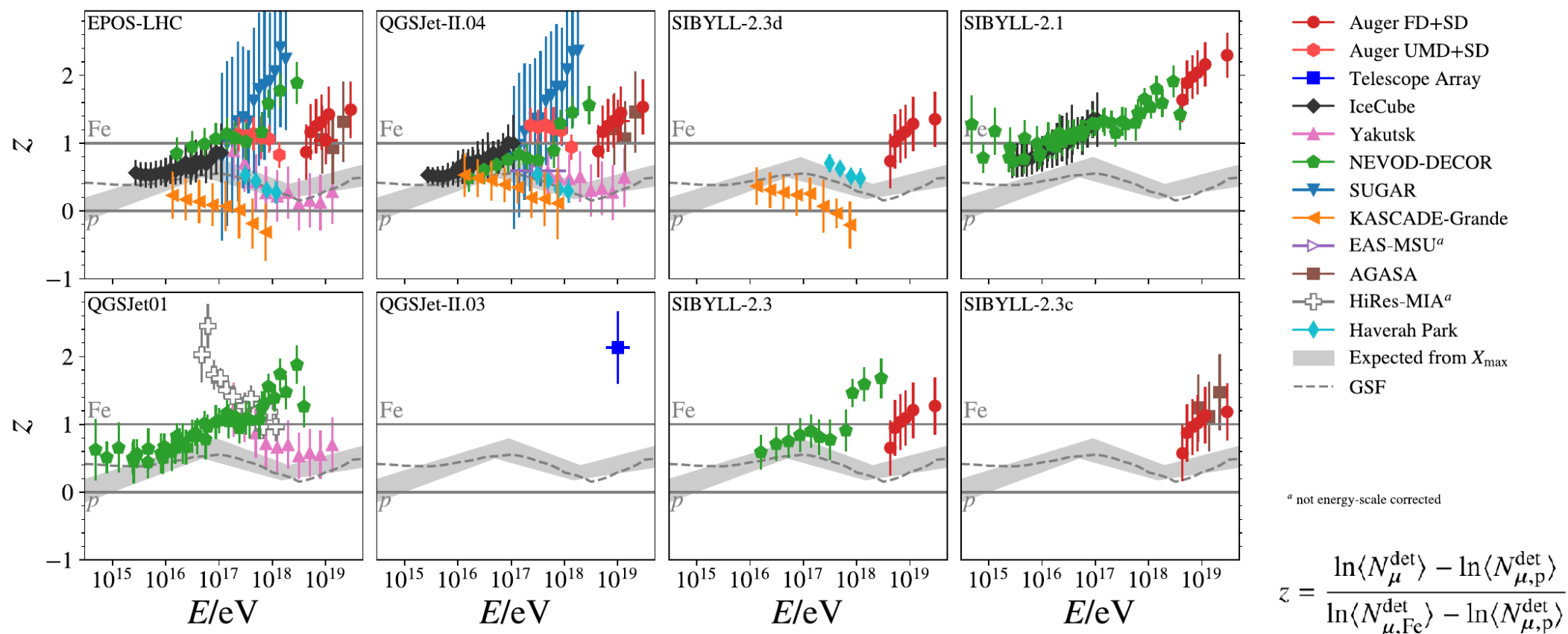
WHISP Working Group

- **Lots of muon measurements available**
 - ➔ Auger, EAS-MSU, KASCADE-Grande, IceCube/IceTop, HiRes-MIA, NEMOD/DECOR, SUGAR, TA, Yukutsk
- **Working group (WHISP) created to compile all results together. Analysis led and presented 1st time on behalf of all collaborations by **H. Dembinski** at **UHECR 2018** :**
 - H. Dembinski** (LHCb, Germany),
 - L. Cazon** (Auger, Portugal), **R. Conceicao** (AUGER, Portugal),
 - F. Riehn** (Auger, Portugal), **T. Pierog** (Auger, Germany),
 - Y. Zhezher** (TA, Russia), **G. Thomson** (TA, USA) , **S. Troitsky** (TA, Russia), **R. Takeishi** (TA, USA),
 - T. Sako** (LHCf & TA, Japan), **Y. Itow** (LHCf, Japan),
 - J. Gonzales** (IceTop, USA), **D. Soldin** (IceCube, USA),
 - J.C. Arteaga** (KASCADE-Grande, Mexico),
 - I. Yashin** (NEMOD/DECOR, Russia). **E. Zadeba** (NEMOD/DECOR, Russia)
 - N. Kalmykov** (EAS-MSU, Russia) and **I.S. Karpikov** (EAS-MSU, Russia)

Blurry Picture.

● “Muon Puzzle” ($\langle N_{\mu} \rangle$) depends on energy measurement technique

➔ Update of WHISP analysis (2023)

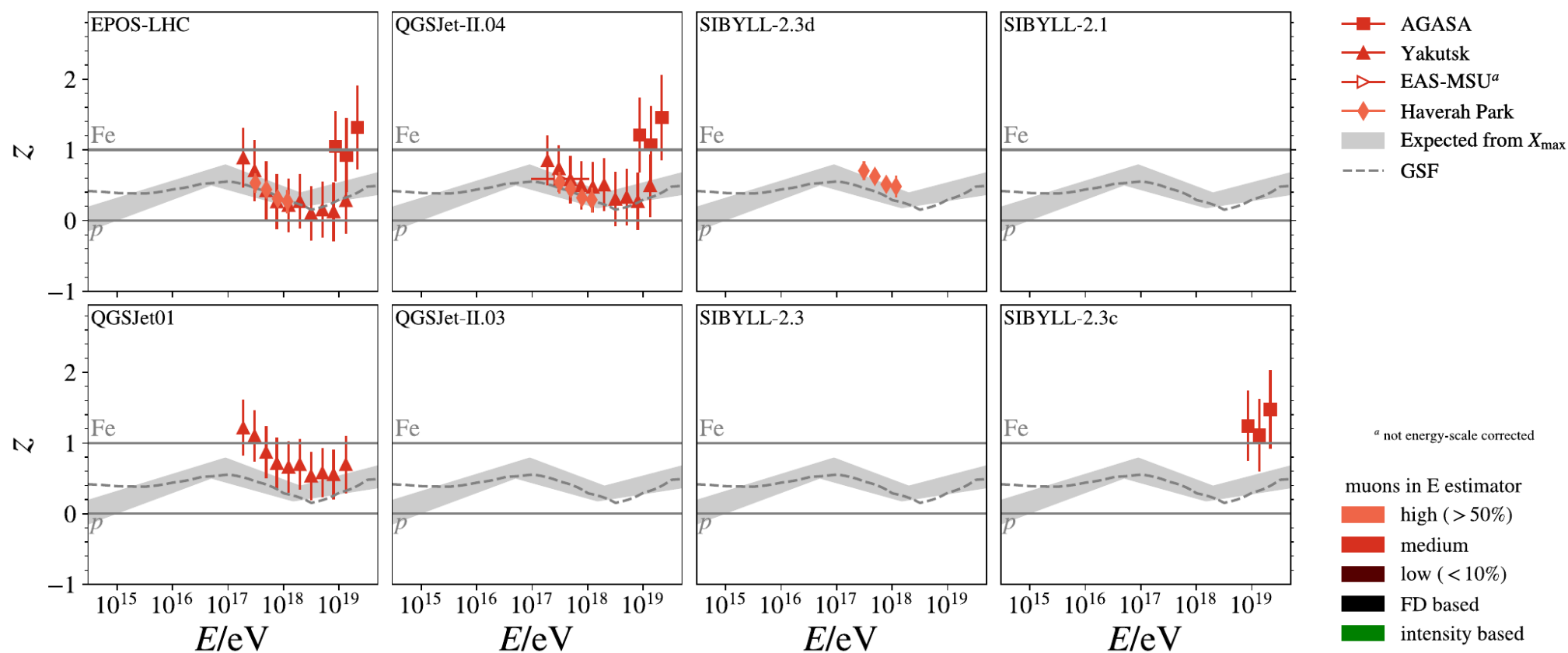


Blurry Picture..

- “Muon Puzzle” ($\langle N_{\mu} \rangle$) depends on energy measurement technique

➔ High muon fraction in energy estimator

➔ No muon excess observed in data

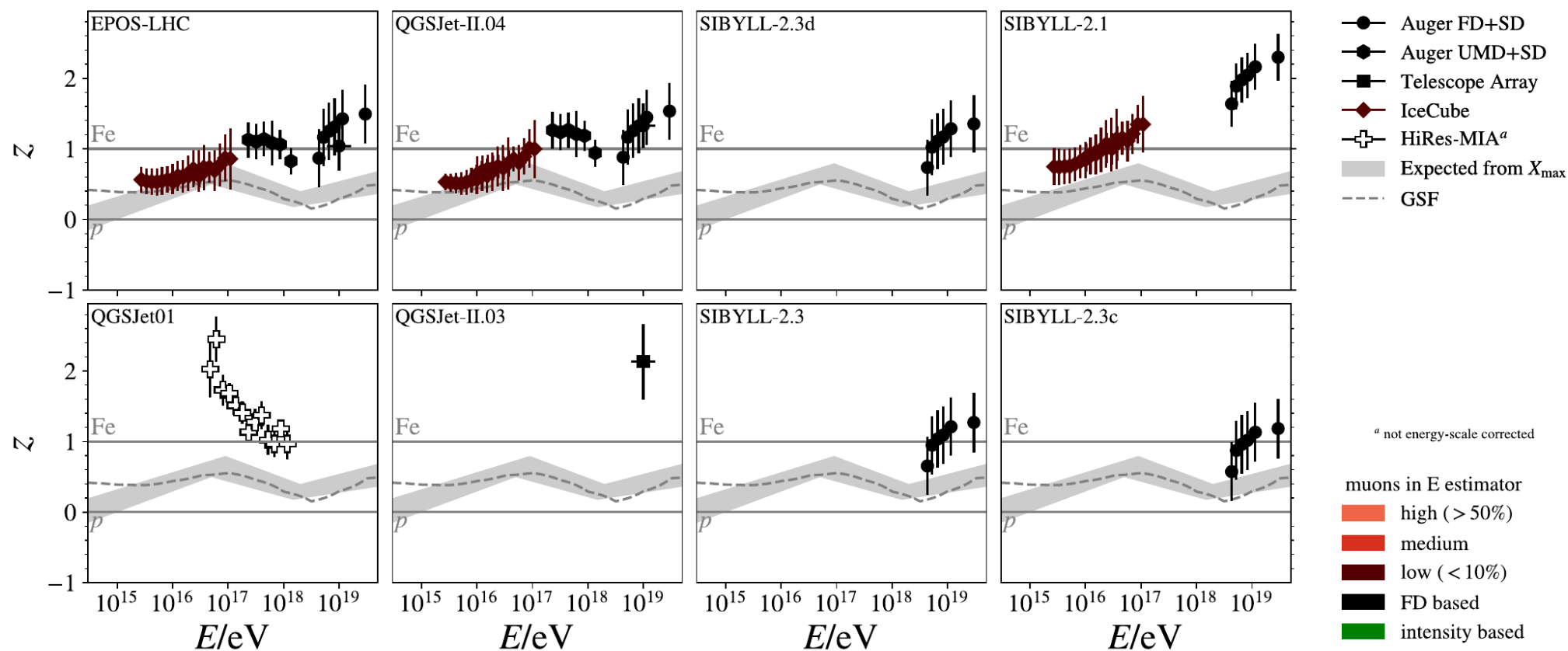


Blurry Picture...

- “Muon Puzzle” ($\langle N_{\mu} \rangle$) depends on energy measurement technique

➔ Low muon fraction in energy estimator

➔ Large muon deficit in simulations

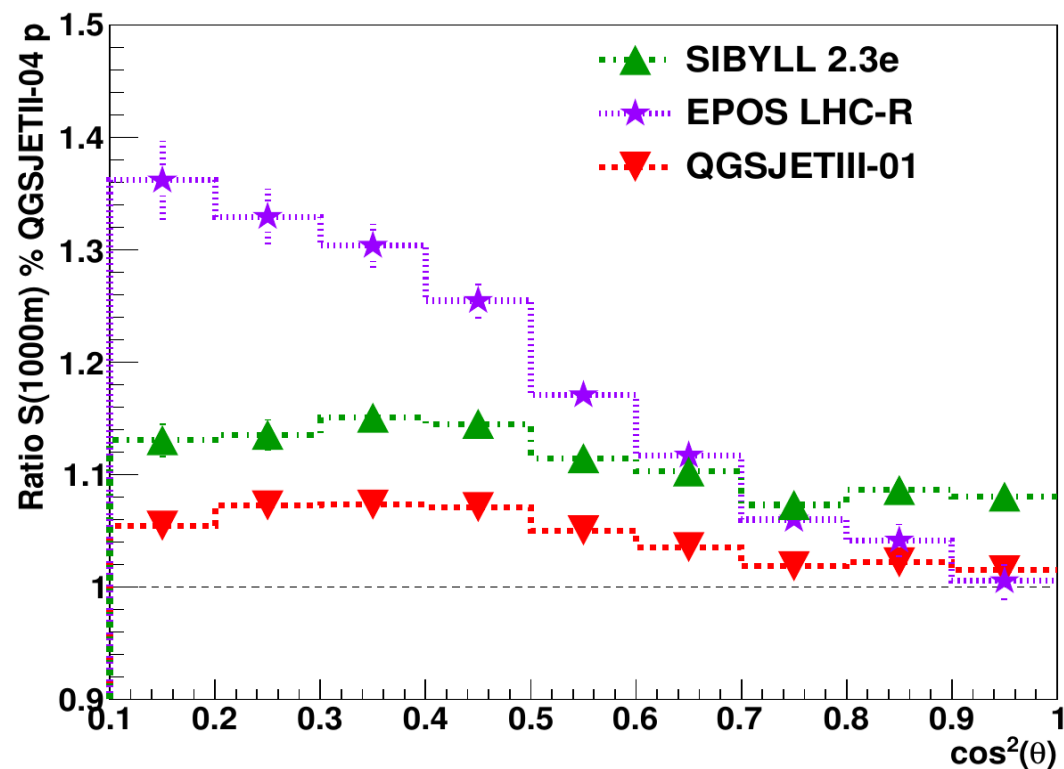
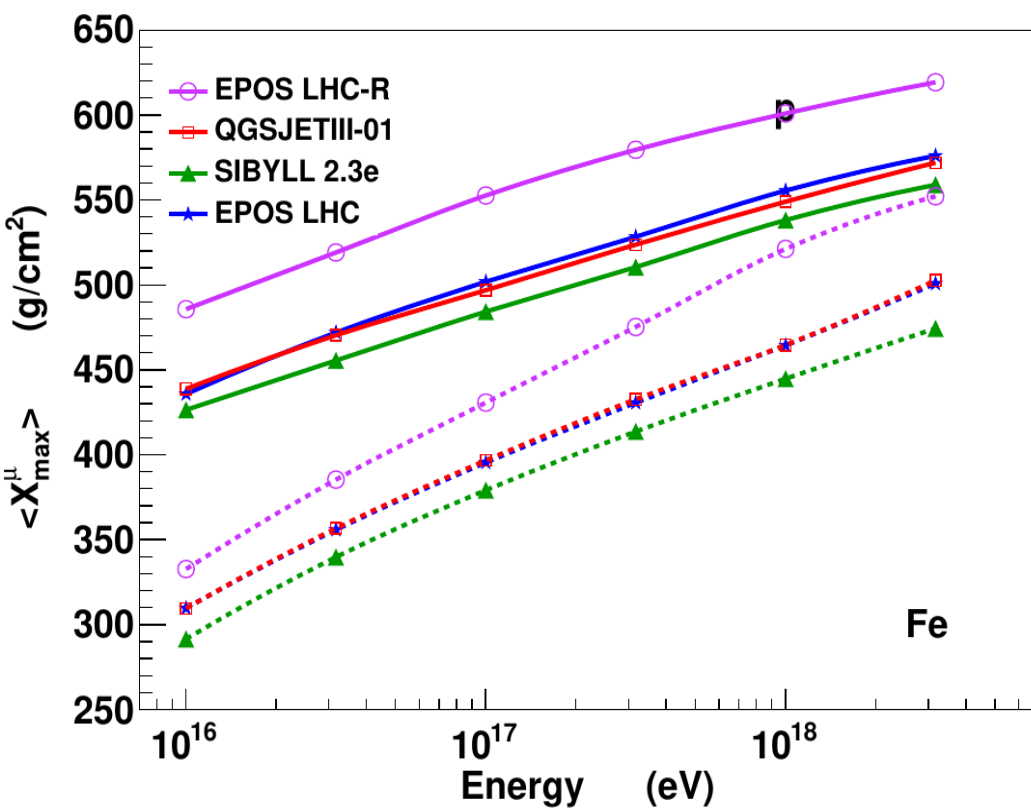


X_{\max}^{μ} and N_{μ} @ 1 km ($S_{\mu}(1000\text{m})$)

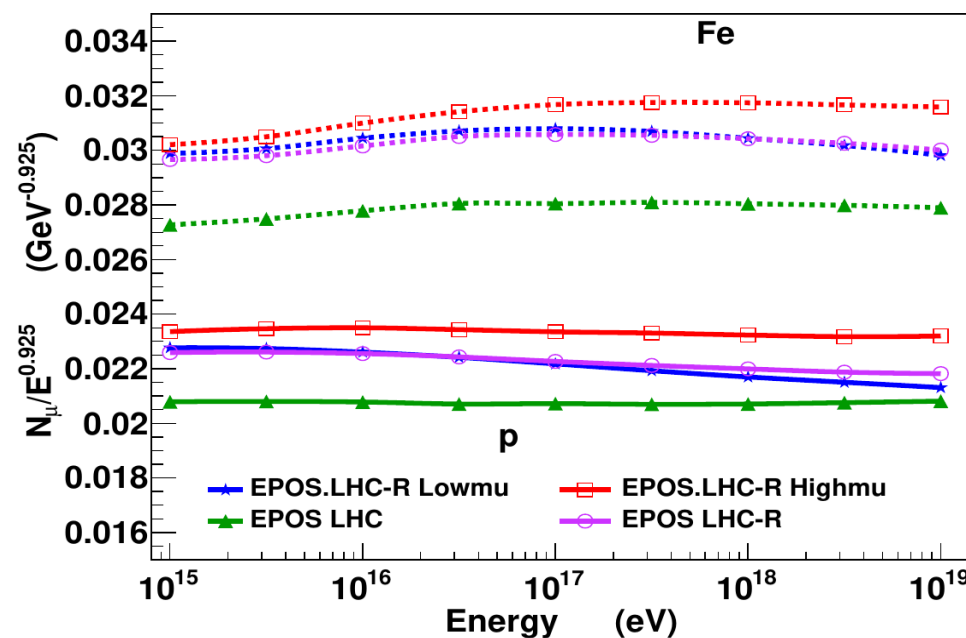
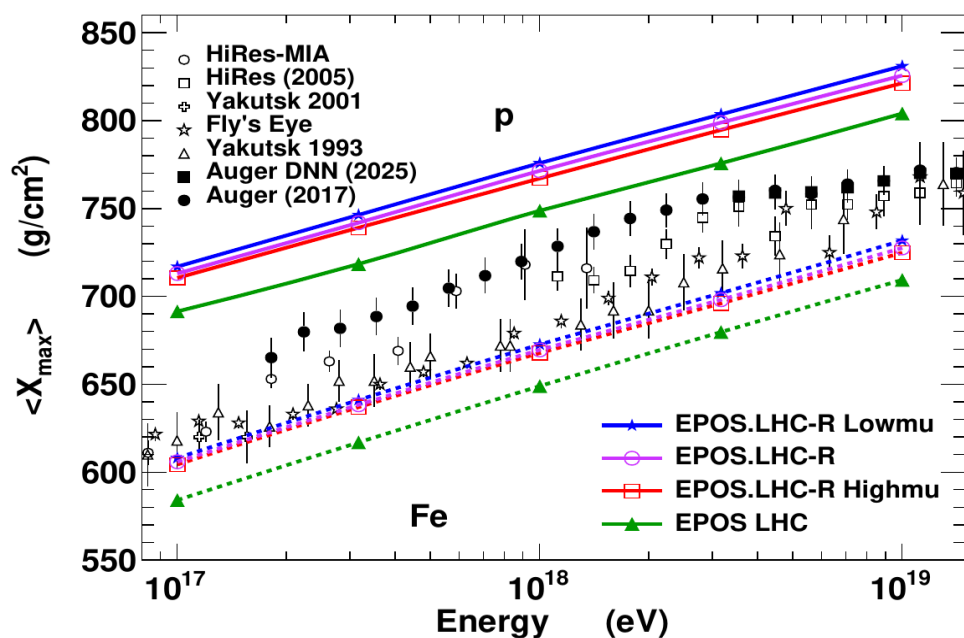
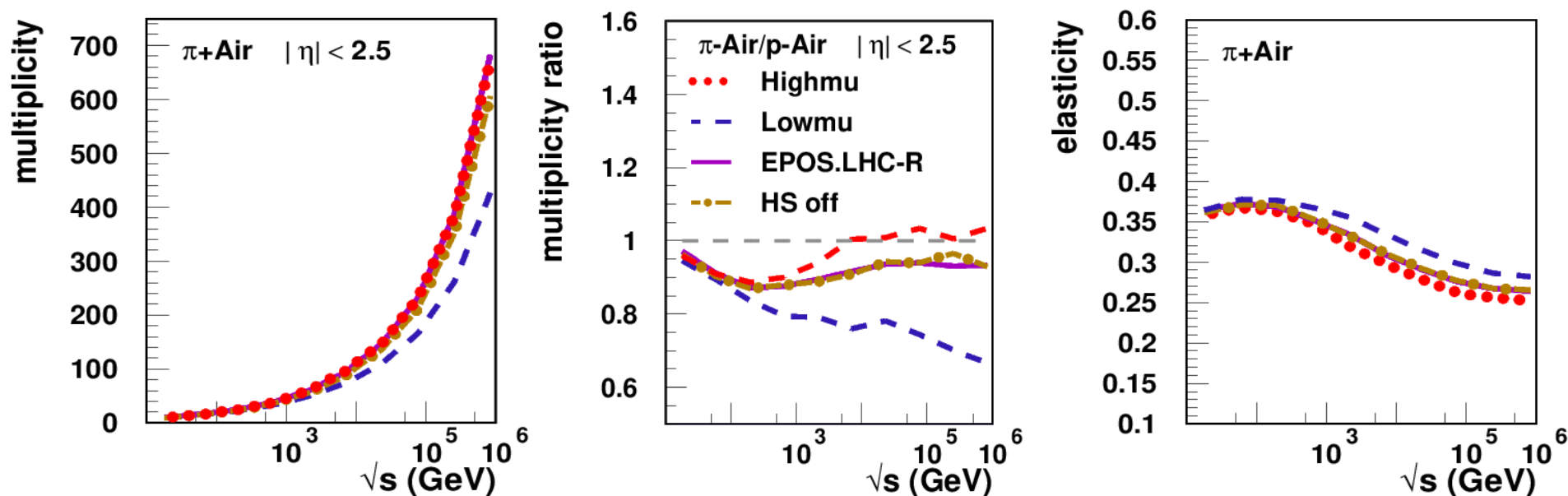
Global changes

- ➔ new EPOS shifted by $+50\text{g}/\text{cm}^2$!
- ➔ QGSJETIII-01 shifted by $+40\text{g}/\text{cm}^2$ (=EPOS LHC)
- ➔ Very different zenith angle dependence for EPOS LHC-R !

➔ Different muon energy spectrum

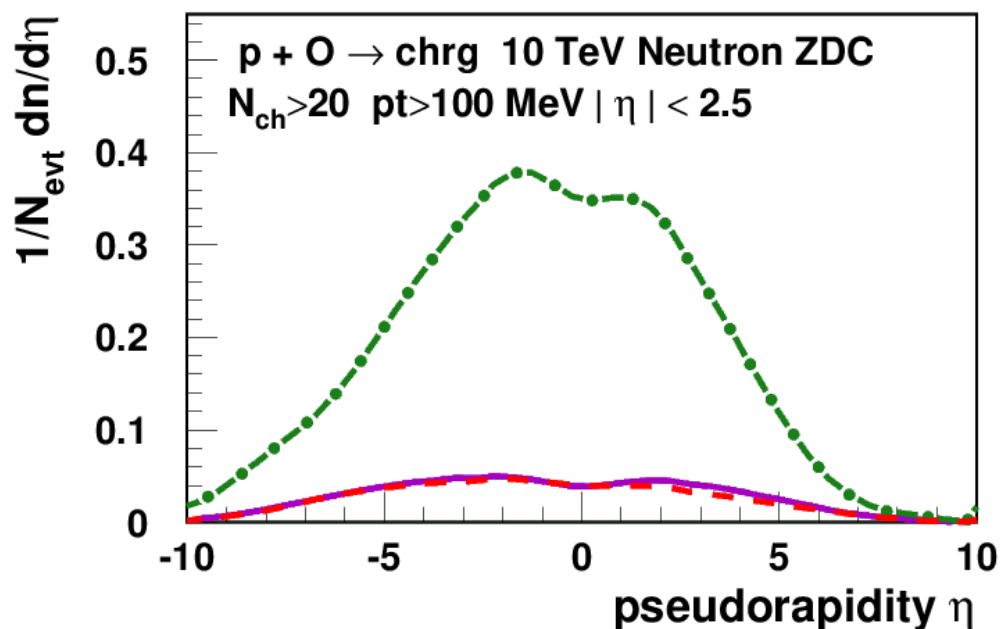
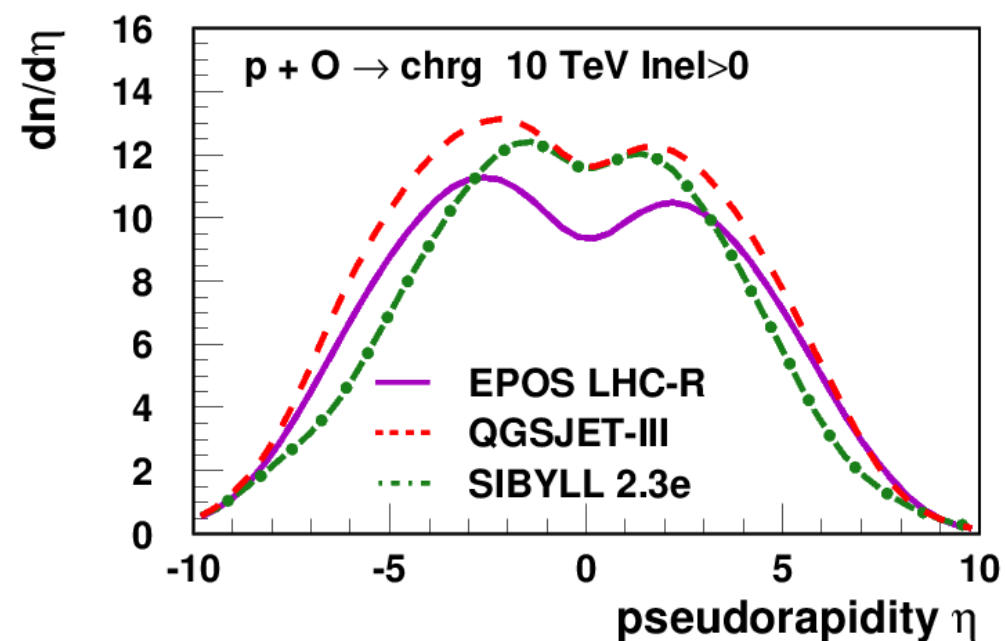


Pion-Air interaction unconstrained at high energy



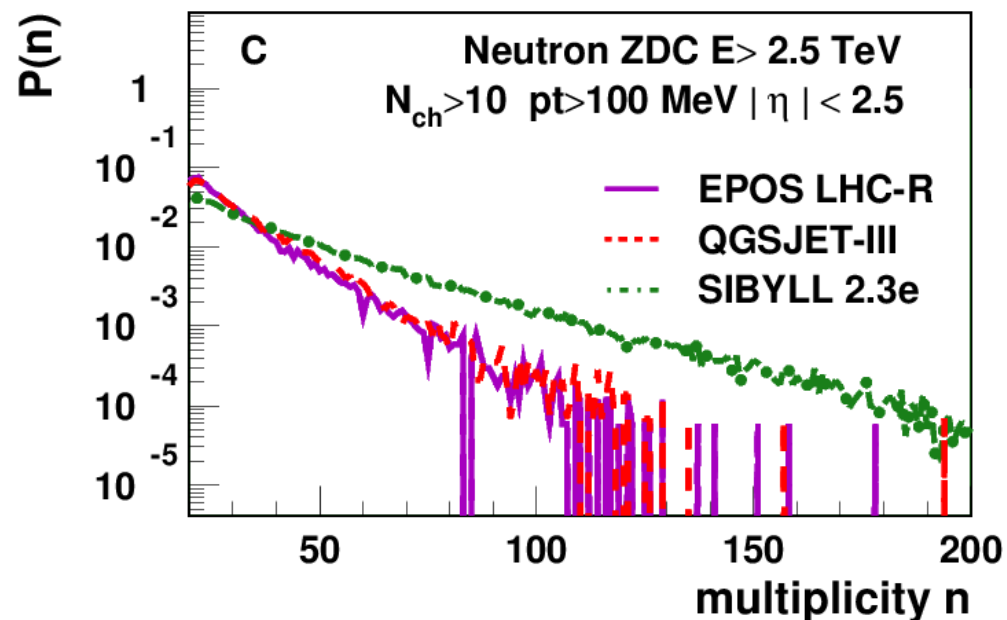
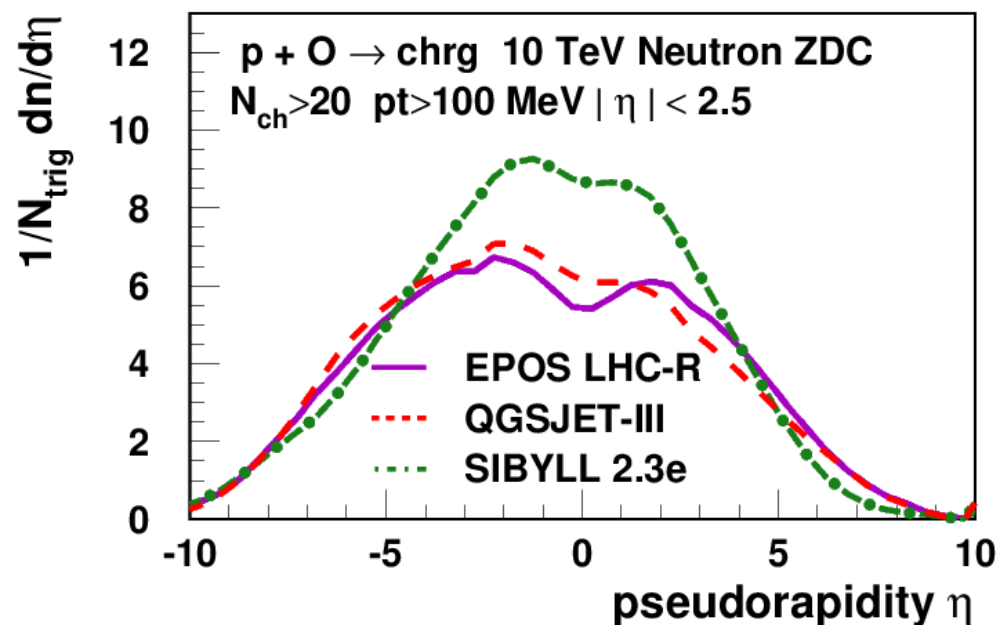
Multiplicity for pion projectile

- **Still lack of constrain on pion-Air multiplicity**
 - up to +/-5% uncertainty in N_{μ} and +/-5 g/cm² for X_{\max}
- **Upcoming data for proton on Oxygen at LHC in July**
 - Use pion exchange in p-O interactions to probe pion-Oxygen
 - ➔ neutron tag in ZDC (ATLAS+LHCf/CMS)



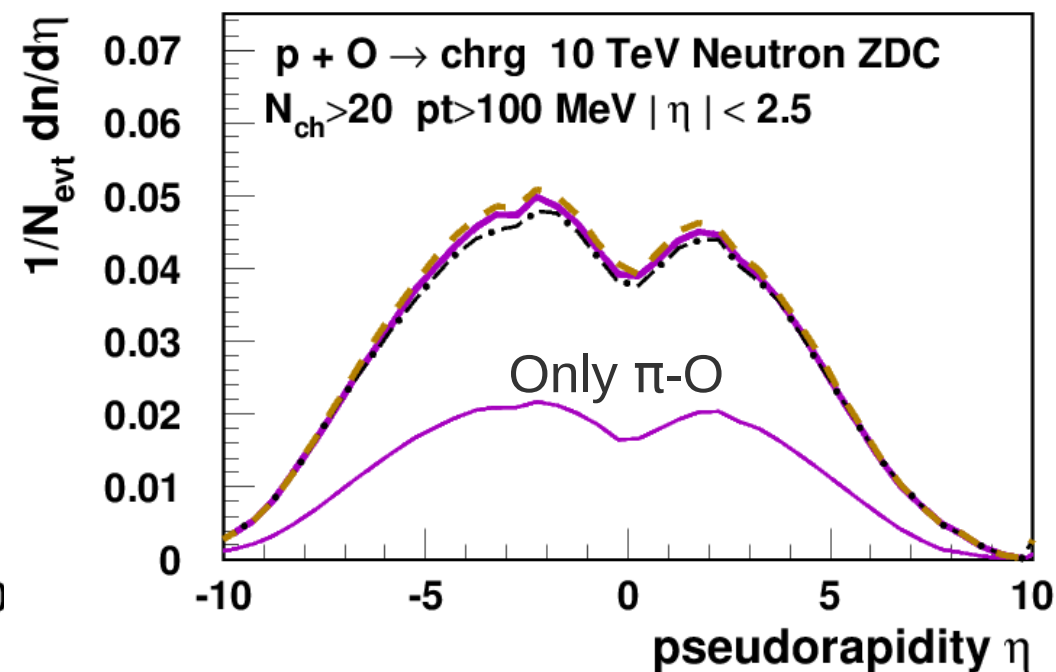
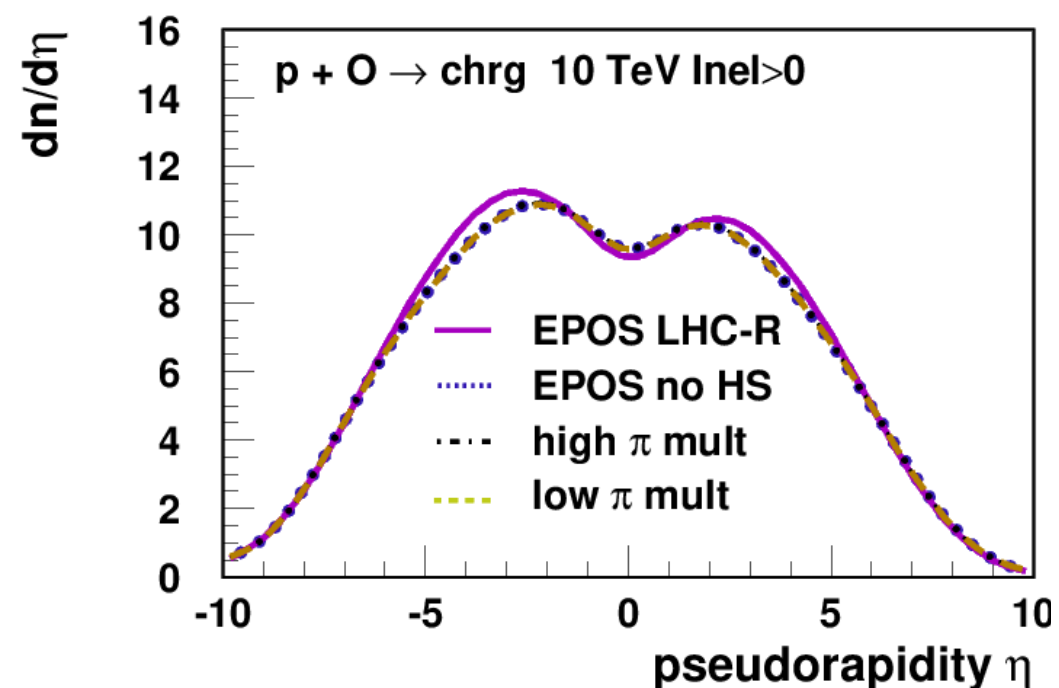
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- ➔ Dependence on hadronic rescattering in EPOS LHC-R ... to be confirmed ?

